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SIXTH TRIENNIAL

1201 RULEMAKING HEARINGS

PROPOSED CLASSES: 19, 20, 23, 6

May 20, 2015

9:00 A.M. - 5:20 P.M.

Reported by Daryl Baucum, RPR, CRR, RMR, CSR No. 10356

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1	APPEARANCES	
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3	PANEL MEMBERS:	
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5	JACQUELINE CHARLESWORTH	
6	General Counsel, Copyright Office	
7	SY DAMLE	
8	Deputy General Counsel, Copyright Office	
9	STEVE RUWE	
10	Assistant General Counsel, Copyright Office;	
11	REGAN SMITH	
12	Assistant General Counsel, Copyright Office;	
13	STACY CHENEY	
14	National Telecommunications and Information	
15	Administration Department of Commerce	
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1	APPEARANCES (continued):
2	
3	PROPONENTS:
4	JACK LERNER, AARON BENMARK, RAHUL SAJNANI
5	UCI Intellectual Property, Arts and
6	Technology Clinic
7	CHRISTOPHER PEREZ
8	Donaldson & Callif
9	GORDON QUINN, JIM MORRISSETTE
10	Kartemquin Educational Films
11	ART NEILL
12	New Media Rights
13	JAY FREEMAN
14	SaurikIT, LLC
15	OPPONENTS:
16	J. MATTHEW WILLIAMS
17	Entertainment Software Association
18	Motion Picture Association of America
19	Recording Industry Association of America
20	BRUCE TURNBULL
21	AACS LA
22	DAVID JONATHAN TAYLOR
23	DVDCCA
24	SIMON SWART
25	Twentieth Century Fox Home Entertainment

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1	PROCEEDINGS	
2	WESTWOOD, CALIFORNIA; WEDNESDAY, MAY 20, 2015	
3	9:00 A.M.	
4	MS. CHARLESWORTH: Good morning, everyone.	
5	My mic is on, I think.	
6	I am Jacqueline Charlesworth, General	
7	Counsel of the U.S. Copyright Office. And I along	
8	with my colleagues here will be presiding over this	
9	hearing which is part of the Section 1201 Triennial	
10	Rulemaking, where we consider potential exemptions	
11	to the entity circumvention provisions of 1201.	
12	And I am seeing a lot of new faces today.	
13	So we will go ahead and introduce ourselves from	
14	this panel. From left to right:	
15	MR. RUWE: Steve Ruwe, I am Assistant	
16	General Counsel.	
17	MR. DAMLE: Sy Damle, Deputy General	
18	Counsel.	
19	MS. SMITH: Megan Smith, Assistant General	
20	Counsel.	
21	MR. CHENEY: Stacy Cheney, Senior Counsel	
22	at NCIA Department of Commerce.	
23	MS. CHARLESWORTH: So as you might	
24	imagine, we will be asking a lot of questions today	
25	and we are very interested in hearing what you have	

5 1 to say about the proposed exemptions. I want to take a moment to thank UCLA Law 2 School and particularly Professors David Nemmer and 3 Rusty Klibaner -- I don't know if he's around -- who 4 5 has been extremely helpful to us in hosting us here 6 for this hearing and we are very appreciative of 7 that. The goal of these hearings today is to 8 further develop and clarify the record. I'm going 9 to give each of you -- there are many of you 10 11 today -- an opportunity to make a very brief opening 12 statement. 13 If you can refrain from retreading the 14 written comments that you submitted which we have 15 all read and are quite familiar with and sort of focus on areas of contention that you think -- you 16 17 probably have studied the other side's comments and we're really interested in fleshing out the issues 18 19 where there is disagreement where the law may be 20 unclear, where the evidence is a bit unclear. 21 I know that we also have a couple of 22 presentations. I think we're going to let those

I know that we also have a couple of presentations. I think we're going to let those people go first just so that we have -- that you don't have to interrupt yourselves getting them set up.

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1	Do we have copies of the exhibits?	
2	MR. RUWE: Yes.	
3	MS. CHARLESWORTH: What exhibits are they?	
4	MR. RUWE: It'll be Exhibits 3 and 4.	
5	MS. CHARLESWORTH: So we will be marking	
6	the exhibits, the copies of your audiovisual	
7	presentations. And if you are referring to them in	
8	the record, it's helpful if you can use the numbers	
9	that we assign them so when we go back and read the	
10	record, we know what you were talking about.	
11	Apparently, it's been a concern in prior	
12	proceedings.	
13	Some just basic rules of the road: It's	
14	helpful if we don't talk over one another. It helps	
15	the court reporter.	
16	As I said, we're going to give everyone a	
17	chance to speak briefly at the beginning and then	
18	there will be a conversation. If you want to	
19	contribute to that discussion, put your placard up	
20	like this and we will call on you. We will try to	
21	do it in order.	
22	So we would like to try to get everyone	
23	in. We try to get all the commentary in. So we	
24	will work hard to do that today.	
25	I think that just about covers it.	
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1	In terms of the guidelines here, we're	
2	going to go around the room before we do anything	
3	else and just have you each quickly state your name	
4	and your affiliation or your interest that you are	
5	representing for the record and then we will start	
6	with the first audiovisual presentation.	
7	MR. QUINN: I'm Gordon Quinn from	
8	Kartemquin Films. I am representing filmmakers	
9	seeking an exemption for documentary filmmakers. I	
10	am a member of the IEA, the Independent Caucus and	
11	all virtually all of the organizations that are	
12	part of our class.	
13	MR. PEREZ: My name is Chris Perez. I'm a	
14	partner at Donaldson and Callif. We are a boutique	
15	law firm here in Los Angeles that represents	
16	primarily independent film producers and television	
17	producers. And I am here supporting the exemption	
18	for documentary and narrative filmmakers.	
19	MR. LERNER: I am Jack Lerner with the	
20	UC Irvine School of Law Intellectual Property, Arts	
21	and Technology Clinic.	
22	We're here on behalf of the International	
23	Documentary Association for Kartemquin Films and a	
24	large coalition of filmmaker organizations.	
25	MR. BENMARK: I am Aaron Benmark. I am a	

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1	certified law student in the Intellectual Property,	
2	Arts and Technology Clinic at UCI Law. I am also	
3	here representing International Documentary	
4	Association, Kartemquin Films and the coalition	
5	supporting the exemption.	
6	MR. SAJNANI: My name is Rahul Sajnani. I	
7	am a certified law student in the UCI Intellectual	
8	Property, Arts and Technology Clinic and I am also	
9	here on behalf the IDA.	
10	MR. MORRISSETTE: My name is Jim	
11	Morrissette. I am a technical director at	
12	Kartemquin Films and it's my job to make sure our	
13	programs get through quality control checks with	
14	distributors.	
15	MR. NEILL: My name is Art Neill. I am an	
16	executive director of New Media Rights and I am here	
17	this morning supporting proposed Class 6.	
18	MR. WILLIAMS: Matthew Williams. I am	
19	here for MPAA, RIAA and ESA.	
20	MR. SWART: I'm Simon Swart, General	
21	Manager and EVP of 20th Century Fox Entertainment.	
22	My job primarily is to monetize all the assets we	
23	have and build new business models for physical and	
24	digital distribution.	
25	MR. TURNBULL: I am Bruce Turnbull. I am	

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1	counsel to the Advanced Access Content System and	<i>J</i>
2	here in opposition to the request.	
3	MR. TAYLOR: I am David Taylor. I am	
4	counsel to DVDCCA and entertainment companies and	
5	the entertainment industry.	
6	MS. CHARLESWORTH: Thank you.	
7	And we will now begin to hear discussion	
8	and evidence on proposed Class 6, which is	
9	audiovisual works, derivative uses and specifically	
10	filmmaking uses.	
11	So we're go to start with Mr. Morrissette.	
12	You have a presentation.	
13	And this is going to be marked as hearing	
14	Exhibit 3, for the record.	
15	MR. MORRISSETTE: Good morning.	
16	In the three years since I last testified	
17	in front of this committee, a lot has changed,	
18	especially in the technology and means of	
19	distribution of the work that Kartemquin Films does.	
20	And our need for high definition clips is	
21	now critical. Standard definition DVD quality	
22	images are being rejected on our programs by our	
23	distributors ranging from Magnolia Films to CNN.	
24	And there is a change in the way theaters	
25	show films. They don't show film anymore. They	

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1	show digital cinema packs and they have to be	
2	created in a minimum of HD quality.	
3	There is also a dramatic change in the	
4	technology world. 4K is here and it's everywhere at	
5	the trade shows and it's available for streaming	
6	right now and it will be available on an ultra high	
7	definition Blu-ray player this fall.	
8	I just want to show you a couple of slides	
9	to keep this quick. These are slides showing our	
10	rejected images from a film we did about Roger Ebert	
11	called "Life, Itself," and in all cases, it was	
12	rejected because of poor standard definition quality	
13	images in our program.	
14	MS. CHARLESWORTH: Can I ask, did you	
15	rectify that and release the film?	
16	MR. MORRISSETTE: Rectifying it was	
17	complicated. In some cases, we changed out the	
18	shots to something else. In another case, we were	
19	able to through a 12-year-old Blu-ray player which	
20	still had analog outputs, we used the analog method	
21	to capture 720, which is half of HD quality, but	
22	this was good enough to barely pass. That's how we	
23	rectified it.	
24	MS. CHARLESWORTH: So in cases, you	
25	actually changed out content to substitute content.	

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1	MR. MORRISSETTE: Yes.	
2	MS. CHARLESWORTH: And in other cases, you	
3	were able to find a work-around through the old	
4	Blu-ray player.	
5	MR. MORRISSETTE: Yes.	
6	4K is complicated because it is such a	
7	hugely large amount of data. And everyone is now	
8	producing in 4K.	
9	If you look at this chart, 4K is	
10	represented by the image in orange. And up in the	
11	upper left corner in purple is the image resolution	
12	to scale of DVD's. And you will notice it's not	
13	even in the right aspect ratio. It's a square	
14	image, which is typical of standard definition.	
15	In numbers, DVD's are about 345,000	
16	pixels, Blu-ray over 2 million, and the new ultra	
17	high definition, 8 million pixels.	
18	So you can imagine how DVD quality would	
19	not stand up, certainly in ultra high definition,	
20	which is the wave the future. And over the next	
21	three years, it will cover more and more content.	
22	Here is the ultra HD Blu-ray that is going	
23	to be introduced.	
24	I want to talk very quickly about the	
25	alternatives to circumvention that the opponents	

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1	have prosed which is screen capture.	
2	Screen capture software, you play your	
3	disk of Blu-ray to get high definition on a computer	
4	and you capture the image using the computer,	
5	itself. So the computer is doing two things. It's	
6	playing the Blu-ray and also trying to capture.	
7	And there are all sorts of issues that we	
8	found with this system, even three years ago, and it	
9	hasn't improved. And an example of that are the	
10	three clips that opposition has submitted to us for	
11	evaluation of what they think is acceptable images.	
12	The first image they sent us was a	
13	standard def image from a DVD. So, of course, it	
14	was way too small to accomplish anything that our	
15	current exemption wouldn't fix.	
16	This particular clip is the wrong HD image	
17	resolution. It's smaller than HD and it exhibits	
18	artifacts.	
19	Here is a frame called Frame A.	
20	Here is Frame B, which is a double image	
21	frame which is going to be flagged by quality	
22	control at both broadcast organizations and for	
23	theatrical distribution.	
24	And the next clip had the same issues.	
25	And when you see this interlaced artifacting and	

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1	double images on people's faces here is frame	
2	one, frame two you can see double images.	
3	MS. CHARLESWORTH: Can you show me where	
4	the interlacing is just so I understand exactly what	
5	it is.	
6	MR. MORRISSETTE: Interlacing is double	
7	images superimposing upon each other.	
8	MS. CHARLESWORTH: I can see that.	
9	MR. MORRISSETTE: And you can see it even	
10	easier in the cartoon where you see two heads on the	
11	woman's head. That is interlacing artifacts and	
12	that is immediate grounds for rejection in	
13	broadcast.	
14	So it just doesn't work as an alternative	
15	to circumvention.	
16	So we need access to high definition	
17	source material in order to make our fair use, and	
18	more importantly, to get our programs accepted for	
19	distribution.	
20	MR. CHENEY: Mr. Morrissette, could you	
21	fix the problems with those and submit those?	
22	MR. MORRISSETTE: No, you cannot fix	
23	interlace problems once they are burned into the	
24	file.	
25	You could take the frame out but then you	

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1	have got dropped frames, which is also grounds for	
2	rejection.	
3	You could repeat the earlier frame. That	
4	is double images, but, again, that is grounds for	
5	rejection.	
6	MR. CHENEY: Thank you.	
7	MS. CHARLESWORTH: When you are talking	
8	about grounds for rejection, can you be sort of	
9	specific about exactly the categories of parties who	
10	would be rejecting those. Are you talking about	
11	television broadcast networks?	
12	MR. MORRISSETTE: Yes, television	
13	broadcast networks and distributors.	
14	Many of our films are distributed in	
15	theaters as well as on broadcast and cable, and the	
16	theaters specs are even more stringent because you	
17	have to convert your show to what is called a	
18	digital cinema pack even to get it into a film	
19	festival now.	
20	You used to be able to send Blu-rays to	
21	film festivals but those are being rejected. It all	
22	has to be in the format that Hollywood is using in	
23	their theaters because that's what film festivals	
24	use.	
25	And that conversion process, which is	

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1	quite tedious, by the way, is very unforgiving of	
2	any images that are not full HD quality. And they	
3	certainly would reject images that cause even more	
4	problems with double image frames like you just saw.	
5	The DCP converts every frame of your video	
6	into a single still file. And if you get still	
7	files that are duplicates and non-duplicates and	
8	dropped still files, it just doesn't work.	
9	MS. CHARLESWORTH: Thank you.	
10	I guess, Mr. Taylor, your presentation.	
11	And this will be hearing Exhibit 4 for the record.	
12	MR. TAYLOR: Good morning. I am David	
13	Taylor. And as I said earlier, I represent DVDCCA.	
14	In light of the office's comments with	
15	respect to brevity, I am just going to focus my	
16	presentation this morning on the clips that we	
17	prepared for today and leave the comments as they're	
18	reflected already in our writings.	
19	Right here, we made a clip to demonstrate	
20	video capture capabilities.	
21	I do want to respond to the statement. We	
22	did not prepare an original initial exhibit for the	
23	purposes of filmmaking and never submitted it to	
24	them as these are what we think you can use. Those	
25	exhibits were strictly intended to show how video	
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1	capture or screen capture technology has improved,	
2	but in light of their comments, we have gone back	
3	and want to demonstrate that video capture software	
4	can, indeed, record the image in standard	
5	definition, standard definition being 720 by 486 or	
6	720 by 480, and also set the frame rate at 29.97.	
7	And in this clip	
8	MS. CHARLESWORTH: I just want to	
9	interrupt. We want to make sure we understand.	
10	So these are different from the clips you	
11	submitted previously with your written comments.	
12	MR. TAYLOR: Yes.	
13	MS. CHARLESWORTH: So we now have	
14	additional clips available that you added this	
15	morning.	
16	So you went back and created new clips.	
17	MR. TAYLOR: Right.	
18	And specifically with the technology that	
19	we're using, we are using WM capture. And with	
20	that, we generated an MPEG-4 file.	
21	This file right here that I am going to	
22	play for you has been a little bit processed from	
23	the MPEG-4 to an Apple format process and which has	
24	the dot MOV extension so that it can actually be put	
25	into Final Cut and shortened for this presentation.	

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1	This was shortened to like thirteen	
2	seconds. And you have to do that conversion from	
3	the MPEG-4 file to the MOV file. And you do that	
4	through a free software product called I think	
5	it's MPEG Splicer and it's available for free. So	
6	it will change the format from what I have as a PC	
7	format to an Apple format.	
8	MS. SMITH: And did you use Camtasia for	
9	the version that was previously submitted, also?	
10	MR. TAYLOR: I believe that the earlier	
11	version was recorded with Camtasia because it was an	
12	Apple.	
13	MS. SMITH: And so in this version we're	
14	about to see, how did Camtasia did it capture	
15	additional pixels? How did the resolution improve?	
16	MR. TAYLOR: This was actually done with	
17	WM Capture.	
18	MS. CHARLESWORTH: So you used a different	
19	capture system but it's still the base the	
20	underlying content is SD, correct?	
21	MR. TAYLOR: It was DVD playback, so	
22	standard definition. And we recorded it with the	
23	standard definition image sizes.	
24	(Playing of clip from Exhibit 4).	
25	MR. TAYLOR: So that was as I said, it	

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1	was recorded and output into an MPEG-4 file.	
2	I am now going to show you the source file	
3	which is here. This is the magnifier. This is the	
4	source file that was output from the WM capture and	
5	it's labeled MP4.	
6	If you look at its properties	
7	MR. RUWE: Could you, please, refer to the	
8	file name.	
9	MR. TAYLOR: My apologies.	
10	The file name is PortlandiaVLC43V2.MP4.	
11	MR. DAMLE: Just for the record, we are	
12	looking now at the file properties for that file.	
13	MR. TAYLOR: Right.	
14	So when you can click on the details, you	
15	see that it is the 29 frames per second.	
16	MS. SMITH: Is there a way to know that	
17	the 29 frames per second are identical to the	
18	original DVD playback? Or what Mr. Morrissette was	
19	saying about dropped frames or duplicate frames, can	
20	we be sure that that didn't happen through this	
21	capturing?	
22	MR. TAYLOR: I think I am not a	
23	technical expert. I am a lawyer, but what I think	
24	you would have to do to look at that is put it on a	
25	timeline through a video editing process and look at	

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1	each frame on a frame-by-frame comparison. You	
2	wouldn't necessarily learn that just by the	
3	recording settings being set at 29.97 frames per	
4	second.	
5	And so this is the original source. And	
6	so basically, the point is that	
7	MR. DAMLE: When you say it's the original	
8	source, you are saying it's the MP4 file.	
9	MR. TAYLOR: Right. It's the original	
10	output source that was processed.	
11	MR. DAMLE: From WM Capture.	
12	MR. TAYLOR: Right. And it was then	
13	processed.	
14	And now you see that this the clip that	
15	was actually played was a MOV file which plays back	
16	on QuickTime fairly easily, as we saw.	
17	MS. SMITH: So are you contending that	
18	this version would be an acceptable substitute for	
19	distributors?	
20	MR. TAYLOR: No, we're not. We're just	
21	demonstrating that video capture software can,	
22	indeed, record in standard definition as required,	
23	and also that the frame rate can be set for the	
24	29.97 frames per second.	
25	And as far as the proposed class, I would	

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1	limit my comments to the fact that DVDCCA does not	20
2	object to renewing of the class, but we would	
3	certainly ask the office to consider and stress the	
4	importance of making use of the exemption and	
5	adhering to all of its requirements, particularly	
6	close analysis.	
7	The proponents had put forth the fact that	
8	they had made use of the exemption in their	
9	documentary film "Mr. Waterson" which was concerning	
10	"Calvin and Hobbs." And this clip is in fact the	
11	material the original source material that they	
12	circumvented for that purposes.	
13	And under the exemption, it was required	
14	that the use for criticism or comment be that it	
15	required quality images for the purpose of making	
16	criticism or comment.	
17	So I just want to play that back for you	
18	so that you can look at it and judge for yourself	
19	whether or not close analysis was required to make	
20	use of the clip.	
21	And, again, I'm playing the original clip	
22	that is an MOV file.	
23	(Playing of clip from the Exhibit 4).	
24	MR. TAYLOR: So according to the	
25	proponents, it was mere reference to "Calvin and	
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1	Hobbs" which warranted them to circumvent the CSS on	
2	the DVD so that they could include it in their	
3	documentary.	
4	And in our opinion, that use certainly	
5	wasn't authorized and warranted under the past	
6	exemption. And we would ask that if the Copyright	
7	Office is going to renew that exemption, that it	
8	make some effort to emphasize that better care	
9	should be used when making use of the exemption.	
10	MS. CHARLESWORTH: Can I ask a question.	
11	MR. TAYLOR: Sure.	
12	MS. CHARLESWORTH: What is your view in	
13	terms of screen capture? Is it I mean sort of	
14	almost implicit in what you are saying is that it	
15	doesn't involve circumvention.	
16	Do you concede that there is no	
17	circumvention with screen capture technology,	
18	generally? Or what is your view on that?	
19	MR. TAYLOR: My view is my experience.	
20	And I have worked with Camtasia and with WM Capture.	
21	And I can say from my personal use of it, I have no	
22	doubt that it's not circumventing. It's recording	
23	merely the image that is being played back.	
24	I can't speak for all video recording	
25	software or video capture software, but I would say	

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1	at least the ones that I have used are not	
2	circumventing the DVD.	
3	MS. CHARLESWORTH: Is it possible in your	
4	view that there are screen capture technologies that	
5	do involve circumvention?	
6	MR. TAYLOR: I think that there are	
7	products out there that would rip the DVD and then	
8	copy it, but I think that if the video capture	
9	software is outputting an image that is less than	
10	perfect, it would suggest to me that circumvention	
11	hasn't occurred.	
12	MS. CHARLESWORTH: Thank you. So now	
13	thank you very much for that and those	
14	presentations.	
15	Now, we're going to go around the room	
16	from my left to the right.	
17	I don't know if Mr. Quinn, did you have	
18	anything to add to in terms of I'm sorry, there	
19	are a lot of you. I'm sorry for identifying you	
20	incorrectly.	
21	Did you have anything you wanted to add in	
22	terms of opening comment?	
23	MR. QUINN: Yeah, if you will indulge me,	
24	I am going to skip over a few things and try to	
25	follow the instructions that you laid out.	

		23
1	I would like to read a sentence from John	
2	Dewey because it's at the heart of our work and I	
3	think the issue is going to come up later,	
4	"Artists have always been the real	
5	purveyors of the news, for it is not the	
6	outward happening, itself, which is new,	
7	but the kindling of it by emotion,	
8	perception and appreciation."	
9	We just finished a film about the critic	
10	Roger Ebert where he says "Movies are a machine for	
11	creating empathy."	
12	This work is at the heart of the open	
13	communication for our democracy. If somebody	
14	owns you can't own history. You can't own	
15	culture in a way that keeps people from critiquing	
16	it and criticizing it and putting it in context.	
17	You have to have the ability to make the kind of	
18	work that we make.	
19	We are here trying to do the right thing.	
20	I mean we are rights holders, also.	
21	It's been three years from the last	
22	exemption. There has been no harm. We are not the	
23	problem the rights holders have with piracy and all	
24	of that. I think we have a lot of history to prove	
25	that.	

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1	There just hasn't been a problem with what	
2	we have been doing, but the reality for us is that	
3	three years ago, the exemption that we have now was	
4	barely what we needed to get through the	
5	gatekeepers. It's no longer adequate.	
6	Technology changes over time, as Jim	
7	pointed out, and we have to adapt to that	
8	technology.	
9	We are getting things rejected now that	
10	three years ago would have just slipped under the	
11	wire, would have been okay. Sometimes we had to	
12	change one or two things but now it's become a major	
13	problem.	
14	Our gatekeepers, the broadcasters, the	
15	distributors, as Jim pointed out, are absolutely	
16	demanding it.	
17	And I want to respond to what he was	
18	saying. You saw the "Calvin and Hobbs" clip.	
19	MS. CHARLESWORTH: That is Exhibit 4, I	
20	believe.	
21	MR. QUINN: Exhibit 4, I believe, yes.	
22	And I just want to point out that it	
23	depends on what you are doing. And I don't think we	
24	want to rewrite fair use here. In other words, the	
1		

fair use law works. We're just talking about

25

		25
1	breaking the encryption so that we can make fair	20
2	use.	
3	I don't think you want to get into two	
4	sets of standards, but in our Ebert film, which is	
5	about a film critic, a film critic who brought major	
6	motion pictures to the popular culture as a major	
7	art form in America, when he is saying something	
8	about a film and we're using the clip that he	
9	referenced in our film, we need an approximation or	
10	as close as we can get to the quality that he was	
11	looking at.	
12	We can't have a degraded image when he is	
13	talking about Bergman's film "The Silence" and you	
14	are seeing a moment between two women and it's in	
15	their faces, in the very details of their	
16	expression.	
17	Three years ago, I showed a clip from my	
18	film "A Good Man" about Bill T. Jones, where he is	
19	talking about white bodies, white viewers looking at	
20	black bodies. And I have an image of him dancing.	
21	You see the sweat. You see the muscles rippling	
22	under his skin. I need every bit of that high	
23	definition detail to make my point.	
24	I will just skip ahead here to the end.	
25	I mean really, what we're talking about	

here is the open communication that a democracy needs to function. We really just want to participate in the culture of our community. And as technology evolves, we absolutely have to be there.  And so I think we have submitted letters
participate in the culture of our community. And as technology evolves, we absolutely have to be there.
4 technology evolves, we absolutely have to be there.
5 And so I think we have submitted letters
6 from our various broadcasters and gatekeepers,
7 distributors and studios and people who are pointing
8 out that high def is what we need.
9 The clips that he is showing in standard
10 def, we couldn't have even submitted those three
11 years ago. Thank you.
12 MS. CHARLESWORTH: Thank you, Mr. Quinn.
13 Mr. Perez.
14 MR. PEREZ: Thank you.
So, again, I work with Donaldson and
16 Callif.
17 We're working primarily with independent
18 film producers and television producers and we
19 pretty much do it all for the filmmakers on the
20 transactional side, but in recent years, we have
21 developed a national reputation for our clearance
22 work in both documentary and in their films,
23 especially with regard to fair use.
So I'm going to, you know, touch very
25 briefly on three points that I think are important

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1	for purposes of narrative films.	
2	First, I want to demonstrate that	
3	narrative filmmakers make fair use often and to	
4	dispel the misconception that fair use doesn't apply	
5	to fiction films because that is simply not true.	
6	MS. CHARLESWORTH: I am sorry. I am going	
7	to interrupt you.	
8	How do you define, quote, a narrative film	
9	versus other films? And if you want to give me	
10	maybe you have some working definitions that you	
11	I know you have used some terminology in your	
12	papers.	
13	Can you run through your definitions on	
14	how you distinguish one type of film from another?	
15	MR. PEREZ: Actually, my second point was	
16	to say that the line that is trying to be drawn	
17	between narrative films and documentary films, it's	
18	a blurred line because we have films that aren't	
19	necessarily a lot of people wouldn't think that	
20	they're documentaries. Other people would think	
21	they are documentaries.	
22	We have documentaries that have	
23	reenactments. We have real stories with fictional	
24	characters inserted into them. We have plenty of	
25	other examples.	

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	2
1	As far as the definition for documentary
2	goes, I think we would stick with the definition
3	that Pat Gopter Heidi submitted in past comments.
4	But to that point, I don't think the line
5	is clear to anyone and fair use is possible for all
6	types of films. So I don't see the need to draw a
7	line.
8	MS. CHARLESWORTH: Well, I think I mean
9	I understand your I take your point. On the
10	other hand, in the past, we have made that
11	distinction, and I think from a fair use analysis
12	point of view, a documentary use is probably more
13	like a pure documentary use is there is a
14	better chance probably that it's going to be fair
15	use than the use of a clip in film that is really
16	just for entertainment value in a narrative
17	fictional film. That is something we sort of
18	alluded to, I think, in the last rulemaking.
19	And so to the extent we're and this
20	maybe goes to everyone to the extent we are
21	thinking about these issues today I mean you can
22	take the position you want on an exemption across
23	the board, but it might be I think it's also
24	helpful we're trying to part of the evaluation
25	is, is something more likely to be fair use than

29 1 not. And so we're interested in hearing from 2 all of you about potential distinctions in the types 3 of films and the type of exemption that should or 5 should not apply to those uses, because we have to consider them sort of as a class. We're not looking 6 at the particular film at issue. 7 So it's an area of interest for us in this 8 I just wanted to give you a heads up on 9 discussion. that. 10 11 MR. PEREZ: Sure. 12 I completely agree with you that narrative 13 films are intended to be entertaining, but pretty 14 much across the board, narrative films serve other 15 purposes, as well. They are commentary, criticism, 16 scholarship, research, all of the enumerated 17 purposes listed in the copyright law, but in terms of drawing that line, there is a better chance that 18 19 something is going to fall within fair use if it has 2.0 true elements. 21 So if you are talking about a biopic, 22 which I think some people would say might be a 23 documentary, might not be -- in fact, I think the 24 DVDCCA essentially said that biopics qualify as 25 documentaries.

	3
1	So I think the opposition is not quite
2	sure where to draw the line, but yes, I think there
3	are varying degrees of truth in documentaries, even,
4	and also narrative films.
5	MS. CHARLESWORTH: That is helpful.
6	MR. QUINN: If I could just interject one
7	thing, as a documentary filmmaker, I saw the
8	distinction in the comments.
9	We view all of our films as entertaining
10	in the sense that people want to see them, people
11	come to see them.
12	I think it's a false distinction to say
13	narrative film is somehow entertainment and what we
14	do has social value. They're all art. They're all
15	a part of culture.
16	MS. CHARLESWORTH: I wasn't really drawing
17	the distinction that way, but a lot of the fair use
18	analysis often revolves around whether a clip is
19	used strictly for, quote, entertainment purposes,
20	meaning it's not transformative from the original
21	work it was taken from versus for criticism and
22	commentary.
23	So all of this is sort of wrapped up, but
24	I take your point, as well, that documentaries can
25	be highly entertaining and I enjoy watching them.
1	

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1	So Mr. Perez, I am sorry. Let me let you	JI
2	finish.	
3	MR. PEREZ: I wanted to tell you why I	
4	think we have demonstrated that there is a long list	
5	of narrative films that have taken advantage of fair	
6	use and you referred to the comment for the list,	
7	but just to also go over very briefly a couple of	
8	litigated cases where fair use was found in their	
9	projects.	
10	We have Falkner versus Sony, which	
11	involved the use of a quote from William Falkner's	
12	book in Woody Allen's "Midnight in Paris"; Scope	
13	Entertainment versus Document Production, a film	
14	that is a narrative project; we are talking about	
15	the musical "Jersey Boys" and the use of a clip from	
16	the "Ed Sullivan Show" and that was very	
17	entertaining to the tune of everybody watching it;	
18	Arrow Productions versus Weinstein, vis-a-vis	
19	enactments from a fictional film in another area of	
20	film; Bourne versus 20th Century Fox, which was a	
21	parody of the song "When You Wish Upon A Star" in	
22	"Family Guy," which is a fictional cartoon series.	
23	Just one example from films that have come	
24	through our office is the biopic "Cesar Chavez"	
25	which, of course, tells the story of Cesar Chavez	

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1	and the United Farm Workers as they tried to better	
2	the conditions of the farm workers in California.	
3	The film makers used archival footage of	
4	farm workers working in difficult conditions while	
5	the voice of Michael Pena, the actor playing Chavez,	
6	gives context to the clips being used and explains	
7	how poor the working conditions were.	
8	And there are plenty of other examples	
9	that are listed in the appendix. So I won't go over	
10	those.	
11	We talked about the blurred line between	
12	narrative and documentary films. So we will leave	
13	that there with the exception of one example that I	
14	wanted to bring to the table. It's "Bombay Beach,"	
15	and it's a great film that came through our office.	
16	It's a I think most people would call	
17	it a documentary but I have heard other people say	
18	it's something else.	
19	It's a portrait of the people living in	
20	Bombay Beach, which is an isolated desert town on	
21	the edge of the Salton Sea. And it was marketed as	
22	a vacation town in the 1950's, but in the course of	
23	years, the sea dried up.	
24	And so the film explored the town through	
25	the eyes of the people living there. And in most	

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1	regards, it's a documentary in that we are	
2	portraying reality, portraying truth, but the	
3	filmmaker asked the subjects to engage in	
4	choreographed dances that clearly had narrative	
5	elements.	
6	So there are little narrative pieces	
7	within a larger documentary film, but that	
8	distinction is difficult to make.	
9	And the last point that I want to make is	
10	the chilling effect on narrative filmmakers and how	
11	it's very real. Our comment was filled with stories	
12	of narrative filmmakers discouraged from developing	
13	projects because there was a potential for a	
14	violation of the DMCA.	
15	I would point you in particular to the	
16	stories of Matt Latham and Finite Films because they	
17	were particularly illuminating, but an additional	
18	example is from Will Slocombe. He has written and	
19	directed several narrative films, including "The	
20	S Word," which is about to premiere at the L.A. Film	
21	Festival next month, but he wants to write and	
22	direct a television show that would be an inside	
23	look at the Hitler Youth Organization when the Third	
24	Reich was in power.	
25	And the show would be largely fictional	

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1	but it would ideally use archival footage of the	
2	Hitler Youth from Leni Riefenstahl films or other	
3	Nazi propaganda films to provide accurate historical	
4	context for the show, but he has been discouraged	
5	from doing it, discouraged from developing the show	
6	because of the restrictions that the DMCA has placed	
7	on his ability to access that footage.	
8	MS. CHARLESWORTH: Has he sought a license	
9	for the footage?	
10	MR. PEREZ: He hasn't.	
11	MS. CHARLESWORTH: So he is just	
12	contemplating he considers the uses to be fair	
13	uses for a television show of that nature?	
14	MR. PEREZ: Well, it might be helpful to	
15	tell you how we would work with a client in that	
16	situation.	
17	Will would come to us with an idea and	
18	often they come to us at the idea stage and we	
19	give them a sense they tell us the story that	
20	they want to tell and then we give them a sense of	
21	whether we think it would be fair use or not.	
22	So ideally, we are working with them from	
23	the idea stage where we can understand exactly or	
24	not exactly but generally how they're going to be	
25	using the footage in context and we can give them	

1 guidance on how they are going to write the script.	1	guidance	on	how	they	are	going	to	write	the	script.
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Then we get the script back and we make notes, say you got to use this but it's got to be in context and it's got to be short enough so that you're only using it to illustrate a point that you are making in the film to provide context for the reality of the show. And that is it, because once it becomes solely for entertainment purposes, then it's not going to be fair use.

And so ideally, we're working in a very early stage, but we work with filmmakers throughout the process. Sometime it's too late and people put clips in that they will have to license those.

So we're not saying that all narrative films and all types of uses in narrative films are going to be fair use, but, you know, there are plenty of filmmakers that are taking advantage, especially the ones that are coming into our office.

MS. CHARLESWORTH: And so you probably can't get into your specific advice to the persons making the TV show about the Hitler Youth, but in a general sense, someone coming to you with a project like that, you are giving them advice sometimes on how to use the clips in a way that you are advising them would be fair.

1	And what you are I think what you are
2	saying in that instance is because it falls in the
3	line of more a narrative use or narrative film, that
4	you run up against the circumvention provision.
5	Is that a fair characterization of what
6	you are saying?
7	MR. Perez: Correct.
8	MR. DAMLE: So this is archival footage.
9	Obviously, when it was first produced, there were no
10	DVD's.
11	Is it your point that this is not
12	available in unprotected formats, that the only way
13	it's available is on DVD's or Blu-rays, I guess?
14	MR. PEREZ: My point is yes, that much of
15	the material would only be available from those
16	sources.
17	MR. QUINN: I have an example which might
18	shed some light on this. Actually, Donaldson helped
19	us with this.
20	We had licensed some material from ESPN
21	for a film about Muhammad Ali, some boxing footage.
22	We could have claimed fair use but we didn't think
23	the qualify would be good enough, so we licensed it.
24	The qualify that they delivered to us at
25	the end was unacceptable, unusable. We weren't

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1	going to get it used.	
2	So then, we went back and ripped it from a	
3	bootleg we found somewhere and we used that	
4	material. Then we went back to ESPN and said we	
5	actually wound up claiming fair use and we didn't	
6	even use your footage and we would like our money	
7	back. And it wound up in a negotiation.	
8	So just to give you some idea, in all of	
9	our documentaries, there is footage that we license	
10	and footage we claim fair use on.	
11	MS. CHARLESWORTH: But going back just to	
12	follow on my colleague's question, this specific	
13	example of the Hitler Youth moment, exactly where	
14	would this footage be coming from? It must exist.	
15	I assume that this existing somewhere. Like where	
16	is it, in what medium?	
17	MR. PEREZ: Well, I think probably Leni	
18	Riefenstahl films are on DVD and Blu-ray.	
19	MS. CHARLESWORTH: So you would be seeking	
20	to use those as your primary source material.	
21	MR. PEREZ: Correct.	
22	MS. CHARLESWORTH: One more question for	
23	you.	
24	MR. PEREZ: Sure.	
25	MS. CHARLESWORTH: How do you define	

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1	be based on a true story or inspired by a true story	
2	that insert fictional characters, fictional	
3	circumstances, fictional arguments, lots of	
4	different things.	
5	And when we are looking at those, we are	
6	looking at them not just from fair use but also for	
7	personal rights issues, of course, because you have	
8	issues	
9	MS. CHARLESWORTH: Right of publicity,	
10	yes.	
11	Mr. Ruwe, did you have a question?	
12	MR. RUWE: You said that the Leni	
13	Riefenstahl films were probably available on DVD.	
14	Is that an inquiry that would go further	
15	before you seek or your client would seek to operate	
16	under an exemption?	
17	MR. PEREZ: Yes, we would go through that	
18	process of trying to figure out where they are, get	
19	the footage and whether it's legal to do that or	
20	not.	
21	MS. SMITH: I understand your position	
22	that there is blurred lines between genres, but in	
23	your written submissions, you have divided narrative	
24	films into "biopics inspired by" and, I guess,	
25	"other."	

1	Do you have specific examples where the
2	use of short clips, particularly in either inspired
3	by or more particularly not even inspired by, would
4	be a fair use that you would clear as opposed to
5	license?
6	MR. PEREZ: Sure.
7	There is a film that just came out called
8	"Van Dorla" and it's I would say it's primarily
9	fictional and they would like to the film is
10	basically Joseph Campbell's hero journey. And it's
11	a guy who is lost in life. He has a run-of-the-mill
12	job. He is not particularly happy with his
13	marriage. And so he is searching for something.
14	And it uses images from various films that
15	kind of parallel the situations that existed in his
16	life at that time and it's very explicit. In fact,
17	he refers to the films very specifically which he
18	says this is essentially my journey. So the use of
19	the clips is very transformative.
20	We would also refer you to the stories of
21	Matt Latham and Finite Films. Matt Latham wants to
22	make a satire of the representation of women in the
23	media. Finite Films, they want to use materials to
24	represent the media and they're interested in that
25	because they feel that media literacy for young

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1	people is very poor at this time.	
2	So again, these are situations where	
3	they're at the idea stage.	
4	They would come to us and ask this is what	
5	we want to do, are we able to take advantage of fair	
6	use.	
7	And if it's not the case, then we advise	
8	them to license it.	
9	And if it is the case that we think you	
10	could possibly be fair use, then we're guiding them	
11	throughout the process.	
12	And the key is that we're not only giving	
13	the opinion but the E&O insurance companies are	
14	agreeing with us. And they're notoriously	
15	conservative because they are the ones that are	
16	going to have to pay out of pocket at the end of the	
17	day.	
18	And we have a \$10,000 deductible or	
19	\$25,000 deductible for the filmmaker should the	
20	claims come in but the insurance companies are the	
21	ones that are paying out.	
22	MS. CHARLESWORTH: So ultimately, they're	
23	the ones in charge of the fair use decision. It's	
24	sort of a joke but not entirely.	
25	I think we're going to have to move on.	

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1	Thank you very much. I know we had a lot of	72
2	questions for you and we appreciate that you took	
3	the time to answer them.	
4	Mr. Lerner.	
5	MR. LERNER: I don't have an introductory	
6	statement, but if it would be possible, I would like	
7	to respond to a question that you posed to Chris	
8	which you said well, in a narrative film, you might	
9	be using a clip that is really just for	
10	entertainment value.	
11	And we have no interest in an exemption	
12	that covers clips just for entertainment value.	
13	Many of my clients who are documentary	
14	filmmakers license clips because they're	
15	entertaining all the time and that is how it should	
16	be.	
17	There are lots of situations, however,	
18	where you want to make fair use of something and	
19	licensing is not available and it's not it might	
20	be entertaining, but the point is that you are	
21	actually making a point about that film.	
22	And fictional films do that are now	
23	doing that more and more where they are making fair	
24	use in order to make a point that is transformative,	
25	that does add new meaning, that does satisfy fair	

43 1 use according to the four factors. 2 MS. CHARLESWORTH: Can I ask you, so do you think that -- in the past, we have talked about 3 comment and criticism as being a condition for the exemption, which I think goes to perhaps what you 5 6 are saying. Do you agree that that is a reasonable condition for an exemption here? 8 MR. LERNER: I think that comment and 9 10 criticism probably will cover most of the uses that 11 our filmmakers are wanting to make, probably a big 12 majority of those, but it doesn't have to be a 13 parallel standard where you have something that is just comment and criticism as opposed to saying if 14 15 you make fair use as has been defined and refined by 16 the courts, then you are able to use the exemption. 17 MS. CHARLESWORTH: Right, although we 18 typically try -- I mean in drafting the 19 exemptions -- I mean I am sure you know that we try 20 to provide some guidance. And just saying something is fair use doesn't really give a lot of information 21 22 to someone because you might have to hire Mr. Perez 23 to tell you whether it's fair use, but that -- I 24 mean when you include a condition like comment or 25 criticism, it kind of gives additional guidance,

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1	obviously, that is tied to the fair use standard	
2	very closely.	
3	In terms of when you are thinking about	
4	whether this exemption applies to you, think about	
5	whether you actually are commenting on this as	
6	opposed to just saying I am writing a film, it would	
7	be great to have a clip of this to move my story	
8	along, I mean, which are sort of two different	
9	things.	
10	MR. LERNER: We tell our clients all the	
11	time, if you are just using the clip to move your	
12	story along, then that is not an appropriate use.	
13	And I have many, many clients at least	
14	one of them is here in the audience where I have	
15	had to say if you want to use this clip and you want	
16	to make fair use of it, it's going to be more	
17	constrained, it's going to be more difficult, it's	
18	not you are not going to be able to do everything	
19	that you would do if you licensed it. And that's	
20	100 percent appropriate.	
21	And so I would just say it does make sense	
22	to have some guidance, for example, in the	
23	recommendation or in other spots, but we have the	
24	documentary filmmaker statement of best practices	
25	and fair use and lots of guidance about fair use and	

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1	awareness of fair use among fictional and narrative	
2	and documentary filmmakers. It's through the roof	
3	compared to what it was.	
4	And also, when you want to talk about	
5	guidance, you actually have a lot more contours than	
6	if you simply say criticism and commentary.	
7	That being said, criticism and commentary	
8	will probably cover most of the uses. And we think	
9	that would be we can certainly work with that but	
10	we don't think that is ideal.	
11	MR. CHENEY: Could you give an example of	
12	something that would be outside of that criticism	
13	and comment that you would suggest would be fair use	
14	that might help define the contours of this?	
15	MR. LERNER: One example might be parody.	
16	So a fictional filmmaker wants to parody something	
17	and wants to use a clip in order to make that	
18	parody. That could possibly be a form of criticism	
19	and commentary and probably be wrapped up	
20	MS. CHARLESWORTH: I think most people	
21	think parody is criticism and commentary.	
22	MR. PEREZ: We have another project that	
23	is in development about a fictional story set in a	
24	real-life setting.	
25	We have a U.S. soldier coming back from	

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1	Vietnam who comes back and he realizes that he just	
2	doesn't fit in. At that point, the American	
3	reaction to soldiers coming back was not favorable.	
4	So he just is miserable.	
5	And he wants to use a clip from the "Dick	
6	Cavett Show" that aired at the time that basically	
7	reflected that attitude that the American public had	
8	toward soldiers coming back.	
9	And I think some people might argue that	
10	we're not commenting directly on that clip, we're	
11	not criticizing that show, but it's a transformative	
12	use because it's a reflection of the real-life	
13	setting in which the story takes place, but the rest	
14	of the story is fictional in that the soldier never	
15	really existed and the story of his family never	
16	existed.	
17	MS. CHARLESWORTH: Some might argue it's	
18	not a fair use, I think, is the other side of the	
19	coin because it's used you know, you could	
20	produce many different things to fill that role, to	
21	fill that need in a film.	
22	I mean I am not saying whether it is or it	
23	isn't. I am just saying that whether that is a fair	
24	use could be a debatable point.	
25	And that is part of what we where driving	

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1	at here, is we have to create an exemption which	
2	applies in a general way, which is an unusual thing.	
3	Courts usually decide specific cases with a lot of	
4	specific facts.	
5	Mr. Learner, did you have anything else to	
6	add?	
7	MR. LERNER: I just wanted to say if you	
8	had a news organization creating a documentary,	
9	there could be news reporting types of uses.	
10	And also something that was made	
11	documentary but for a film somehow has some kind	
12	also of educational value. So you could conceivably	
13	have educational uses that were maybe also partly	
14	commentary and criticism, maybe not, but maybe some	
15	news reporting would also be included in the	
16	documentary and maybe that would also include	
17	criticism or commentary.	
18	And we can answer questions.	
19	MS. CHARLESWORTH: We are going to	
20	continue on.	
21	Mr. Benmark.	
22	MR. BENMARK: I have no introductory	
23	statements but I am available to answer questions	
24	you have.	
25	MS. CHARLESWORTH: We seem to have a lot	

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1	of them. So thank you for that.	
2	Mr. Sanjami.	
3	MR. SANJAMI: I also don't have any	
4	introductory statement but I will answer questions.	
5	MR. TAYLOR: Thank you.	
6	Mr. Morrissette, we heard from.	
7	Mr. Neill.	
8	MR. NEILL: Good morning, again, everyone.	
9	I will heed the instructions and jump	
10	right to the things that I want to get to.	
11	Just to introduce those, though, a little	
12	more specifics on the work that I do. It's a lot	
13	like the work that Chris Perez doesn't do. We are a	
14	nonprofit provider of legal services. So we are	
15	probably working with folks at a little earlier	
16	stage.	
17	We have worked with a variety of	
18	filmmakers and those filmmakers many of them are	
19	actually distributing a lot of media, including	
20	YouTube and other venues.	
21	And so some of them distribute in the	
22	classics for film, film festival, and then go to a	
23	distributor model, but a lot of them are	
24	distributing simply using the Internet.	
25	So obviously, the record now is showing	

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1	that all of those who include who make	15
2	non-documentary film use of content as well as	
3	documentary use of content use it in ways that, as	
4	Mr. Quinn mentioned, enhance many of those folks	
5	enhance political, social and cultural discourse.	
6	And I want to hit on two points in	
7	particular that seem to still be in question	
8	regarding why the full exemption is appropriate	
9	under Class 6.	
10	MS. CHARLESWORTH: When you say "full	
11	exemption," what do you mean?	
12	MR. NEILL: By "full exemption," I mean,	
13	first of all	
14	MS. CHARLESWORTH: Everything under the	
15	sun?	
16	MR. NEILL: As it's proposed. And that	
17	specifically means two things in the record that	
18	seem to still be under discussion, particularly	
19	which are that Blu-ray and HD content should be	
20	included and able to be accessed; and number two,	
21	particularly, that really the limitation should not	
22	be on the access shouldn't be limited to uses for	
23	documentary purposes.	
24	So there is certainly a lot of evidence	
25	regarding specific uses that would be harmed on the	

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1	record. There is 46 a list of 46 narrative	00
2	fictional whatever term you want to use. I will	
3	use the term "non-documentary" because that might be	
4	the best term for that.	
5	There is also we included, obviously,	
6	evidence of documentary filmmakers like Michael	
7	Shang on the record. Her work is also full of	
8	evidence showing the inadequacy of the alternatives	
9	proposed by the opponents, such as video recording	
10	screens, screen capture, required licensing.	
11	So getting to the two points I want to	
12	make regarding HD content, obviously, as has been	
13	discussed, 4K ultra HD is here. We have on the	
14	record statements from filmmaker Rick Bowman about	
15	the fact that at the recent American Film Market	
16	event in Los Angeles, the, quote, distributors	
17	didn't even want to look at films unless they had	
18	been filmed in 4K.	
19	And we can support some of the comments we	
20	have heard from Jim Morrissette and Mr. Quinn	
21	regarding the need for higher quality content.	
22	So regularly in addition to doing fair use	
23	reviews and signing off on letters to E&O insurance	
24	companies that was mentioned earlier, we also work	
25	with filmmakers when they do get a distribution	

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1	contract.	
2	And in recent years as we have been	
3	working with folks, we have done distribution	
4	contracts with folks like PBS and also some	
5	international distributors, as well.	
6	And we have seen the standards rise a bit	
7	for distributors. There is certainly a lot of	
8	evidence, as well, with audiences, whose demand for	
9	the higher quality of content is there. And just	
10	the need to basically get across their messages	
11	requires HD content.	
12	But essentially, when our filmmakers are	
13	going to distributors, we are finding more and	
14	more and we are actually dealing with some	
15	situations right now where filmmakers are getting	
16	rejections and having to go back and do extra	
17	processing, doing up-resolution of footage,	
18	re-editing and	
19	MS. CHARLESWORTH: Who is rejecting? Can	
20	you give me a couple of specific examples of	
21	distributors who are rejecting the films?	
22	MR. NEILL: Sure.	
23	Well, actually, one of the films that I	
24	mentioned, I am not going to mention the distributor	
25	because the deal isn't finished. We're in the	

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1	middle of dealing with that, but it's an	
2	international distributor that distributes to	
3	broadcasts all across the globe and it's for	
4	worldwide distribution outside of the U.S.	
5	So it's a major broadcast distributor and	
6	they what happened there, the filmmaker is simply	
7	having to go back and actually have a technician,	
8	because they are not specialized in doing this, do a	
9	number of up-resolution, taking a variety of	
10	footage, some of archival footage. Folks are	
11	actually able we have talked about some older	
12	footage they're able to get archival footage that	
13	is clearly from a time when there wasn't a certain	
14	quality available. Sometimes that will be able to	
15	pass, but they still will probably want some kind of	
16	up-processing done to it.	
17	MS. CHARLESWORTH: But they are making a	
18	technical fix that fulfills the distributor's	
19	specifications.	
20	MR. NEILL: Trying to, yes.	

And the interesting thing is that without 21 access, those hurdles -- they already have enough 22 hurdles in terms of trying to get -- many filmmakers 23 24 we do work with, we working with for free or we are 25 working with for a nominal fee.

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1	So they are already overcoming this hurdle	
2	and they are having to maybe pay for insurance.	
3	They are also going to have to deal with if I can't	
4	get the footage, you know, through circumvention,	
5	then I have to go through all of these other steps	
6	to try to make the footage fit into the requirements	
7	of the distributor.	
8	And allowing this exemption helps to	
9	alleviate some of that burden. And so that is	
10	regarding the need for HD content.	
11	The other piece that I wanted to address	
12	directly is regarding the need for the exemption for	
13	all types of filmmaking instead of just simply for	
14	documentary filmmaking.	
15	As we said on the record in our comment,	
16	we encourage the Copyright Office to pass an	
17	inclusive exemption that covers any filmmaker who	
18	uses copyrighted motion pictures.	
19	And to back that up a little bit, to	
20	explain that a little bit more, I just wanted to	
21	mention that, again, drawing bright lines between	
22	types of filmmaking is extremely difficult. I think	
23	we just saw that in the discussion.	
24	And I have been using this term	
25	"non-documentary" ever since I heard "fictional" and	

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	1	"narrative" just because I do find it very difficult	
	2	to draw a line. And I guess I would find it more	
	3	difficult than almost anyone because I work with a	
	4	lot of YouTubers.	
	5	And many folks I work with who are	
	6	distributing online I mean they might not even	
	7	call their film fictional or narrative.	
	8	MS. CHARLESWORTH: You are talking about	
	9	now short videos?	
	10	MR. NEILL: That would be short films or	
	11	longer-form films, absolutely, just in terms of the	
	12	venue that they're distributing on. They might be	
	13	distributing online instead of, say, maybe a	
	14	traditional film festival and distribution route.	
	15	MS. SMITH: Do the people who distribute	
	16	online or through YouTube have the same sort of the	
	17	resolution requirements as those distributing	
	18	through film festivals?	
	19	MR. NEILL: That is really an interesting	
	20	question, actually.	
	21	I guess the best way I could answer that	
	22	reasonably I will see if maybe over the summer if	
	23	I might be able to put in some more if the deal	
	24	is done, maybe I can give some more details, but the	
	25	international distributor that I was mentioning,	
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1	just for what it's worth, the requirements are the	55
2	same for that.	
3	So that person is going to have to do	
4	those fixes for both. And they were required to do	
5	that for both because what is happening is	
6	MS. CHARLESWORTH: For both what?	
7	MR. NEILL: And that distributor is going	
8	to have it on broadcast and that distributor is	
9	going to have it in what are called "catch-up	
10	rights" online, that is, online after the broadcast.	
11	And the requirements were the same there and they	
12	had to do up-processing and up-resing. So yes.	
13	And I just so it is really hard to draw	
14	lines.	
15	I think that biopics, in particular I	
16	know we talked about it, but they are great examples	
17	of the mix of fictional and nonfictional elements.	
18	I find it extremely difficult to give those a hard	
19	definition, either.	
20	And I just wanted to mention that we	
21	certainly work with a lot of folks who are	
22	distributing online. And just to have them looking	
23	at this exemption and think of a lot of those	
24	folks who don't even get to the point of getting	
25	legal assistance looking at that exemption and	

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1	saying well, maybe I am not a fictional filmmaker,	
2	am I a narrative filmmaker, I don't know if I am.	
3	I think that may be a little daunting.	
4	Fair use already requires a lot of challenges and we	
5	are working carefully with those folks to try to get	
6	that right.	
7	I would also mention that regarding the	
8	specific comments on the record, regarding this idea	
9	that films with fictional content are, quote,	
10	typically entertainment I know we touched on that	
11	briefly but obviously, whether films are I	
12	know that that's taken from a statement that was in	
13	the 2012 rulemaking recommendation, but whether or	
14	not films are, you know, nonfictional films or	
15	excuse me, I apologize non-documentary films,	
16	typically, entertainment is irrelevant to whether an	
17	exemption for a non-documentary filmmaker should	
18	exist.	
19	MS. CHARLESWORTH: Why is it irrelevant?	
20	MR. NEILL: So even the use of the word	
21	"typically" rather than a word like "always"	
22	MS. CHARLESWORTH: But the point is you	
23	have to show substantial adverse effects. There	
24	isn't it's not a 100 percent showing one way or	
25	the other.	

1	Again, I reiterate, we have to deal at
2	some level in generality here. So when we are
3	drawing lines in this process, we have to do it with
4	sort or looking at the likelihood that something is
5	more likely to be fair use versus less likely.
6	So that's why it's relevant.
7	MR. NEILL: I think that well, you
8	know, the fair use, I think the best way to respond
9	is that the fair use test is really an individually
10	applied test to each circumstance and that the best
11	way to respond is that there are infringing uses
12	that can be made in documentaries and we just talked
13	about that.
14	And we all help get people licenses. I
15	help people get licenses every day, but there are,
16	obviously, you know, many non-infringing uses that
17	can be made of documentaries but the same goes for
18	non-documentary film. Really, there can be, you
19	know
20	MS. CHARLESWORTH: I think traditionally
21	there has been I am sorry, I am violating my own
22	rule. I interrupted you, but I think traditionally
23	in a non-documentary setting, the content is often
24	licensed and you have a market for the use of clips
25	in non-documentary films that is pretty well
1	

established.

So, obviously, we're very interested in this issue. I said this at the outset, but we're struggling with what the -- whether there would be any boundaries to what you are proposing, how you would protect -- how you would tailor something so there was truly a fair use and you weren't, for example, undermining a market, which is one of the fair use factors.

MR. NEILL: So I think that the exemption proposed really does tailor to the fair use test and trying to -- I think there is a very real and existing license market on the documentary side, as well.

And again, one of the nice things about actually going down that line of thought that you talked about is -- this was even in the opponent's comments -- was that okay, well -- and even conceding that non-documentary uses are typically entertainment or typically primarily entertainment, the nice thing about that is that that means there is a very narrow set of uses that could be fair use and those are the uses that we're talking about here, that there are uses, and that was talked about earlier, but we work really carefully with folks and

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1	there are uses where folks really do need to make	
2	fair use.	
3	And I would just leave with you two	
4	things. Number one, as you are hearing all the	
5	testimony today, I would say number one, keep in	
6	mind that the proposed exemption, again, regarding	
7	what you were just talking about, only permits uses	
8	covered by fair use. So if the use is not fair use,	
9	then any circumvention and copyright infringement	
10	claims persist; and number two	
11	MS. CHARLESWORTH: But again, that is just	
12	sort of circular, saying if it's fair, you can do	
13	it.	
14	I mean we like to try to get a little more	
15	guidance to people when they are applying the	
16	exemption. So saying it's a lawful use can be	
17	helpful but it	
18	MR. NEILL: I think that the guidance we	
19	are talking about when you mention things like	
20	cutting lines about commentary or criticism or	
21	cutting lines based on type of filmmaking, my	
22	contention there would that it's a more confusing	
23	line to draw and it doesn't really provide helpful	
24	guidance, would be my suggestion there.	
25	And this is the last thing I would	

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	1	mention, is that if a copyright holder doesn't	
	2	provide a license to footage and if that footage is	
	3	behind a technological protection measure, it's	
	4	simply not available for public discourse.	
	5	So thank you.	
	6	MS. CHARLESWORTH: Now, I think we're	
	7	going to move onto the other side of the room. They	
	8	have been so very patient and probably have a few	
	9	things to say in response and then we will come back	
	10	to Mr. Morrissette.	
	11	Mr. Williams.	
	12	MR. WILLIAMS: Thank you for having me	
	13	here again today on behalf of MPAA, ESA and RIAA.	
	14	My clients do not oppose the existing	
	15	exemption being renewed for documentary filmmaking.	
	16	We are not here to fight against that.	
	17	We are opposed to expanding the exemption	
	18	in five proposed ways. That includes eliminating	
	19	the limitation to use short portions of motion	
	20	pictures.	
	21	We're opposed to expanding it to cover	
	22	fictional filmmaking.	
	23	We do not think that you should eliminate	
	24	the requirement for criticism and comment.	
	25	We do not think it should be expanded to	
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1	cover Blu-ray discs, hacking of those discs.	0_
2	And then we don't think it should be	
3	expanded beyond motion pictures to all AV works.	
4	I am going to first touch on the first	
5	three of those, short portions of fictional versus	
6	documentary filmmaking and criticism and comment.	
7	We think those are crucial or, as the	
8	registrar said in 2012, critical to keeping this	
9	exemption close to what is typically going to	
10	qualify as fair use.	
11	When you start eliminating those	
12	requirements, you get into much murkier waters. And	
13	we do think in contrast to our friends here, that it	
14	is helpful for people have those guiding principles	
15	in the actual regulation.	
16	MR. CHENEY: Mr. Williams, just to come	
17	back to something that was mentioned earlier, there	
18	was a term "educational." Would that be something	
19	that is a term that we could add to that list?	
20	MS. CHARLESWORTH: I think that goes to	
21	another exemption class, which we will probably see	
22	many of the same people there.	
23	MR. WILLIAMS: I am going to touch that in	
24	a second, the relationship between those different	
25	classes, but I don't think we would be opposed to	

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1	adding educational to criticism and comment. I	
2	think that is one more way to bring it closer to	
3	fair use.	
4	And we're not saying that using a portion	
5	of a motion picture in a fictional film is never	
6	going to be fair use. That's not what we're here to	
7	say. It's just that it is much murkier waters.	
8	It's much clearer in the documentary context. So we	
9	think it's important to keep this proceeding in that	
10	clear space.	
11	The reason it's not as clear as in	
12	fictional filmmaking, we think the use will often be	
13	engaged in in order to kind of gain the audience's	
14	attention to get them to stay immersed in the film	
15	and that that is something that should be licensed	
16	under Campbell and other cases. If you are using a	
17	preexisting work to grab the audience's attention	
18	and suck them in, that is something that typically	
19	should be licensed.	
20	MS. CHARLESWORTH: Just to make the record	
21	clear, when you say "fictional," do you think that	
22	means the same thing as "narrative" in the	
23	proponent's proposal?	
24	MR. WILLIAMS: Right.	
25	My understanding how they are using	
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1	"narrative" is that they are using it to refer to	
2	fictional or scripted fictional films.	
3	I kind of enjoyed coming into this and	
4	pondering the difference between New Media Rights'	
5	comment that put it very succinctly, I thought, what	
6	is the difference between fiction and nonfiction and	
7	that's an interesting metaphysical kind of	
8	existential question, but I don't think we have to	
9	actually answer it perfectly in order to come up	
10	with a definition of documentary that would help	
11	clarify what the existing exemption covers.	
12	And we actually think this would be quite	
13	helpful and we have said this in the past to	
14	split apart this existing bundle exemption that	
15	covers motion picture uses and clips from motion	
16	pictures so that they are separate exemptions that	
17	would clearly cover defined uses of documentary	
18	filmmaking, remix video uses, educational uses,	
19	nonfiction, multimedia eBooks.	
20	Right now, the way it's set up, in our	
21	view, it's hard to distinguish between them and it's	
22	hard to know whether a non-commercial video, for	
23	example, consumes all of educational videos or	
24	whether a documentary film consumes educational	

uses.

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1	We don't think that is the way the office	
2	has intended it because they are clearly separate	
3	classes there, but because there are no definitions	
4	and because some of them are quite similar, we are	
5	afraid that someone who really should be operating	
6	on the educational uses restriction might just say	
7	well, we're a noncommercial video maker. So we do	
8	think that would be helpful.	
9	It's hard to perfectly define. I grant	
10	them the difference, but I think it's kind of easy	
11	to spot what we think of as a documentary film.	
12	I just very briefly looked for some	
13	definitions and I will throw one out. I don't know	
14	that it's perfect. I am sure you could poke holes	
15	in it and we would be happy to comment on any	
16	conversation that you guys come up with in response	
17	through a letter, but just to in Wikipedia citing	
18	the Oxford English dictionary, they say a	
19	documentary film is a nonfictional motion picture	
20	intended to document some aspect of reality	
21	primarily for the purposes of instruction or	
22	maintaining an historical record.	
23	And you can throw in that there is some	
24	non-scripted element to it that is probably based on	
25	truth.	
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1	So we do think having a definition would	
2	be helpful and that there is a distinction under the	
3	law between that kind of filmmaking and fictional	
4	filmmaking.	
5	MS. CHARLESWORTH: Where would you put	
6	biopics in this?	
7	MR. WILLIAMS: So I think there are very	
8	few examples in what has been put forward and I	
9	think you have been putting your finger on that	
10	today of actual uses of clips of encrypted	
11	sources of video in motion pictures.	
12	And what I think of as a biopic one of	
13	examples that have given is the Linda Lovelace movie	
14	about the adult film star and the struggles she went	
15	through that led to the case Arrow versus Weinstein.	
16	And that case did not involve the use of clips of	
17	encrypted video. It instead involved restaging of	
18	scenes from a motion picture that came out earlier.	
19	And so that type of film doesn't by	
20	necessity involve, as I see it, any type of clip	
21	uses. It might involve clips but that film did	
22	not	
23	MS. CHARLESWORTH: But does it fall more	
24	on the documentary side of the line or the	
25	non-documentary side of the line?	

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1	MR. WILLIAMS: In my view, it would fall	
2	more on the non-documentary side of the line because	
3	it's a fictional, scripted take on the historical	
4	identity.	
5	MS. SMITH: Can you address the Cesar	
6	Chavez use.	
7	MR. WILLIAMS: I confess I haven't seen	
8	that film or how they have used the clips.	
9	MS. SMITH: I just want to say I think in	
10	the record, they do say that 1201 was	
11	implicated.	
12	MR. WILLIAMS: Right. And I think that is	
13	getting to the questions you had on archival	
14	footage. And, of course, I don't think when that	
15	footage was shot, it would have been encrypted. And	
16	so somewhere out there there is original source	
17	footage.	
18	I think what they are saying is that	
19	either they would have to get a license to put their	
20	hands on that footage or it's easier and more	
21	convenient to use a DVD of the footage that is out	
22	there in the marketplace.	
23	And I am not so sure that in either of	
24	those instances, the answer shouldn't be try to go	
25	get the original first, at least.	

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1	MS. SMITH: And try to get the original	
2	unencrypted on DVD.	
3	MR. WILLIAMS: Correct. Well, I don't	
4	know that it would be on a DVD, but the original	
5	footage, however it was shot, I don't think would be	
6	encrypted. It would be a commercial product that	
7	would have been put out containing the footage.	
8	That would be encrypted.	
9	MS. SMITH: And if that was not possible	
10	or the examples of the Leni Reifenstahl films, would	
11	you think that they would need a license?	
12	MR. WILLIAMS: I think obtaining a license	
13	for a fictional film would be preferrable to using	
14	it through circumvention, yes, because of the issues	
15	I was raising. It's just murkier waters when it	
16	comes to fair use whether they really need to use	
17	the original footage.	
18	I will continue a little bit.	
19	Some of the other examples that they	
20	raised were cinema verite. That, again, as I	
21	understand it and as they describe it doesn't	
22	involve clip usage. It's capturing unaltered	
23	reality, is what they say. And so I don't think	
24	that is an example of a need to circumvent any type	
25	of technological measure.	

1	Many of the other cases that they cite for
2	the notion that you can make fair use in fictional
3	films, we would not object with that principal. You
4	can make fair using in fictional films, but these
5	cases do not, again, involve use of clips from
6	encrypted sources.
7	The Bourne versus Fox case was about the
8	"Family Guy" episode. We saw a little bit of
9	"Family Guy" images earlier today. It's an animated
10	show that doesn't involve clip usages and it was
11	also a case involving a claim about music.
12	It was a claim about a parody of "When You
13	Wish Upon a Star." So it was not a case about clip
14	usages. It was a case that shows in a fictional
15	work, you can make fair use, but I don't think it's
16	particularly relevant here.
17	And I would note that in that case, Fox
18	actually did seek a license first in that case
19	before making the promoted use, just for the record.
20	There are some examples they gave and I
21	haven't been able to see them because I think they
22	are still things that are in production, like Matt
23	Latham's desire to use certain images of women from
24	motion pictures in order to make a good point about
25	how we see women in media.

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1	Some of those might rise to the level of
2	fair use. Without seeing any of them, it's hard to
3	say, but again, I think the record contains so few
4	examples like that that really, we shouldn't wade
5	into this new murky water with creating a fictional
6	use exemption.
7	Simon here from Fox is going to talk some
8	about the fact that the move studios are eager to
9	license uses of their films. And so there is a
10	market impact.
11	And I think Jack acknowledged that usually
12	the standard practice when you are dealing with
13	fictional work is to seek a license.
14	I think when Simon delivers the testimony,
15	you will see it might be relevant to multiple
16	classes.
17	So as I said yesterday, if you would be
18	willing to look at the transcript from this
19	proceeding when you are considering educational
20	uses, for example, that would be appreciated.
21	MS. CHARLESWORTH: I mean we are trying to
22	keep each record clean and whole. So it'll probably
23	be in our heads, though.
24	MR. WILLIAMS: I understand that.
25	MS. CHARLESWORTH: I just want to be clear

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1	that we are not incorporating, necessarily, parts of	
2	records from one class to another just because it	
3	becomes very murky, to use a word that has been used	
4	already.	
5	MR. WILLIAMS: I appreciate and I	
6	apologize that we are not able to put the witnesses	
7	on all of the panels all the time, but we have tried	
8	to make sure we have brought them to the most	
9	relevant panels.	
10	MS. SMITH: On sort of on a separate	
11	matter, in 2012, the office also granted exemptions	
12	for use of screen capture it was sort of	
13	unsettled whether or not the exemptions were	
14	necessary to joint creators who contend that a	
15	exemption for screen capture software is necessary.	
16	MR. WILLIAMS: We don't oppose renewal of	
17	those exemptions. I'm not saying it's necessary but	
18	we don't oppose the renewal of those exemptions.	
19	MS. CHARLESWORTH: Do you think the screen	
20	capture technology may include circumvention? There	
21	is an area that I know we have the same questions	
22	coming under every round.	
23	It's your position is it your position	
24	that it may at times or that it never does?	
25	MR. WILLIAMS: I think our position is	
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1	saying that it has been and we haven't done the	
2	kind of technical studies that DVDCAA has done over	
3	the years. We have basically assumed that the	
4	studies they have done are correct and at least	
5	specific products that they have studied, the source	
6	material is being captured after it's decrypted, and	
7	in that event, it would not be an exemption.	
8	MS. SMITH: So you are not aware of any of	
9	your clients taking an infringement position or	
10	saying it's a violation based on	
11	MR. WILLIAMS: No, I am not aware of any	
12	actions against any screen capture technology	
13	players.	
14	MS. CHARLESWORTH: And you're opposing the	
15	expansion to audiovisual can you just address	
16	that audiovisual works as opposed to motion	
17	pictures.	
18	MR. WILLIAMS: Sure.	
19	As I take it, that would mean we go beyond	
20	motion pictures to video games, but I didn't see any	
21	real discussion in the record of why circumvention	
22	would be necessary in that space or how they would	
23	go about using game footage. And so just on that	
24	basis, I don't see any justification for expanding.	
25	And I don't have much more here. I know	

we need to move along.

The last thing I just want to touch on quickly was Blu-ray. We don't believe that you should expand this exemption to cover Blu-ray and we don't think that they have met their burden to show that they do need to do that.

We have in our comments an explanation of the fact that pretty much everything that is on Blu-ray can be obtained on DVD or through some online video source.

Because we're not opposing renewal of the exemption for those new sources and material, we don't think they need to get to the Blu-ray disc and undermine the technological measures that are used in connection with Blu-ray.

I would note, as you saw yesterday, even if you just bought a standard definition DVD several years ago and you wanted to obtain an HD digital copy of that, you could use the disc-to-digital program and for \$5, you would have an HD digital copy. We are not thrilled that under the exemption you could circumvent those copies, but that is kind of water under the bridge. So if you can get that HD source material, we don't see why you need to circumvent Blu-ray discs.

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1	MS. CHARLESWORTH: So are you saying for
2	any content that is out on SD DVD, you can get that
3	upgraded to HD DVD?
4	MR. WILLIAMS: I think it's a little bit
5	of a stretch to say everything available in standard
6	def, you could do that with, but yes, a huge
7	majority of studio titles that are out on DVD can be
8	converted, using Vudu's service for \$5, to an HD
9	digital copy.
10	MS. CHARLESWORTH: And when you are saying
11	"converted," does that mean can you explain a
12	little bit about that.
13	Obviously, if we have an exemption that
14	doesn't include Blu-ray and people are looking for
15	HD, we need to understand how accessible that is.
16	MR. WILLIAMS: And I know your time is
17	limited, but I would encourage you to play around
18	with some of these services. They work pretty well.
19	And three years ago when we were here, we
20	were basically describing if you wanted to convert
21	your DVD, you would have to take them physically
22	into a Walmart and convert them, but now if you sign
23	up for Vudu, which is an online service, you put
24	your DVD in the disc drive, the service recognizes
25	that you have a lawful copy of that DVD, and you

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1	tell them I either want to pay \$2 and get a standard	
2	definition digital copy or you pay \$5 and get an HD	
3	digital copy. And it's very seamless, takes	
4	minutes, and you have got that resident on your	
5	laptop.	
6	MR. DAMLE: And to be clear, you wouldn't	
7	oppose an exemption for HD content, streamed content	
8	or downloaded content.	
9	MR. WILLIAMS: Right.	
10	So as I read the current exemption, there	
11	is no limitation that if you own a download or pay	
12	for a download of HD quality, that is excluded. I	
13	don't see anything in the exemption that excludes	
14	that from the current.	
15	I think one thing that they have raised in	
16	their comments as an interesting question that I	
17	don't know the answer to is whether you intended	
18	last time around when you say distributed online	
19	video to use the term "distributed" in a copyright	
20	sense and actually limit it to only copies that were	
21	downloaded or whether you intended it to cover	
22	streaming.	
23	I think if you read the recommendation, it	
24	often refers to things like transmitted digital	
25	video, which to me would imply streaming is covered,	

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1	but if you just use the word "distributed," you	, 0
2	could argue it's not covered. I am not sure you	
3	what intended to do.	
4	I think certainly as long as Blu-ray stays	
5	in the safe space, we wouldn't be objecting to using	
6	"transmitted" instead of "distributed."	
7	MS. CHARLESWORTH: On that issue on	
8	Blu-ray, why is it important to distinguish	
9	Blu-ray which is my understanding is Blu-ray, the	
10	technology, has been hacked, maybe not widely	
11	hacked I mean what is the concern specifically	
12	about Blu-ray?	
13	MR. WILLIAMS: I think you are right it	
14	has been hacked and it has not been widely hacked in	
15	the way that CSS was. And because it's a kind of	
16	premium product for us and in the technological	
17	measure has proven to be much more robust and better	
18	at adapting than previous measures, we would like to	
19	retain the protection for that product.	
20	I think Bruce can probably speak quite	
21	well to the importance of it, but that is part of	
22	our reasoning and we don't think there is a real	
23	need for it given the existing exemption that	
24	already covers these type of products.	
25	That was the last topic that I wanted to	

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1	touch on other than there is a lot of talk about the	70
2	gatekeepers and I understand why that is the case,	
3	but I just would remind you that in the legislative	
4	history and the manager's report, there is a pretty	
5	clear statement that if the problems people are	
6	facing are due to some requirements imposed by	
7	intermediaries or distributors, that is not a valid	
8	grounds for an exemption.	
9	It's page six, I believe, is the manager's	
10	report, which in the Copyright Society Journal	
11	reprint is on page 639.	
12	And that is all I would have for today.	
13	Thank you very much.	
14	MS. CHARLESWORTH: Thank you.	
15	Mr. Swart.	
16	MR. SWART: Good morning. I am the EVP	
17	and General Manager for Fox Home Entertainment	
18	managing U.S. and Canadian business for 20th Century	
19	Fox.	
20	As you know, we produce and distribute	
21	motion pictures and television content.	
22	Recent pictures include "Night at the	
23	Museum: Secret of the Tomb," "Taken 3," "Gone Girl"	
24	and the academy award winning "Birdman."	
25	And some of our current TV shows, as	

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1	mentioned, is "Family Guy," "Simpsons," "Empire,"	
2	"Last Man on Earth" and "New Girl."	
3	And I am going to leave all the legal	
4	arguments to our counsel, but I will instead try to	
5	provide relevant facts about our business. And to	
6	the extent I don't have information needed, I would	
7	be happy to follow up and get you that information	
8	to the extent I can share that.	
9	And I understand the panel today is	
10	focused on derivative uses of our content in other	
11	film works and I will speak specific to that issue,	
12	but I hope you will agree that my testimony is	
13	relevant to other proposals, as well, as previously	
14	mentioned.	
15	So although my primary responsibility at	
16	Fox is monetizing our creative assets through sales	
17	of DVD and Blu-ray discs, as well as through all	
18	methods of digital dissemination, I will also speak	
19	today about our ongoing licensing efforts because	
20	I think, as everything knows, we have a \$16 billion	
21	to \$17 billion consumer business in the United	
22	States, but that business is changing dramatically	
23	and I know there is a lot of headlines out there	
24	about how the business is shifting.	
25	And so our challenge is to devise new	

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1	business models to leverage new points of	
2	distribution and make sure that the consumer has	
3	access to our content in the best available way in	
4	a legal and protected environment.	
5	As you know, we invest substantial	
6	resources in creating and distributing films and it	
7	is a high-risk business.	
8	You know, one of the movies I stated,	
9	"Night at the Museum," took about \$170 million at	
10	the box office and the reported budget on that was	
11	about \$125 million and the marketing was in the tens	
12	of millions of dollars in the U.S. And that movie	
13	six months after its release is now close to	
14	approaching break even as opposed to profit.	
15	You know, a few years ago, the original	
16	movie was in profit by the time it reached the	
17	ancillary markets. So the marketplace is changing.	
18	Every dollar spent by the consumer is not	
19	always equal in terms of profit implication. I am	
20	sure most of the filmmakers in the room understand	
21	that.	
22	MS. CHARLESWORTH: Can I ask you, because	
23	we're talking about basically some one of the	
24	questions here is whether it's an unlicensed use	
25	versus a use that requires a license. And we have	

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1	had a lot of discussion about, for example,	
2	non-documentary films.	
3	Can you remark a little bit about that	
4	market, the licensing market for derivative uses and	
5	whether you think that would be impacted by the	
6	proposal that proponents have put forth in terms of	
7	using clips in, say, narrative films.	
8	MR. SWART: We actually do a pretty	
9	vibrant licensing business. We have a clip	
10	licensing business. We have a team of three people	
11	that actually looks and considers 2000 applications	
12	a year on average. So we actually have a business	
13	organization and we are set up to actually	
14	accommodate and handle those requests.	
15	MS. CHARLESWORTH: Do you see any	
16	impact let's say we were inclined to grant an	
17	exemption for short clips, fair uses in narrative	
18	films. There is already been exemption for	
19	documentary films.	
20	Do you see that as materially impacting	
21	the sort of clip licensing that you do or do you	
22	think that it would be have little impact?	
23	MR. SWART: I think it would probably have	
24	a pretty substantial impact on that business unit,	
25	certainly. So I think there are some fairly	

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1	significant commercial implications of that.	
2	And I think one of our primary concerns is	
3	that once works are circumvented for any reason,	
4	they're far more susceptible to piracy because, as	
5	you know, piracy is a massive issue for us. We keep	
6	track of and monitor that with the MPAA.	
7	And once you have unlocked a movie that is	
8	out there, for whatever reason your question	
9	about Blu-ray encryption and I will defer to	
10	Mr. Turnbull later but the reason why we're so	
11	strongly in support of keeping the encryption and	
12	holding Blu-ray out is because when it comes to	
13	piracy, the biggest issue that works in a pirate's	
14	favor is time.	
15	If they get out in front of us we had	
16	"X-Men: Days of Future Past." Before we released	
17	the movie in the ancillary market in the U.S., there	
18	were over 50 million illegal views of that movie	
19	worldwide before we even had a product in the	
20	marketplace, which we eventually sold around	
21	6 million copies of that particular movie.	
22	So for us, the fight against piracy is	
23	about trying to control timing.	
24	So the camcorder version of the movie is	
25	available almost immediately as it shows up in the	

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1	theater. And the minute you release it on DVD or	
2	digital in any market in the world, that becomes the	
3	source material for a pirate.	
4	With Blu-ray, the key thing with Blu-ray	
5	is it takes longer to hack than any other form of	
6	distributed media.	
7	MS. CHARLESWORTH: So is your main concern	
8	at Fox about this potential exemption the piracy	
9	issue? Is that it rather than the licensing issue?	
10	MR. SWART: It's dual. It's about the	
11	piracy issue and being able to control and regulate	
12	that which we can. And then we believe that would	
13	also destroy our licensing business.	
14	And as I say, with any distributor we work	
15	with, copy protection and encryption is critical.	
16	So as we go into the digital	
17	marketplace and I will share some statistics with	
18	you in just a minute as we negotiate with	
19	distributors and licensees on the Internet and with	
20	cable providers, we pay a considerable amount of	
21	attention to copy protection and the encryption	
22	services to protect our media.	
23	As I said, particularly when it comes to	
24	advanced access content system that is used on	
25	Blu-ray, relative to other types of DRMA, CSS is	
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	1	still doing quite well against hacking and that's	
	2	why we would caution against undermining the	
	3	technology, because it at least gives us more time	
	4	to actually commercially release and exploit our	
	5	movies before the pirates get access to it.	
	6	MS. CHARLESWORTH: Do you have any	
	7	evidence maybe this not in your department but	
	8	a connection between sort of the types of uses and	
	9	using Blu-ray clips and derivative clips in films in	
	10	the way that has been described here today and	
	11	piracy.	
	12	MR. SWART: We don't have a direct link as	
	13	far as I am aware but I can look into that.	
	14	As I say, we do monitor the actual piracy	
	15	resources with the MPAA around the world. I am not	
	16	sure of the exact link to short form content.	
	17	So continuing, as you know, the	
	18	marketplace for digital content continues to evolve	
	19	rapidly. Three years ago during these last	
	20	proceedings, the estimates were that roughly	
	21	30 million digital tablets had been sold. That	
	22	number is now over 200 million. So obviously, we	
	23	are seeing a proliferation of portable content	
	24	packagers. And that makes it very clear to us that	
	25	consumers want access to our content for these	
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83 1 devices. And we estimate that online video revenue 2 will be close to \$9 billion, according to Kagan this 3 year. And in response to that, obviously, we 4 5 continue to aggressively embrace a variety of methods of dissemination. 6 And as you heard more about on another panel, Mark Tietell of DECE spoke to you guys about 8 UltraViolet whereby consumers can pay one price to 9 access their content on multiple online services as 10 11 well as on Blu-ray discs and by way of associated 12 digital copies. 13 We kind of led the way in providing legal 14 use for consumers of providing digital copies on 15 substantially all of our new releases because we 16 wanted to provide a legal way for consumers to move 17 their content around. And I believe that was covered earlier. 18 19 Right now, we have over a thousand titles 2.0 that are UltraViolet enabled, and that is at least 21 as many that have a digital copy option. 22 people buy a disc, they can redeem a code -- if they 23 go online, they can redeem a code and pick their 24 online digital service for which they want to add

that title into their library and the definition

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1	which they would like.	
2	Using UltraViolet retailers such as Vudu	
3	and Flixster, consumers can even convert their early	
4	DVD's into UltraViolet to enable high definition	
5	copies for a very small price.	
6	Three years ago, a pilot program at	
7	Walmart started and you could go into a Walmart and	
8	take your legitimate discs and you could create	
9	digital versions of those either in standard	
10	definition or high definition.	
11	And as Matt said earlier, that is now	
12	available for you in home if you have an	
13	authenticated disc.	
14	MS. SMITH: And do you know how much	
15	content is available for	
16	MR. SWART: For us, it's over a thousand	
17	titles. It's substantially all of our titles that	
18	are out on DVD and that are on digital.	
19	MS. CHARLESWORTH: Movie titles?	
20	MR. SWART: Movie titles, yes.	
21	And in addition to that, we have nearly	
22	600 titles that are available on VOD services,	
23	through Allied and Amazon.	
24	And VOD is for titles that we haven't been	
25	able to release in the physical, where it doesn't	

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1	make sense. So movies for horror movie fans or film	
2	students looking for archival movies.	
3	And we're actually accelerating that.	
4	That is up from about 300 titles a couple of years	
5	ago.	
6	The challenge we have there is just	
7	finding the assets for the condition of the raw	
8	materials so we could actually provide it to the	
9	services.	
10	So where we may not the economics may	
11	not make sense for us to do a massive press on DVD	
12	and Blu-ray and invest in that, we do make these	
13	movies available for manufacturer on demand release	
14	sources.	
15	And Allied is basically a back end out of	
16	the midwest that provides services to online	
17	retailers not so much Amazon because they do it	
18	themselves, but other retailers that are interested	
19	in offering that service to their clients.	
20	And right now, content is available	
21	through online services such as Hulu, Netflix,	
22	Amazon, iTunes, YouTube, Google Play, Fox.com,	
23	through video game consoles, cable set-top boxes and	
24	Comcast.	
25	And three years ago, we had about 400	

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1	movie titles and TV titles available through digital
2	distribution. Now, we have more than 1400 titles.
3	So we're going as fast as we can to get the titles
4	out there and available.
5	Three years ago, we had licensed our
6	content to about a half a dozen online providers.
7	Now, there are more than 20 in the United States.
8	So in terms of breakdown to how many
9	titles we have, on EST, we have about 900 titles
10	between Fox and Dreamworks, so about 625 titles
11	available for adding to library on TV.
12	For video on demand, we have over a
13	thousand titles and about 150 titles on TV.
14	And for SVOD, we have about 150 films and
15	144 TV series. And the limitation with SVOD,
16	subscription video on demand tends to be an
17	individual studio's relationship with a premium
18	cable company because the premium cable companies
19	will pay for the exclusive right to that content.
20	So that is the only limitation in putting more
21	movies into SVOD, is the exclusive rights that we
22	sell in advance.
23	MS. SMITH: In terms of the circumvention
24	exemption sought for material distributed online, do
25	you have any specific concerns about the various

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1	technological protection measures or is it your view
2	we treat them as sort of all the same online in its
3	own category? Does it matter what type of
4	technology is used for encryption for online?
5	MR. SWART: For our online content right
6	now, we would like to have the AACS standard, but at
7	a minimum, it has the DVD standard DRM that goes
8	with it.
9	And we verify every service that I just
10	mentioned, we go through very diligently what their
11	DRM is and how robust their DRM is, but usually
12	within those rights, we will allow consumers to make
13	copies of the entire show. Like they could redeem
14	it and move their content around.
15	There is multiple use options allowed with
16	most of the digital providers and it varies based on
17	the provider and I understand it varies by studio,
18	as well.
19	And I think coming back to our physical
20	business, as much as the marketplace is changing,
21	the vast majority today of our transactions still
22	come from DVD's. That is still the most pervasive
23	format out there. We're seeing the Blu-ray part of
24	the business is growing but not as fast as it was
25	before.

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1	And the digital library building is	
2	growing aggressively and, obviously, subscription	
3	streaming is growing aggressively.	
4	MS. CHARLESWORTH: And I want to have you	
5	wrap up in the next minute or so so we can get to	
6	Mr. Turnbull and we have a bunch of questions that	
7	have come up, I am sure, listening to you all that	
8	we want to ask back to your friends at the other end	
9	of the table, so.	
10	MR. SWART: I think I can wrap up right	
11	there if you would like.	
12	MS. CHARLESWORTH: Perfect.	
13	Mr. Turnbull.	
14	MR. TURNBULL: Thank you.	
15	Again, I am Bruce Turnbull. I am counsel	
16	to AACSLA here to oppose this particular exemption	
17	request.	
18	I want to make we have obviously	
19	submitted comments that you all have read and taken	
20	into account.	
21	I want to make four points in relation to	
22	the hearing this morning.	
23	The first is actually something that I	
24	have been a little bit surprised and concerned about	
25	as the hearing has gone on and last night in	

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1	preparing and rereading particularly the reply	
2	comments and that is the introduction of 4K in ultra	
3	high definition into the discussion.	
4	It is our understanding, and we would	
5	absolutely want the office to take this	
6	understanding, that the request that has been made,	
7	even as applicable to Blu-ray, does not in fact	
8	extend to 4K or ultra high definition content and to	
9	the technologies that are about to be deployed to	
10	make that content available, including a new,	
11	entirely new, AACS technology that would be deployed	
12	as part of that system this fall for Blu-ray. It's	
13	a different product. It's a different format.	
14	And I would not want any confusion were	
15	you to take the unfortunate position of granting an	
16	exemption with regard to Blu-ray that UHD would be	
17	incorporated.	
18	MR. DAMLE: Just a question about that.	
19	Is that new technology going to be called	
20	"Blu-ray"?	
21	MR. TURNBULL: My understanding I am	
22	not sure that the marketing people are done yet, but	
23	my understanding is that trademark term is "Ultra HD	
24	Blu-ray."	
25	MS. CHARLESWORTH: That will be nice and	

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1	confusing next time around.	30
2	MR. TURNBULL: And the AACS technology	
3	will use "AACS" in its name but will have indicators	
4	that	
5	MS. CHARLESWORTH: Just to be clear, we do	
6	not understand the proposal to include ultra HD or	
7	4K.	
8	Mr. Hilkert.	
9	MR. HILKERT: Not to step on your time. I	
10	just wanted to clarify, we are not seeking ultra HD	
11	and our mission of 4K is to talk about the fact that	
12	HD in the contemporary system that we operate in is	
13	the standard.	
14	MR. TURNBULL: I understand	
15	MS. CHARLESWORTH: We now have a three-way	
16	agreement on one issue. So we made progress.	
17	MR. TURNBULL: Moving on, the other three	
18	points that I wanted to make about what is actually	
19	before you, and to clarify what is not, is the real	
20	lack of evidence with regard to the need to	
21	circumvent Blu-ray.	
22	We had one this morning and I realize it	
23	sort of came up in the discussion, but the	
24	discussion of wanting to use archival footage from	
25	Leni and I would pronounce Riefenstahl, I just	

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1	did a quick search making use of the UCLA Internet
2	here and there are no Blu-rays of her works. There
3	are DVD's but there are no Blu-rays that I could
4	find in a quick search.
5	That is typical of what has been presented
6	in this hearing in this proceeding by the proponents
7	here. They have thrown out we need high definition,
8	we need the high definition, but there are no
9	examples the examples of actual Blu-rays that
10	they want to circumvent in order to make use of the
11	content there is really thin.
12	And I mean the "Selma" example, as well,
13	if you do want same kind of search for civil rights
14	footage, you find a number of DVD's. You don't find
15	any Blu-rays.
16	If you talk about a "Dick Cavett Show"
17	that was shown on television in the 1970's, that was
18	NTSC. It was not high definition to start out with.
19	MS. CHARLESWORTH: Here is a question.
20	At least in theory, do you think it's
21	possible that there is some HD content that is only
22	available on Blu-ray even if it's a small category.
23	And if so, do you think that if there were a
24	demonstrated need and this is hypothetical for
25	that content to distribute a film, do you think that
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1	might be a justification in that limited instance to	
2	use Blu-ray?	
3	MR. TURNBULL: I think that is too	
4	hypothetical, quite honestly, that this is so	
5	limited there is such a limited body of work	
6	and we presented it and the joint creators have	
7	presented it that is available only on Blu-ray.	
8	And in fact, when you go through and I	
9	don't remember whether it was in record or not so	
10	even if you had that, there have been the	
11	discussions of technical fixes that could be done to	
12	the standard definition content to make it	
13	acceptable.	
14	In fact, we heard quite a bit of testimony	
15	about technical fixes that actually did make the	
16	content acceptable for the use that people wanted to	
17	make of it.	
18	And so I think that rather than, and as	
19	Mr. Swart said, that you take a technology that has	
20	held up reasonably well against the hacking	
21	community and where as I said yesterday and you	
22	will hear more of as things go on the only	
23	available hacks are commercial products which have	
24	in a number of cases been found to be circumventing	
25	and are getting taken off the market.	
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	9.
1	And I would note that the proponents in
2	this particular class when asked by the office how
3	would you do circumvention said well, we understand
4	there is software available.
5	It's important to us as a provider of the
6	content protection technology of the TPM to know
7	what it is that they're going to use so that we can
8	deal with the consequences of it if you were to
9	offer an exemption for them.
10	We think that they can get what they need
11	through other means. We have heard about the
12	technical fixes. We have heard about the fact that
13	content is available almost uniformly on DVD's.
14	There is very, very little, a tiny, tiny amount that
15	is limited to
16	MS. CHARLESWORTH: I think a lot of the
17	record here is about the difference between HD and
18	SD. And assuming that it's true that there is very
19	little Blu-ray-only content, the question then is to
20	the extent that you accept their argument that you
21	need HD to fulfill modern current distribution
22	requirements, if that's a legitimate claim, then
23	they're saying that is going to be Blu-ray.
24	So that is really the question we're
25	grappling with. If you want to address that for us.

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1	MR. TURNBULL: I understand.	
2	Again, I think if you read deeper into,	
3	for example, PPS standards, they talk about	
4	up-converting of content being acceptable within	
5	their definition of HD. So it seems to me you don't	
6	need the original source of Blu-ray in order to meet	
7	those requirements.	
8	MS. CHARLESWORTH: So your response is	
9	that they should up-convert the SD.	
10	MR. TURNBULL: They should engage in the	
11	technical fixes, which although are inconvenient and	
12	the like, are available to them.	
13	Finally, I just again underscore the	
14	concerns that we have about the harm to our	
15	technology and to the content owners who have relied	
16	on that technology, to the extent that there are	
17	exemptions granted, that it will point people toward	
18	tools that we believe are not permitted under the	
19	law and so we need but would be encouraged by	
20	various forms of exemptions.	
21	MS. CHARLESWORTH: So I understand, is it	
22	your position that granting the exemptions to	
23	Blu-ray might increase the number of tools that are	
24	available or increase the use of tools that are	
25	already currently on the market?	

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1	MR. TURNBULL: I would hope it wouldn't
2	increase the number that are available. I think we
3	have done a good job of technology, but there are
4	some that have been made available and it would
5	encourage people in thinking that those are
6	legitimate tools.
7	MS. SMITH: And if people think those are
8	legitimate tools and use them more and that
9	compromises Blu-ray, is it on a device level or
10	model level or can you talk a little bit more about
11	how those specific tools compromise?
12	MR. TURNBULL: The tools work in two basic
13	ways at this point. One is that they, the purveyors
14	of these tools, having obtained means that we don't
15	know, device keys, which are the key to which are
16	the linchpin in decrypting the content.
17	And so if you have a circumvention tool
18	that has a legitimate device key in it, then that
19	can basically decrypt any movie on Blu-ray.
20	The second way that they work and this
21	is the way the SlySoft works is SlySoft has a device
22	key they keep at their server and they take each
23	title as it comes out and this is one of the
24	reasons it takes a little time to hack the AAVS
25	technology because they have to attack each

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1	title. And then they distribute to their customers,
2	if you will, the title key, which is another way of
3	getting at the decryption.
4	Normally, a device uses its device key to
5	do a decryption of the title key which is then used
6	to decrypt the movie. SlySoft does the decryption
7	to get to the title key and then disseminates the
8	title keys.
9	MS. SMITH: And so can you talk a little
10	bit about I may not phrase that right but
11	Blu-ray's ability to revoke keys.
12	MR. TURNBULL: To the extent that we find
13	out what device key is being used, we can and do on
14	a regular basis revoke the device keys. There are
15	actually a series of values given to all them, but
16	there are a series of values that we can revoke.
17	And what we do is say typically to
18	software layer providers who make the software for a
19	Blu-ray disc that is played on the computer or maybe
20	a game console, that they have to upgrade their
21	technology and include a new key to their legitimate
22	users.
23	And then every month, we revoke keys. And
24	it does have some effect and it will the problem
25	is that the bad guys, if you will, do a good job at

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1	hiding what keys they are using. So if we don't
2	know what key it is, we can't revoke.
3	MS. SMITH: And to revoke keys, you need
4	access on a software level, not like on a per user
5	level.
6	MR. TURNBULL: Well, if a key were to be
7	hacked from a set-top player, that would be an
8	individual device. That individual device has its
9	own individual key.
10	A software product that is used across a
11	range of implementations on the computer uses a
12	single key for all of a particular implementation
13	that they have deployed. And so those are the keys
14	that we're aware of that have been compromised. We
15	don't know of any that have been compromised off of
16	a set-top player. So we haven't had to actually do
17	that on an individualized basis.
18	MS. SMITH: Just one more. And if you
19	have to keep revoking keys, can you run out of keys?
20	MR. TURNBULL: No, no.
21	MS. CHARLESWORTH: And Mr. Taylor, we
22	heard from earlier. So we now have some questions
23	going back to you guys.
24	And I know Mr. Morrissette maybe had a
25	comment earlier, but the things I'm interested in

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1	hearing about from the filmmaking community we	
2	don't really discuss the request to broaden this	
3	from short clips.	
4	I'm particularly interested in hearing	
5	about the issues of converting your SD DVD to a high	
6	def DVD which seems like would be an appealing	
7	alternative in many situations and apparently is	
8	broadly available, and also, the upgrade process,	
9	you know, where you enhance the resolution.	
10	I think some of that has been addressed in	
11	your papers but what we're trying to sort out sort	
12	of realistically, are those tools available to you	
13	as an alternatives to circumvention, which is a very	
14	important question in this proceeding.	
15	So I threw out a bunch of issues for this	
16	side of the room. Maybe you can figure out the	
17	best Mr. Lerner is stepping up to the plate.	
18	And then Mr. Morrissette, did you have	
19	something you wanted to add before Mr. Lerner?	
20	MR. MORRISSETTE: Yes. And let me address	
21	some of your questions some of your comments	
22	about the up-converting.	
23	We have been doing this for three years	
24	since we have had the exemption and it takes	
25	expensive equipment and somebody with my level of	

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1	expertise to do it to a point where you can actually	
2	use the footage in an edit system that is making a	
3	feature-length documentary and it still doesn't look	
4	very good because you are making up pixels. It's	
5	three times or six times more resolution than	
6	standard def.	
7	MS. CHARLESWORTH: Pardon me I'm sorry	
8	to interrupt but do the distributors accept that	
9	film for distribution?	
10	MR. MORRISSETTE: Sometimes they don't and	
11	often they don't.	
12	Like for our show on Roger Ebert with the	
13	film clips, some of them squeaked through and some	
14	did not and we had to pull those clips.	
15	MR. DAMLE: This is after up-converting.	
16	MR. MORRISSETTE: Yes.	
17	You are essentially taking an image that	
18	is the interlaced standard def image in a square	
19	format, stretching it out to widescreen and adding	
20	hundreds of fake lines, repeated lines into the	
21	picture to get the actual spec of the file to be	
22	high def, but the visual image quality of it is not	
23	acceptable in many cases.	
24	In fact, with BBC we have had many	
25	shows on BBC throughout the years they actually	

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1	have what they call a visual quality control expert.
2	And that person just sits there and watches the
3	show.
4	And the show's going along looking great
5	and then all of a sudden, there is a really fuzzy
6	clip that doesn't look good and then the show
7	continues with high definition footage. They will
8	flag that. Even though it technically passes their
9	specifications, it would be flagged.
10	MS. CHARLESWORTH: And if you can also
11	address high def DVD's as an alternative I'm
12	sorry the Vudu conversion.
13	MR. MORRISSETTE: Vudu basically is two
14	options. One is the streaming option, which you do
15	not get a file. It's just packets of random data
16	that is played on your computer and it evaporates as
17	soon as it plays.
18	Downloads are an issue in terms of what is
19	available. It's also highly compressed, downloads.
20	It's not the same level of data rate as Blu-ray
21	would be. It's about one-fifth the data rate. And
22	they do this in order for it to play smoothly on
23	your computer.
24	MS. CHARLESWORTH: Just to clarify, if you
25	go through Vudu and swap out your SD DVD and you get

		101
1	the high definition download, it's your contention	
2	that that HD download is still inferior quality to	
3	Blue-ray. And by what magnitude?	
4	MR. MORRISSETTE: Well, it's data rate.	
5	It depends on what the copyright holders decide, how	
6	good a quality is good enough. If their goal is to	
7	have people watch it on their phone and tablet, they	
8	are not going to give us I mean a 30 megabits per	
9	second data rate is the way you will get it off of a	
10	circumvented Blu-ray disc.	
11	MS. CHARLESWORTH: Mr. Lerner.	
12	MR. LERNER: If I could just add quickly	
13	to a couple of points.	
14	In order to do up-conversion, you either	
15	have to have a Jim Morrissette, who is a trained	
16	engineer who has been doing this for 40 years, who	
17	works equipment that can't even be rented out	
18	because it's so complex, or you have to send it out	
19	to a processing house and that can cost several	
20	hundreds of dollars an hour to work with a short	
21	clip.	
22	So if you are making fair use, you might	
23	not be only making one use. It quickly comes to a	
24	point where really, the only people who can do	
25	up-conversion, if it's even acceptable, which often	

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1	it isn't, is professionals like Jim who have this	
2	massive amount of expertise.	
3	Also, with respect to HD digital	
4	inventory we heard about this from the written	
5	comments about HD digital downloads but my	
6	understanding is that is a very, very small subset	
7	of DVD's out there and there are many, many, many,	
8	many titles that are not available through this	
9	program.	
10	And with regard to the short clips, while	
11	we acknowledge that most of the clips that will be	
12	used are short, we don't think it's appropriate to	
13	use a bright line test for that. The Supreme Court	
14	has cautioned against using a bright line test and	
15	we think it would be appropriate to follow the	
16	Supreme Court's jurisprudence on that.	
17	Furthermore, we have shown in our comments	
18	that the court has had no problem invalidating	
19	illegitimate fair use claims.	
20	MS. CHARLESWORTH: But this goes to the	
21	conversation we were having earlier. It's unlikely,	
22	I think, that a very lengthy clip incorporated into	
23	a film that goes well beyond the need or comment or	
24	criticize or to provide commentary on that clip	
25	would be found to be a fair use, I think.	

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1	I mean this is, again, about speaking in
2	generalities. I mean all of these exemptions for
3	film have always been based on the idea that they're
4	short clips. And the idea that there would be no
5	recognition that in general you can't use a lengthy
6	motion picture clip or that you can use one in a
7	film and that we would be suggesting that that is
8	typically fair use seems a little well, certainly
9	well beyond anything we have said before.
10	And so I think I mean I assume well,
11	I shouldn't assume but it seems like there has
12	been a lot of good use made of the existing
13	exemption based on short clips.
14	So to say that would be removed, I think I
15	am not seeing a good case being made for that other
16	than, yes, in certain other completely unrelated
17	circumstances, the Supreme Court or other courts
18	have said you can use a full work, for example, but
19	clearly, that is not what we're talking about here.
20	We are talking about incorporating bits of
21	existing motion pictures into new motion pictures.
22	And I mean are there many can you
23	give I didn't see anything in the record that
24	suggested that you were putting lengthy clips from
25	copyrighted films into your film.

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1	MR. QUINN: Can I just speak to that,
2	because I think what we're really getting at here is
3	the idea of bright lines. So in most cases, the
4	term "short" is sufficiently vague.
5	MS. CHARLESWORTH: It is actually not even
6	a bright line in itself. I agree with that. So
7	it's guidance.
8	MR. QUINN: Right.
9	And so but my point is that I just think
10	we need to be cautious that when you talk about
11	bright lines I have been making documentaries for
12	50 years. In the early days, we used the fair use
13	law and then clearance culture came up and so big
14	rights holders were threatening everybody you
15	know this history. They were sending cease and
16	desist letters and there were a lot of threats of
17	lawsuit.
18	And what became to be a professional
19	and this is what people were being taught in schools
20	and this is what almost every lawyer would tell you,
21	you have to clear everything.
22	Now, there were some bright lines. I
23	don't know where they came from. They weren't in
24	the copyright law. For instance, we were told news
25	can still use fair use but you in the documentary

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1	world cannot.	
2	There was something called a 30-second	
3	rule which you could find in the NBC standards and	
4	practices book, that you could use 30 seconds but no	
5	more.	
6	And so I just caution us when we are	
7	talking about documentary versus narrative, when we	
8	are talking about length, that no, what we use is	
9	generally short. And what we mean by "short" is we	
10	can only use as of much as we need to make our point	
11	and no more.	
12	MS. CHARLESWORTH: I think that is well	
13	put. And the existing exemption says short. And	
14	obviously, short is not a bright line and there is	
15	some interpretation, but the point is we're not	
16	saying use very long clips or excessively long	
17	clips.	
18	And I think that is good guidance when we	
19	are trying to, again, speak in generalities of what	
20	would likely be a fair use.	
21	MR. QUINN: The caution I would have is	
22	that bright lines, because we have lived with them	
23	in the past, they have a history of creating a real	
24	burden and misinterpretation of the law.	
25	I just wanted to respond to one other	

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1	thing, too, that we are rights holders. So when you
2	talk about piracy, yes, we have concerns about
3	piracy, but let's be clear that we are not the
4	problem. There are no instances, no allegations,
5	even, that anything that we do leads to piracy.
6	So I mean I don't know why it even is
7	relevant to our making fair use and needing the
8	limited exemption that we are seeking. I think that
9	is something that should be taken off the table and
10	certainly has nothing to do with us other than we
11	suffer from it, also.
12	MR. CHARLESWORTH: We are running a little
13	late but we will try and go over just a couple of
14	minutes.
15	Mr. Lerner, did you have another comment
16	or response?
17	MR. LERNER: I just wanted to add one bit
18	of clarity on short portions.
19	So we don't disagree with what you said
20	about it not being a bright line rule.
21	Another way to think of it might be to say
22	it is only circumvention is permitted only as is
23	necessary to conduct the use in question is it
24	commentary, educational use, whatever it may be.
25	And we think that would be better than

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1	short portion, but we're not as concerned about	107
2	short portions as we are, for example, about the	
3	ability to access HD content that we need to make	
4	fair use and the ability as narrative filmmakers to	
5	use it, as well.	
6	MS. CHARLESWORTH: Those are your big	
7	asks.	
8	MR. LERNER: You could say that.	
9	MR. MORRISSETTE: You talk about short	
10	clips. Well, that is all we rip. When we	
11	circumvent a DVD, we're not circumventing and making	
12	a copy free file of the whole movie. We don't have	
13	the time for that.	
14	MS. CHARLESWORTH: And I appreciate that	
15	and I think Mr. Lerner, did you have something	
16	further?	
17	MR. LERNER: One quick point very quickly.	
18	One of our UCI Intellectual Property, Arts	
19	and Technology Clinics clients is here who you will	
20	be hearing from in a different context next week.	
21	She does in-depth, six-hour seminars on	
22	particular films that are wall-to-wall criticism and	
23	commentary. Right now, she is only able to do them	
24	live but it's conceivable that someone like her or	
25	she might come forward and say I want to make a film	

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1	where I need to deconstruct scene by scene a	
2	particular film, would that constitute a short	
3	portion, would it not. It probably would have many,	
4	many excerpts.	
5	So that is one example where the term	
6	"short portion" might be stretched, but again, it's	
7	not nearly as important as the other concerns that	
8	we brought to you.	
9	MS. CHARLESWORTH: Now, one thing I	
10	asked I think we asked over here, is there a need	
11	to expand this to video games? I saw one reference	
12	to a video game in the record, but I mean this	
13	doesn't seem to be what is the need to circumvent	
14	video games for this exemption?	
15	MR. BENMARK: One thing I should say is	
16	that it's really just the question of principle, but	
17	we do think video games are a part of popular	
18	culture in the same way that films and television	
19	shows are part of popular culture.	
20	So there is really no reason to not be	
21	able to get video game footage. And if someone	
22	wants to make, say, a comparison of a particular	
23	video game to a film	
24	MS. CHARLESWORTH: But you would have to	
25	film the video game, right, because you don't	

		109
1	have	
2	MR. BENMARK: I think there are tools	
3	online where you can pull footage.	
4	MS. CHARLESWORTH: But to put it into a	
5	film you don't have your audiences sitting there	
6	playing a video game. They are just watching a	
7	depiction of a video game. So you would film the	
8	video game.	
9	MR. BENMARK: But you would possibly have	
10	to bypass the TV to do that.	
11	MS. CHARLESWORTH: Is there any instance	
12	of anyone where they made a film where they needed	
13	to bypass the TPM in a video game?	
14	MR. QUINN: I can describe a project we	
15	were developing. Steve James actually did "Hoop	
16	Dreams" and had children of that age who were	
17	playing video games and he became extremely	
18	interested in kind of the effect of video games on	
19	teenagers and addiction and those kind of questions.	
20	And so as he was thinking about that film,	
21	one of the questions that he was asking us was how	
22	can I in film recreate a bit of the experience of	
23	the viewer of what these kids are going through in	
24	the film he was going to make. So he was looking to	
25	get high resolution images from video games.	

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1	We ended up not doing the project, not	
2	necessarily for that reason, but there are people	
3	who are doing there are films that I have seen at	
4	film festivals that are very much using excerpts	
5	from video games to critique them to talk about	
6	their sexism, their violence, other aspects of video	
7	games.	
8	So it's something that documentary	
9	filmmakers have been paying attention to.	
10	MS. CHARLESWORTH: Any other examples of	
11	use of I mean that project sounds like it didn't	
12	go forward.	
13	MR. QUINN: It did not.	
14	MS. CHARLESWORTH: Do you guys have any	
15	further questions on this?	
16	MR. WILLIAMS: If I could just quickly	
17	qualify one thing I said earlier on the short	
18	portions issue.	
19	If you are going to clearly expand or	
20	clarify the exemptions such that it applies not only	
21	to distributed downloads but transmitted video, I	
22	think we would find it crucial that you retain the	
23	short portions limitation, because if you haven't	
24	paid for access to the full copy, you shouldn't at	
25	the end of the day be able to take a full copy off	

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1	of a video service and end up with a full copy.
2	MS. CHARLESWORTH: And just I had one more
3	question. Mr. Perez, someone I think it was
4	Mr. Turnbull who looked something up on the
5	Riefenstahl film and said that the
6	MR. TURNBULL: There are no Blu-rays.
7	MS. CHARLESWORTH: There are no Blu-rays
8	available.
9	Is that your understanding, as well?
10	MR. PEREZ: I haven't had a chance to look
11	it up, but in the case where there was no Blu-ray
12	available, then he would have to go through DVD.
13	MS. CHARLESWORTH: Anything further?
14	MR. RUWE: I expect a very brief answer to
15	this.
16	Mr. Swart, we have heard about the expense
17	associated with upresing. Can you quantify the
18	piracy damages that are avoided by the time that
19	Blu-ray is able to, in other words, buy in avoiding
20	being pirated?
21	MR. SWART: Sorry. The piracy damage
22	during that window
23	MR. RUWE: Yes, that window.
24	MR. SWART: of one to two weeks?
25	It would be almost 75 to 80 percent of our
45	ic would be almost /3 to ou percent of our

	11	12
1	total Blu-ray sales of that title would be impacted.	LZ
2	So the way the marketplace works is that	
3	the first two to four weeks is where the vast	
4	majority of the Blu-ray business happens and then it	
5	drops off dramatically and steadies down as the	
6	price drops.	
7	MS. SMITH: So if someone were to	
8	circumvent Blu-ray that has already been released	
9	three months ago, do you see additional losses from	
10	piracy?	
11	MR. SWART: We do. As I say, we track the	
12	illegal views and we track the source material. So	
13	the challenge is the pirates will take the best	
14	quality source material available. So you see the	
15	camcorder being replaced as soon as the digital	
16	version comes available. That gets replaced as soon	
17	as the key gets unlooked. That gets replaced as	
18	soon as the Blu-ray gets unlocked.	
19	MS. SMITH: If the pirates have sort of	
20	already come in in the first two weeks of the	
21	Blu-ray and then three months later	
22	MR. SWART: There is a quality perception.	
23	So even people that are consuming pirated comment,	
24	they would rather watch the DVD than the camcorder	
25	version and they would rather watch the Blu-ray	

113 1 version than the DVD version. 2 So just to the extent we can protect the content, it's really important. That's why you see 3 so many studios right now looking at global street It refers to Korea or Switzerland going first 5 or Russia going first because it cannibalizes all 6 the other markets, especially in technically developed countries. 8 9 MR. CHENEY: Mr. Swart, do you think that the exemption that we're talking about today really 10 11 affects this Blu-ray concern that you have? seems to me that a lot of the films are being made 12 13 months and years after the fact. So would that 14 really have an effect on your Blu-ray sales? I think the circumvention 15 MR. SWART: 16 techniques certainly would because you have no 17 control of that. And I think frankly from a Fox 18 standpoint, that's why we did set up an entire 19 licensing division for just this kind of use. 20 So we actually do have a business that 21 says any time we see a demand for our content, we 22 realize it's a good thing. So everything we are 23 trying is to just create a model around that that 24 allows it to be legally and properly managed. 25 MS. CHARLESWORTH: Mr. Lerner, I think,

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1	had another comment.	
2	You're withdrawn?	
3	And Mr. Morrissette, we will give you the	
4	last word.	
5	MR. MORRISSETTE: One more clarification.	
6	And the video game story brought it up.	
7	Set-top boxes, DVD players and gaming	
8	consoles have one and only one output, HDMI, and	
9	that is copy protected. You cannot just plug your	
10	game console into a digital recorder, even into a	
11	laptop. It won't work. The only thing that is	
12	available that you could record on some of the older	
13	boxes is an analog standard def signal, which is not	
14	up to the technical equipment.	
15	So even that example of the video games,	
16	we would need to break the HDCP hardware encryption	
17	that is on every HDMI connector on every TV in the	
18	world.	
19	MS. CHARLESWORTH: So maybe it would be	
20	easier just to film it.	
21	Video games are another interesting	
22	subject. We will be hearing more about them but I	
23	appreciate that and I appreciate all the technical	
24	comments as well as the legal points that were made	
25	today. This is a very interesting area.	

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1	It's definitely evolved in the last three
2	years from what I am hearing and it will continue to
3	evolve.
4	So we will take all of your thoughts under
5	consideration and hopefully come out with something,
6	you know, that everyone will feel okay about.
7	All right. Take care and we're going to
8	reconvene at the next one will start a little bit
9	late at 11:30 so people can have a brief break.
10	(The proceeding was concluded at
11	11:15 a.m.)
12	
13	MS. CHARLESWORTH: For those of you on the
14	next panel, Class 20, Smart TVs two of you have
15	been here before, I think.
16	Mr. Williamson, welcome to the Rule 1201
17	rulemaking proceeding.
18	I think you probably heard maybe some of
19	the instructions earlier today but we try to take
20	turns when we speak. And we're going give you each
21	an opportunity to make a brief opening statement and
22	then we will shower you with questions.
23	And for the record, this is, again,
24	proposed Class 20, jailbreaking smart TVs.
25	And if we can go just from my left to
1	

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1	right, have you guys introduce yourself and explain	
2	who you are representing here today or what	
3	interests you are representing.	
4	MR. WILLIAMSON: Just the introduction or	
5	the introductory comments?	
6	MS. CHARLESWORTH: Just introduce yourself	
7	and then we will go back for your introductory	
8	remarks.	
9	MR. WILLIAMSON: Aaron Williamson on	
10	behalf of the Software Freedom Conservancy.	
11	The Software Freedom Conservancy is a	
12	nonprofit corporation and also represents the	
13	interests of some individual developers in the Linux	
14	development project.	
15	MS. GELLIS: Catherine Gellis. I am a	
16	solo technology attorney and here with my Digital	
17	Age Defense Project.	
18	MR. WILLIAMS: And Matt Williams here for	
19	MPAA, RIAA, ESA.	
20	MS. CHARLESWORTH: Mr. Williamson, take it	
21	away.	
22	MR. WILLIAMSON: Thank you very much for	
23	giving me the opportunity to speak with you today on	
24	behalf of the this exemption.	
25	As I understand, you have already heard	

	11	. 7
1	one exemption or one petition regarding unlocking of	
2	hardware this week and I know you are in for several	
3	more.	
4	I think you are going to see a number of	
5	similarities across these requests and across the	
6	arguments related to them and I think you are going	
7	to see they are all very similar to an exemption you	
8	granted in the past which is the smartphone	
9	jailbreak exemptions.	
10	The non-infringing uses being argued are	
11	similar, that the user should be able to install	
12	licensed software, should be able to access hardware	
13	functionality and view licensed media.	
14	The alleged harms are going to be similar,	
15	that enabling that that exemption will enable	
16	infringement of media content and proprietary	
17	software either played or installed on the devices,	
18	that they will degrade the device security and	
19	expose users to privacy and other risks and that	
20	they will undermine software and content ecosystems.	
21	I think these similarities are very	
22	important. And similarities to smartphone	
23	jailbreaking is extremely important because as the	
24	Copyright Office stated in the final rule that it	
25	published after the last rulemaking, it hadn't seen	

	11
1	any evidence over the prior three years that
2	granting this smartphone exemption had caused any of
3	the harms that had been put forth by the opponents of
4	that exemption, which are the same harms that are
5	being put forth by the opponents of this smart TV
6	jailbreaking exemption and the other jailbreaking
7	exemptions that you are going to be hearing arguments
8	about this week.
9	Not only did the traditional
10	vendor-approved software and content ecosystems
11	thrive beyond anyone's imagination, but new markets
12	for applications and alternative operating systems
13	arose or proliferated where they hadn't before.
14	And security hobgoblins related to
15	jailbroken devices never fully materialized although
16	there have been several high profile privacy issues
17	and security vulnerabilities related to the software
18	provided by handset manufacturers by telephone
19	networks and by their partners.
20	What Conservancy's petition focuses on
21	uniquely is that the TPMs on smart TVs and some of
22	the other devices, as well, control access not only
23	to the operating systems software of the
24	manufacturers of the smart TVs or their vendors and
25	content partners, they also control access to open

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1	source software produced by communities of	
2	developers such as those represented by my client,	
3	Software Freedom Conservancy.	
4	MS. CHARLESWORTH: Can I stop you for a	
5	minute there. You said the TPMs at issue here	
6	control access to the content that is accessed	
7	through my smart TV.	
8	Did I understand you correctly on that	
9	MR. WILLIAMSON: I am	
10	MS. CHARLESWORTH: that the TPMs that	
11	you are talking about also control access to the	
12	actual content that is delivered, creative content?	
13	MR. WILLIAMSON: I am sorry if I gave that	
14	impression.	
15	MS. CHARLESWORTH: If you could clarify	
16	what the TPMs protect and maybe if they are	
17	distinguishable from TPMs that protect, say, motion	
18	pictures that I view on my television. That is an	
19	important issue for us to understand.	
20	Although there are similarities, it's also	
21	a different device and we want to make sure we	
22	understand the technology and the TPMs at issue and	
23	what the potential concerns might be with respect to	
24	copyrighted content versus installing interoperable	
25	other software that is not necessarily could be	

- 1 protected by copyright but is a different issue.
- 2 MR. WILLIAMSON: One of the other comments
- 3 in support of this exemption, the one that Jay
- 4 Freeman I think explained, that the technical
- 5 details of what these TPMs do and what they do and
- do not protect, extremely well.
- 7 Essentially, these TPMs, which are to be
- 8 clear, firmware encryption and software or firmware
- 9 on the devices that check for particular encryption
- seem to verify that an update should be allowed and
- 11 also administrative access controls similar to the
- 12 kind that exist on any kind of computer.
- 13 So you might have a computer at home that
- 14 you grant yourself super user access to highest
- 15 possible permissions but you would only grant a
- child, say, permission to use certain functionality
- or certain applications. And that is the same
- 18 general kind of administrative access control that
- is in place on these devices, although they would
- 20 need distinguishing between, for example, operating
- 21 system software which would receive very high
- 22 privileges to access, say, the hardware on the
- 23 device and application software which might receive
- lower privileges.
- 25 So those are the two TPMs at issue.

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1	And as Mr. Freeman pointed out in his
2	comments, they are primarily about the primary
3	purpose of them is device and application security.
4	The point is not necessarily even to
5	though they do control access to software on the
6	operating system, software and application software
7	on the device, that is not necessarily the primary
8	purpose and intent.
9	As for the content played on these
10	devices, they typically smart TVs are typically
11	mostly platforms for streaming content from
12	providers such as Netflix or Amazon or Hulu and
13	those providers provide their own applications that
14	embed their own encrypted stream handling.
15	And so the mere fact that you can get
16	access to install new software on the device doesn't
17	necessarily give you the abilities to attack those
18	encryption schemes.
19	MS. CHARLESWORTH: You said doesn't
20	necessarily give you that ability.
21	Is it possible that it would allow you to
22	then hack into the encryption schemes for, say,
23	Netflix? In other words, if I circumvent my smart
24	TV, is there a way then for me to hack into one of
25	the third-party applications that is supplying

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1	content?	
2	MR. WILLIAMSON: I will say that there is	
3	no evidence in the record as far as I have seen that	
4	that risk is increased by allowing jailbreaking of	
5	the TPMs on the device software and operating	
6	system.	
7	I would also point out that all of these	
8	providers, Netflix, Hulu, Amazon, also provide	
9	streaming applications, streaming content on home	
10	computers, usually through browser applications, but	
11	that essentially work the same way and that	
12	essentially provide their own handling of encrypted	
13	streams.	
14	Those devices, of course, are unlocked by	
15	default. And so it would not expose that content to	
16	any greater risk than exists on platforms where	
17	those providers are already providing their	
18	applications and their content.	
19	MS. CHARLESWORTH: So are you saying it	
20	just completely that there is no relationship,	
21	whatsoever, between the TPMs on that system that	
22	protects the smart TV generally and the delivery of	
23	content into that television? You know, in other	
24	words, are they just completely unrelated systems or	
25	is there any technical relationship?	

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1	Forgive me for asking sort of probably a
2	stupid question to anyone who is this
3	technologically sophisticated, but sometimes those
4	systems theoretically, they could be interconnected
5	in some way, and so once you hack into the TV, it
6	might be easier to steal Hulu. That is the concern,
7	right?
8	If you could help me out here, that would
9	be good.
10	MR. WILLIAMSON: The only reason I
11	hesitate is you are sort of asking me to argue
12	against myself, but I will take a crack at it.
13	To the extent that jailbreaking the
14	device, itself, enables you to put new software on
15	the device, if it was possible for some
16	user-supplied software to decrypt those streams from
17	Hulu or whatever in realtime, then yes, it would be
18	possible then that it would it would be possible
19	that the jailbroken device would make it easier to
20	infringe that content than the non-jailbroken
21	device, but I have two points to make about that.
22	One is that if that was possible, it's
23	just as possible on a home computer where this
24	content is already being provided and I don't think
25	we see widespread infringement of streaming content

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1	from Hulu or Netflix on home computers. Certainly,
2	we see no evidence of that in the record.
3	Second, decryption, especially realtime
4	decryption of streaming content, is not a
5	computationally inexpensive task.
6	The computers within smart TVs tend to be
7	relatively underpowered. They're designed for the
8	very specific purpose that they're serving. They
9	are sort of low powered computers that typically
10	wouldn't have the sort of general processing power
11	of a home computer.
12	So I think that it's unlikely that you
13	would be better off if you were someone intending to
14	exploit or circumvent a TPM on the device software
15	for the purposes of piracy, that you would be better
16	off doing that on your television than you would on
17	your home computer.
18	MR. DAMLE: So just to make this clear,
19	but you are saying that circumventing the TPM on the
20	television software does not weaken or affect
21	doesn't weaken the TPM that is separately on
22	Netflix; is that right?
23	MR. WILLIAMSON: Yes, that is my belief.
24	MR. DAMLE: And it would require the
25	person who has jailbroken the smart TV to install an

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1	additional piece of software that would do the work	
2	of actually decrypting the stream from Netflix.	
3	MR. WILLIAMSON: Correct.	
4	MR. DAMLE: And that same piece of	
5	software could also be installed on a PC without	
6	having to jailbreak anything.	
7	MR. WILLIAMSON: Correct.	
8	And also, that person would be outside of	
9	the scope of this exemption, which is the purpose of	
10	this.	
11	MS. CHARLESWORTH: Did you have anything	
12	else, Mr. Williamson, before we move onto Ms. Gellis	
13	in terms of your opening thoughts?	
14	MR. WILLIAMSON: I did want to highlight	
15	that these TVs and I don't think that I'm not	
16	intending to put this forth as a limiting factor,	
17	but these televisions are built on freedom of source	
18	operating systems, software that is licensed by its	
19	developers explicitly to give users anyone who	
20	chooses to exercise them the rights to copy, modify	
21	and share the software.	
22	These are the rights that permit TV	
23	manufacturers to build their televisions on this	
24	software, but these rights explicitly extend to the	
25	TV owners, as well. In fact, the TV manufacturers	

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1	are required by the license of the software to	
2	provide notice to the TV owners that they have use	
3	rights under the license.	
4	And so this exemption would give effect to	
5	the intent of the copyright holder whose software is	
6	embedded on these TVs already. And I think that is	
7	a powerful argument.	
8	MS. CHARLESWORTH: So here is a question I	
9	have.	
10	What do people want to do when they	
11	circumvent the smart TVs? What is it they want to	
12	install? And can you give specific instances of	
13	things that people want to do that they could not do	
14	because of the prohibition in 1201.	
15	MR. WILLIAMSON: Absolutely.	
16	I think what I have seen is that most	
17	people who are currently sort of hacking their TVs	
18	are doing so to make it operate more like the	
19	computer that it is. It's a special-purpose	
20	computer that the manufacturers have sort of locked	
21	down certain characteristics of it, but they have	
22	installed software that makes it easier for that	
23	TV the TV computer to operate on their home	
24	network so they can access it from their laptop.	
25	They can sort of move they can operate it from	

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1	their laptop. There are programs for essentially
2	remote control from a laptop.
3	There are also several sort of
4	applications that people have devised to sort of
5	make the TV do things that it didn't previously do.
6	I talked in our comments about sort of
7	almost trivial applications to increase the text
8	size or display channel content where it didn't
9	before, because some of these, like increasing the
10	text size, are useful as sort of accessibility aids
11	and there is certainly and Jay Freeman's comments
12	with regard to tablets, not exactly the same use
13	case, talked about others where a smartphone, for
14	example, would tell a blind user when the screen had
15	shut down so that they could wake it back up, not go
16	unnecessarily fishing with it.
17	And so I think accessing media on external
18	devices is one thing that users want to do, using
19	the TV as sort of a remote monitor for their
20	computer. There are all sorts of things.
21	I think that ultimately what I see
22	happening with televisions is the same thing that we
23	have seen on other devices that have been readily
24	sort of hackable, routers, phones and tablets, for
25	example. People have created entire new

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1	alternative operating systems for those devices.	
2	And this started to happen in the sort of	
3	open LG TV community where developers have installed	
4	almost an entire alternative operating system	
5	alongside the manufacturer's operating system. And	
6	it just opens up the functionality of the TV to more	
7	uses. And eventually, I think it creates a market	
8	for alternative operating systems based entirely on	
9	open source software.	
10	MR. DAMLE: In the reply comments, we got	
11	a comment from LG. I wonder if you wanted to	
12	address any of the points that they made.	
13	In particular, I think they mentioned the	
14	fact that they mentioned something about the	
15	television if you jailbreak the television, it	
16	can be used to access other users' televisions. I	
17	don't know what they meant by that.	
18	And then also, they pointed to the fact	
19	that they have a sort of SDK to allow developers to	
20	build applications for the LG TV.	
21	And so if you just address those points in	
22	particular or anything else you want to address in	
23	those comments.	
24	MR. WILLIAMSON: Sorry. The two points	
25	were they have an open SDK	

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1	MR. DAMLE: They have an open SDK, and,	
2	also, this point that they make about security,	
3	privacy and it prevents the TV from being used in a	
4	malicious way to attack other users. I wasn't	
5	totally clear on what their point was.	
6	MR. WILLIAMSON: I think what I understood	
7	the point they were making there was that if a user	
8	circumvents the TPMs that are as they said, to	
9	some extent these TPMs are primarily designed for	
10	systems security. So to prevent unauthorized	
11	software from being installed inadvertently or	
12	against the user's wishes.	
13	So I think that what they were imagining	
14	the circumvention technique to be was defeating the	
15	sort of firmware checking that exists on the	
16	television.	
17	So when you, for example, go to supply	
18	your television with a firmware upgrade, it might be	
19	encrypted according to some scheme devised by the	
20	manufacturer.	
21	I think that what they were imagining it	
22	was, we were defeating that checking mechanism on	
23	the television, itself. And as I understand the	
24	circumventions that are commonly used for these	
25	devices, that is not actually what is happening.	

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1	What is happening is that developers are
2	essentially reverse engineering the encryption
3	scheme, itself, so they can produce firmware updates
4	that comply with the encryption scheme and are
5	accepted by the checking software system on the
6	television.
7	Now, that means that when the user
8	intentionally wants to sort of trick that mechanism,
9	they can do that, but it wouldn't make the TV more
10	susceptible to third-party malware being installed
11	on the television because that check is still in
12	place.
13	So I think that they were targeting a
14	circumvention that is not commonly in use, if it's
15	in use at all.
16	Now, there is an argument from
17	manufacturers here and in every other unlocking
18	exemption that the security of the device will be
19	degraded because a jailbroken device will stop
20	receiving authorized updates from the manufacturer.
21	That may be true in some cases. I think it's
22	probably not true in every case or for every
23	circumvention. We don't there has been no
24	evidence or specifics supplied by the manufacturer
25	on that point.

131 1 However, I think that argument is a little bit disingenuous, in part because the sort of 2 product life cycle on these televisions is so very 3 Televisions are coming out every year and the older televisions, from what I have seen, do not 5 continue to receive upgrades and support security 6 updates for a very long period of time after they're first released. 8 9 So if manufacturers were supporting these televisions for years on end and providing security 10 11 updates that prevented users from -- users' TVs 12 from being hacked, then that argument might carry a 13 little more weight, although still, I think it's up 14 The user is making an active choice to to the user. 15 stop receiving those updates in order to have access 16 to more functionality on the television. 17 So for an individual user, I really think 18 the manufacturer should say you absolve us of 19 liability if you do this, but otherwise, there is no 20 harm posed to the manufacturer if the user then gets 21 malware. The user made that choice, but I don't 22 think that these TVs are updated so frequently or 23 for such a long period by the manufacturers that 24 they really are kept much safer by keeping them in 25 the stock configuration.

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1	MS. CHENEY: Mr. Williamson, LG contended
2	that they have plenty of accessibility features
3	available.
4	Can you tell us what kinds of
5	accessibility features aren't available that they
6	would need to access or to adjust for certain
7	disabilities and would like to use their smart
8	television but they can't view things or hear things
9	as they would like?
10	MR. WILLIAMSON: I am happy to speak to
11	that. I am not this is not primarily an
12	exemption targeted to accessibility, although we
13	mentioned it in our comments. And I don't know I
14	haven't studied specifically what accessibility
15	features are lacking on LG's televisions, but
16	examples that I raised in the comments were taken
17	primarily from efforts related to Samsung
18	televisions. And some of them were for earlier
19	models of Samsung smart televisions.
20	So, for example, there was no way on those
21	televisions for a user to increase the size of the
22	subtitles or the closed captioning. There was also
23	an application that allowed you to change the color
24	to make it more readily visible.
25	I think that it's laudable that LG and

133 1 other manufacturers make efforts to address accessibility concerns. I think that it's -- I also 2 think that it should be the option of a disabled 3 person who finds that the TV that they own does not 5 meet their needs, I think that they should have the 6 right unquestionably to adapt the TV if they are able or to work with someone to do that so that it does meet their needs. 8 9 MR. DAMLE: You were going to address the 10 open SDK on LG. 11 Is that something -- first of all, what 12 does it do and is that something only available on LG -- certain LG TVs? Do other smart TVs have 13 14 some other SDKs? 15 MR. WILLIAMSON: An SDK is a software 16 development kit that will typically be used by an 17 application developer to develop applications targeted to a particular inventor's architecture or 18 19 television. So it makes it possible to use, for 20 example, the graphical user interface libraries 21 provided by that television, et cetera. 22 I think that it's great that LG's open 23 source -- this is software that doesn't run on the 24 television, by the way. It's software that you 25 would use as a developer on your development machine

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1	in order to develop software for the television.	
2	It's great that it's open source. That is	
3	certainly something that my client is in favor of,	
4	but it doesn't necessarily so first of all, it	
5	doesn't at all address the TV owner's access to the	
6	software that already exists on the TV.	
7	It does allow developers to compile	
8	applications that can be run on a TV now and that	
9	they I think they said in their comments that LG,	
10	in particular, make it possible or makes it	
11	relatively easy for users to run their own	
12	applications on their own TVs. I haven't tested	
13	that. I don't own an LG TV.	
14	I don't think it's true across	
15	manufacturers certainly every manufacturer of a	
16	smart TV would provide some kind of STK to some	
17	class of users. Whether they provided those only to	
18	other specific vendors probably varies by	
19	manufacturer. And then whether their sort of	
20	software distribution channel will allow users to	
21	supply their own software, et cetera, probably	
22	varies by manufacturer, as well.	
23	What these things don't address, I think,	
24	is the ability of users to gain access to lower	
25	level functionality on the television.	

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1	So the SDK will allow you to access	
2	functionality that the TV manufacturer has	
3	specifically made available through the SDK, but it	
4	won't necessarily allow you to, say, produce new	
5	operating system software or software that accesses	
6	aspects of the TV's functionality or hardware that	
7	they haven't chosen to make available.	
8	MR. DAMLE: When you say low level, you	
9	mean accessing the actual guts of the TV directly,	
10	the actual chips, the actual hard drives, whatever.	
11	MR. WILLIAMSON: Probably a little higher	
12	level than that.	
13	So, for example, as I said before, a lot	
14	of people who modify their TVs choose to install	
15	software that will make new network ports available	
16	so they can just, you know, use a network shell	
17	program on their laptop in order to access the	
18	television. And so that sort of thing is probably	
19	not the kind of functionality that is enabled by an	
20	SDK.	
21	MS. CHARLESWORTH: Thank you.	
22	Ms. Gellis.	
23	MS. GELLIS: I'm here in part to be a	
24	second voice echoing what Mr. Williamson has said,	
25	but I also want to continue the story I started	

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1	telling yesterday which is to talk about the optics
2	as they apply to these Classes 11 through 27. And
3	that is to emphasize that the class proposed here is
4	yet another class involving a computer.
5	In this case, the computer is TV-shaped
6	but no less a computer than the type of computers
7	Congress understood were computers when it passed
8	the DMCA back in 1998.
9	There are several reasons why this matters.
10	One I suggested before is that the DMCA is not the
11	only law that now addresses computing, particularly
12	with regard to some of the negative consequences
13	opponents worry about, for instance, that somebody
14	might spread viruses or malware. We have other laws
15	and regulations that deal with those sorts of harms.
16	It's important to remember that this
17	petition is not asking for permission to perform
18	that sort of conduct. Instead, this exemption only
19	addresses what people can do with their own
20	computers, computers that in this case happen to
21	come in the shape of a TV.
22	Furthermore, none of the possible harms
23	that opponents worry about are the sorts of harms
24	that 1201 is intended to remediate. The exemption
25	doesn't seek to bypass the TPM in a way that affects

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1	the copyright interest of the software the	
2	exemption doesn't seek to bypass the TPM in a way	
3	that affects the copyright interest of the medium	
4	that gets justified by this TV. Mr. Williamson	
5	talked about that in some detail.	
6	We also had comments yesterday about how	
7	there are TPMs within TPMs that the media, the	
8	programming, may have its own TPM that this	
9	exemption doesn't reach bypassing that TPM.	
10	This is only about the TPM that gives you	
11	the access to the software that runs the physical	
12	hardware that is in your house's television.	
13	This is particularly important to be able	
14	to have the sort of control over this device	
15	because the smarter TVs get and the more they can	
16	do, the more they can do that the owner might not	
17	want them to do.	
18	For instance, in the pre-digital days, we	
19	never had to worry about the little black and white	
20	TV sets with rabbit ears telling anybody what you	
21	watched unless you happened to be a Nielsen family.	
22	Now, that we're dealing with smart TVs,	
23	we are running into issues where sometimes these	
24	televisions are reporting back to a mother ship	
25	about what you are watching. And even there has	

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1	been I believe there is some evidence in the
2	record about these televisions perhaps eavesdropping
3	on things going on in the home and reporting back to
4	the mother ship what that was.
5	So that may be something that
6	MR. DAMLE: When you say "eavesdropping,"
7	are you saying that are you referring to the fact
8	that some of these TVs have cameras and microphones
9	that
10	MS. GELLIS: Yeah, and that the user
11	should be able to be the operating control of
12	whether those devices are live and recording
13	anything and sending it to any particular
14	destination.
15	And in order for the user to have that
16	sort of control, they may need to have access to the
17	software that is making those decisions. They need
18	to be able to control the software that is
19	controlling access to that sort of hardware.
20	MS. CHARLESWORTH: Do you think there
21	could be a reverse problem here where let's say
22	you're living with someone who circumvented your
23	television to eavesdrop on you? In other words, I
24	mean that is a significant concern, right, the
25	privacy issue. And I am just wondering maybe

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1	Mr. Williamson has some thoughts on this like	
2	have there been actual I mean I saw some of the	
3	allusions in the record, but I mean is this really	
4	happening or are there documented instances?	
5	And also, do you think there is any	
6	concern that other members of the household you	
7	know, different members of the household may do	
8	things that would violate or at least be	
9	inappropriate in relation to the people they are	
10	living with?	
11	MS. GELLIS: There is always the risk that	
12	anybody could have any sort of electronic device	
13	that could do any of these things.	
14	One of the points that I have been making	
15	with regard to these classes is it's not that you	
16	are being asked to bless those sorts of activities.	
17	There is other law that can fill in and address	
18	that. There are eavesdropping laws that vary state	
19	to state and we have the Federal Wiretap Act.	
20	Deploying your technology to eavesdrop on	
21	a person where the lawmakers would not allow you to	
22	is not something that this exemption would allow.	
23	MS. CHARLESWORTH: But the argument is	
24	that if you come out with a law that you can	

circumvent your TV to do things, it kind of gives an

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1	imprimatur of okay-ness to it, right, in other words
2	that it I mean I am not saying I necessarily
3	agree with or accept this argument, but we heard
4	this a little bit in the last class, that when you
5	say it's okay to circumvent your television, then
6	people consider that and may not be thinking about
7	other laws and do stuff.
8	I mean it's just a question that I guess
9	is maybe perhaps a little philosophical, but if you
10	say this is okay, is it possible people would do
11	this for malicious purposes, because that wasn't a
12	concern actually raised in some of the files in this
13	class.
14	MS. GELLIS: Well, I think no more than a
15	home PC or technology that people already have that
16	isn't before this office to consider exemptions for
17	could potentially do this.
18	We're talking about people having access
19	to the tools they own, to use the tools how they
20	choose.
21	We always have a scenario where people can
22	choose to use tools in ways that are illegal, but
23	that doesn't impugn the entire tool, itself.
24	This can be used for positive purposes,
25	but right now, the way 1201 acts, we have precluded

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1	all positive outcomes because we are worried about
2	the negative outcomes, which is why I think it's
3	important to address the core copyright concerns
4	that 1201 is intended to address. And that is the
5	framework by which any permission or blessing would
6	happen.
7	That is to say that the copyrighted work at
8	question is the software that operates the hardware
9	and that there is no risk to that copyright interest
10	if we let people violate the TPM that gives them
11	access to that particular copyrighted work.
12	I think if we keep to that rubric, people
13	will do what people will do, but I don't think there
14	is the danger of us inadvertently sanctioning
15	anything beyond what this office and the Library of
16	Congress can actually do.
17	MR. WILLIAMSON: I have some thoughts.
18	MS. CHARLESWORTH: I am just curious. I
19	mean it's an interesting question whether TVs can
20	be used in that way. And I mean I am not whether
21	it impacts on this is another question, but do you
22	have anything you would like to share on that?
23	MR. WILLIAMSON: Absolutely. The answer
24	is yes, that TVs with a microphone and a camera
25	could be used for this purpose.

1 There was some outcry not too long ago 2 when people sort of heard about a future of Samsung 3 televisions, a voice control feature, and when people started reporting on this, technologically savvy people said well, the only way this feature 5 6 could possibly work is if Samsung is transmitting your voice back to a server somewhere, processing it, learning what you said and then telling your TV 8 9 to perform some kind of function. 10 And people were saying oh, wow, so I'm 11 walking around my living room and my Samsung 12 television is just listening to me and reporting 13 back to Samsung. 14 Now, it turns out, at least according to 15 Samsung's PR response, that you need to, I think, 16 press a button to enable the voice recording for 17 that particular feature, but it's certainly 18 possible -- I mean as far as I know, you could use 19 LG's SDK to develop an application and install it 20 without circumventing any TPMs that would turn on 21 and use the camera and microphone silently in the background on your television. 2.2 23 As far as I know, you could on a 24 non-jailbroken iPhone create an application that did 25 that -- buy a \$99 developer certificate and install

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1	it on the phone or on your spouse's phone, for	
2	example. So it is certainly possible.	
3	I think that that kind of concern needs to	
4	be balanced against the general security concern,	
5	which is that by and large, these computing devices	
6	are not very secure. Security has been a focus for	
7	Apple and Google in producing their smartphone	
8	operating systems, but there are constantly	
9	vulnerabilities, like serious vulnerabilities,	
10	discovered and exploited and patched. And they have	
11	sort of the best people in the industry working on	
12	this.	
13	I am fairly certain and I don't mean to	
14	disparage the manufacturers but I am fairly	
15	certain that Samsung and LG do not have the best	
16	security persons in the industry working on these	
17	TVs because it has not yet come to the attention of	
18	the public in the same way it has for phones, for	
19	example.	
20	But if I am able to circumvent the TPMs	
21	on my TV and install an open source operating	
22	system sorry, for my client's sake, I will say	
23	Freedom Software Operating System that, for	
24	example, is based on one of the existing operating	
25	systems that can automatically update when new	

1	security releases are made from a sort of existing,
2	well-established structure of ongoing development,
3	then there is good reason to believe that my
4	television is going to be more secure than one
5	produced by Samsung or LG and then abandoned to the
6	product life cycle two years later, and that I would
7	therefore be more secure in that context against
8	attacks from, for example, remote attackers who get
9	into my TV through the network and then exploit some
10	vulnerability in order to access these lower level
11	features through a security vulnerability introduced
12	by the manufacturer.
13	MS. CHARLESWORTH: Thank you.
14	Ms. Gellis, did you want to conclude your
15	remarks?
16	MS. GELLIS: I just had one other point to
17	conclude with.
18	One of the other themes in my comments
19	throughout those classes is the other regulations
20	that govern technology can be a friend to this
21	office if there is concern about some of these
22	other outcomes, but one thing that they can't do
23	very well, which 1201 can do, is because they're not
24	copyright statutes, they're not well tailored to
25	encourage innovation, whereas we are looking at the

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1	copyright statute which is inherently designed to	
2	incentivize innovation.	
3	And in this case, if we look at the	
4	scenario I think Mr. Williamson described of if the	
5	law can stay in place and the manufacturers can	
6	essentially become complacent about nobody else can	
7	mess with it, so we got it covered and so whatever	
8	world we create and that is it, they don't	
9	necessarily have the incentive to develop whatever	
10	fixes would adequately address security concerns or	
11	innovations that make things interesting and	
12	exciting anyway.	
13	By allowing people to interact with their	
14	own technology, we are encouraging the type of	
15	development of knowledge that this Copyright Act is	
16	supposed to do.	
17	And I think that is something that to the	
18	extent that the Copyright Office does get to bless	
19	things, I think that is actually important and	
20	consistent with its overall mission.	
21	MS. CHARLESWORTH: Thank you.	
22	Mr. Williams.	
23	MR. WILLIAMS: Thank you for having me	
24	here on behalf of MPAA, RIAA, ESA. And we have a	
25	Williamson and a Williams. Just for the record, I	
1		

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1	am Matt Williams.	
2	So we oppose this exemption because	
3	hacking the smart TV enables infringement on an	
4	entertainment hub that is really designed for	
5	consuming entertainment on your television rather	
6	than serving as a multi-purpose device.	
7	It's an innovative platform developing at	
8	a rapid pace. We don't want that to be disrupted by	
9	efforts to twist it into something it is not	
10	supposed to be.	
11	I think there are more distinctions here	
12	than similarities to other contexts where you have	
13	granted exemptions in the past.	
14	I just want to highlight a couple of ways	
15	that this exemption could lead to piracy.	
16	The first is it enables infringers to	
17	install illegal applications such as Popcorn Time	
18	onto their televisions and that that application has	
19	been referred to in the press as "Netflix for	
20	pirates."	
21	MS. CHARLESWORTH: Can that also be	
22	installed on a PC?	
23	MR. WILLIAMS: It can. I am going to	
24	address that in a minute. I can talk to it now if	
25	you would like me to.	

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1	It's true that you can do that on a PC,	,
2	but the importance of having TPMs on entertainment	
3	hubs like a smart TV is people want to access	
4	content on that platform. They don't want to	
5	necessarily plug their laptop in order to engage in	
6	piracy on the TV, which is the center for	
7	entertainment in the home.	
8	So these TPMs on smart TVs actually do	
9	serve a copyrighted purpose because they make it	
10	more difficult for people to engage in piracy in a	
11	place where they would want to engage in piracy.	
12	And you can never prevent piracy in all forms on all	
13	platforms. It's not possible, but it is important	
14	that in the one place where everybody goes to sit in	
15	the living room and watch television, if you can put	
16	TPMs in place to prevent it in that context, that	
17	is very valuable to my clients.	
18	So just a very brief description of	
19	Popcorn Time so I give you an idea of what it is,	
20	because you were talking about can someone get	
21	illegal access to Netflix. And I will speak to that	
22	in a second, but this is something distinct. It's	
23	installing a competing illegal application onto the	
24	television. So you are not gaining access to	
25	Netflix or Hulu, but you are gaining what the press	

		14
1	has described as an equivalent or something that	
2	actually gives you the current, in-theater films	
3	that are available online through torrents to stream	
4	them down to your television.	
5	So putting something like that on a TV, of	
6	course, would be very damaging to the motion picture	
7	industry.	
8	That application in particular, a UK court	
9	recently said it has no legitimate purpose at all,	
10	that the entire point of it is to infringe	
11	copyrights.	
12	And if you look on their website, they say	
13	that Popcorn Time is the result of many developers	
14	and designers putting a bunch of APIs together to	
15	make the experience of watching torrent movies as	
16	simple as possible.	
17	And the "as simple as possible" part is we	
18	would like to avoid by keeping TPMs in place. We	
19	don't want it to be as simple as possible, of	
20	course, to find movies on torrent. We want people	
21	to be using the installed applications on their	
22	smart TVs to get legitimate access to that type of	
23	content.	
24	They say pretty brazenly that they will	
25	give you the latest movies, that they take these	

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1	movies from other places like YTS and Easy TV, places
2	that have, if you go to their websites, long lists
3	and catalogs of currently running TV shows currently
4	in theaters, films. "Ex Machina," which is
5	currently in theaters, was listed when I went there.
6	And so even if there are some separate
7	TPMs that in theory protect a Netflix or a Hulu or
8	a downloaded copy from Vudu that is resident on the
9	TV, this is still a real threat regardless of that.
10	And to the second point, is this a danger
11	to these other methods of gaining lawful access.
12	And LG's comments they know their TVs a lot
13	better than I do; I am not a computer engineer
14	but they do say in those comments that it would be
15	possible for someone, a bad actor who could find a
16	hacked TV, to access content resident on the TV and
17	remove that content from the TV.
18	So there may be instances where these
19	secondary TPMs from the primary content provider
20	are not successful in preventing that type of
21	piracy, as well.
22	MR. DAMLE: Do you agree with what
23	Mr. Williamson in describing the sort of TPM within
24	a TPM sort of said, that there is no real connection
25	as a technical matter between the TPM on the

1	operating system software of the television and the
2	TPM that Netflix puts onto its ap, its smart TV ap?
3	So do you agree with his description?
4	MR. WILLIAMS: I'm not sure I entirely
5	agree. And unfortunately, I am not really prepared
6	to speak to exactly how the technology works, but I
7	do know that my clients view them as interrelated in
8	the sense that it's another layer of protection for
9	their content.
10	So when people are considering am I going
11	to license a service that is going to be installed
12	on a smart TV, both of those types of TPMs are
13	considered during that process.
14	So I do think it's probably accurate but I
15	can't really say this because I don't know. It's
16	probably accurate that there are multiple TPMs
17	involved when you are talking about opening up the
18	operating system of the TV or versus the individual
19	pieces of content that might reside for the lawful
20	provider. I don't know that that is always true.
21	And I would also state if, for example,
22	someone had managed to get a piece of content in the
23	clear through circumvention and something else and
24	it was sitting there resident, there would no longer
25	be two layers of protection in that event. I think

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1	there would only be one layer of protection.	
2	MS. CHARLESWORTH: You talked about the	
3	fact of Popcorn Time or similar illegal ap being	
4	installed in the television.	
5	Is there any specific evidence of that	
6	sort of activity that you can point to for the	
7	record, in other words, people hacking TVs to	
8	install pirate aps?	
9	MR. WILLIAMS: Sure.	
10	We do have in the record and I have to	
11	flip through the exhibits to give you the exhibit	
12	numbers we have in the record articles about	
13	Popcorn Time that describe to you what it does. I	
14	don't know any of them specifically talk about	
15	hacking a TV in order to accomplish that, but as I	
16	understand it from the manufacturers, you can't	
17	install that on the TV unless you hack it because	
18	their quality control process is not just about	
19	protecting privacy and protecting the functionality	
20	of the system, although those are important aspects.	
21	A portion of their process is about	
22	copyright protection. And they won't let you if	
23	you apply to put Popcorn Time as an ap on their	
24	TVs, they won't let you do it because it's an	
25	illegal application.	

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1	MS. CHARLESWORTH: Do the motion picture	
2	studios work with the television manufacturers like	
3	in this area in terms of security as a general	
4	matter? And is there a relationship there in terms	
5	of between the two industries in terms of protecting	
6	content?	
7	MR. WILLIAMS: Yes, my understanding is	
8	there is, and I can't speak to each and every studio	
9	or content provider, but I know there are	
10	relationships. There are conversations about	
11	security, certainly.	
12	Just to return quickly to a couple of	
13	points, one of the proponents, the Exploiteers in	
14	their reply said that smart TVs don't have the	
15	necessary storage and processing power to run	
16	software like Popcorn Time. I am not sure where	
17	they got that information, and like I said, I am not	
18	an engineer, but my understanding based on talking	
19	to the manufacturers is you can install something	
20	like Popcorn Time on a TV. It's capable of running	
21	it. It's just that they wouldn't allow it unless	
22	it's hacked.	
23	And they can access streaming 4K. So I	
24	find it hard to believe they can't run something	
25	like Popcorn Time.	

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Also, as you noted, LG allows independent	
developers to make applications for their	
televisions and then they go through the UC process.	
And so there is an alternative for that type of	
activity.	
If they're not at the end of the day doing	
something illegal and if they create a product that	
interoperates well with the TV, they can get onto	
the TV.	
As you noted, the privacy concerns go both	
ways here. In LG's comments, they certainly seem to	
say that it's a much larger threat to have a hacked	
TV in terms of your privacy at home than to have a	
TV that is functioning properly.	
So then just in sum, I think I would just	
like to focus again that these TPMs are not just	
about a business interest.	
Sometimes the Copyright Office tries to	
distinguish between business interests and copyright	
interests, but here I think there is a very clear	
copyright interest that these TPMs are protecting.	
So that's why we are really asking you to deny this	
proposal.	
And I would be happy to answer any	
questions that you have.	
	developers to make applications for their televisions and then they go through the UC process.  And so there is an alternative for that type of activity.  If they're not at the end of the day doing something illegal and if they create a product that interoperates well with the TV, they can get onto the TV.  As you noted, the privacy concerns go both ways here. In LG's comments, they certainly seem to say that it's a much larger threat to have a hacked TV in terms of your privacy at home than to have a TV that is functioning properly.  So then just in sum, I think I would just like to focus again that these TPMs are not just about a business interest.  Sometimes the Copyright Office tries to distinguish between business interests and copyright interests, but here I think there is a very clear copyright interest that these TPMs are protecting. So that's why we are really asking you to deny this proposal.  And I would be happy to answer any

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1	I would say there is no proposed language
2	in the record on this from the proponents that they
3	are actually specifically asking the exemption to
4	say. So I would love to hear more about that
5	because it's hard to kind of argue with something
6	that is not actually there.
7	And that is my final point.
8	MS. CHARLESWORTH: I mean just for the
9	record, I mean I think that the class was in our
10	notice. So at least in our thinking, that is the
11	proposal we're considering, so.
12	MR. DAMLE: I can read it. It's allow
13	owners of computer-embedded televisions, smart TVs,
14	to circumvent firmware encryption and administrative
15	access controls that control access to the TV's
16	operating systems for the purpose of accessing
17	lawfully acquired media, installing licensed
18	applications and making for interoperability of
19	external devices.
20	MR. WILLIAMS: I was unclear if the
21	language in the PRN would actually be the final
22	MR. DAMLE: I think it was more of a
23	description of what the exception would cover rather
24	than specific regulatory language. That is sort of
25	what we decided this time around.

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1	MS. CHARLESWORTH: We decided to take a	
2	more functional approach rather than fighting over	
3	words at the beginning to focus on what it is people	
4	are trying to do, but we did try to craft the	
5	descriptions in the notice to carefully reflect what	
6	we thought people were seeking and to be fairly	
7	all-encompassing of all the permutations, because as	
8	you know, many times we get multiple proposals.	
9	So we thought this would be more	
10	efficient, actually, to have a conversation about	
11	the actual substance of the proposal rather than	
12	getting into the fine tuning at the outset.	
13	MR. WILLIAMS: Thank you for clarifying	
14	that.	
15	We are still feeling our way through as	
16	things evolve a little bit. So it's helpful to know	
17	that. We always said it would be best to have the	
18	actual proposed language in front of us at some	
19	point in the process. I know timing-wise, that is	
20	difficult to achieve, but whenever that is possible,	
21	we would love to comment on that language.	
22	MS. CHARLESWORTH: Mr. Williamson, can you	
23	install Popcorn Time on a hacked TV?	
24	MR. WILLIAMSON: That is a good question.	
25	MS. CHARLESWORTH: As we know those TVs	

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1	today. Obviously, they could change in the future.	
2	MR. WILLIAMS: So I think that it is	
3	probably possible to.	
4	So in order to install Popcorn Time on a	
5	television, you would have to first compile it for	
6	that television's architecture. And I suspect at	
7	this time, the Popcorn developers have not created a	
8	build process that would allow you to do that. It	
9	requires a substantial amount of work.	
10	Now, obviously, if people really wanted to	
11	do that, if there was a good reason, there was a lot	
12	of demand for that, somebody would put that effort	
13	in.	
14	MR. DAMLE: How many different types of	
15	architecture are out there? Does each manufacturer	
16	have sort of an architecture, something compiled for	
17	LG TV to run an LG TV, something for Samsung to	
18	operate a Samsung TV, or is it more finely built	
19	than that?	
20	MR. WILLIAMSON: It's more finely	
21	separated.	
22	I don't have a very clear picture	
23	specifically with regard to TVs. Most of these TVs	
24	are built on a system board purchased from a vendor	
25	liko Broadcom for ovamplo. And Broadcom would have	

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1	a particular architecture built on usually a chip,	
2	an ARM chip, an arm sort of architecture. ARM has	
3	various architectures.	
4	And so older generations newer	
5	generations of televisions are more likely to share	
6	an architecture than would older versions,	
7	regardless of whether it was cross-manufactured or	
8	not, but you would most likely or I think	
9	definitely you still need to be building for the	
10	specific software environment, as well as you would	
11	have to know what existed on the thing that you were	
12	building, et cetera, but suffice it to say, I guess	
13	it's possible to put Popcorn Time and run Popcorn	
14	Time on a television like this, but what I think the	
15	Exploiteers were pointing out is that it's	
16	computationally expensive to process the display	
17	video.	
18	Now, Mr. Williams pointed out that TVs	
19	already can display streaming video. There may be	
20	special purpose hardware in use for that purpose	
21	that the software wouldn't Popcorn Time that was	
22	installed on a TV like that wouldn't have access to.	
23	I am not sure.	
24	So I don't think we can take for granted	
25	that it could run on one of these TVs in a way that	

1	would provide useful video, but another really
2	important point to be made is that televisions
3	are and as Mr. Williams said here they are to
4	some extent special purpose hardware configurations.
5	They are both for streaming and content. They don't
6	have large amounts of disk storage or any disk
7	storage. They have only small amounts of sort of
8	random access memory in them.
9	And so the way Popcorn Time works is to go
10	onto the Internet, download an entire movie through
11	a torrent and store it and then play it back.
12	And so you would have to go through the
13	same inconvenience that Mr. Williams described
14	before of if you were to run it from your
15	computer, you would have to hook up your laptop, but
16	if you were to run it on your television, you would
17	have to hook up some kind of the external storage
18	for the movies to be downloaded somewhere so that
19	they could be played back on your TV.
20	MR. DAMLE: So it's your understanding,
21	although to the user it may seem like it's
22	streaming, but what is actually happening on the
23	back end on Popcorn Time is it collects all the
24	torrent bits and puts it together into a single file
25	and then plays it?

	15	9
1	MR. WILLIAMSON: That's my understanding.	
2	I don't think it's possible to stream from a	
3	torrent.	
4	MS. CHARLESWORTH: And I think I also	
5	heard you suggesting that it would be much more	
6	difficult to install Popcorn Time on a television	
7	than it would be, say, on a PC.	
8	Is that what you are saying?	
9	MR. WILLIAMSON: Right.	
10	Well, in order to install any software for	
11	a particular hardware architecture, you have to	
12	basically design a compilation process that targets	
13	that architecture. So Popcorn Time, as I understand	
14	it, was developed for the PC architecture at the	
15	time. So they would have to and it's not a	
16	trivial matter to design a compilation process for	
17	another architecture. It's called	
18	cross-compilation. You would be copying on one	
19	architecture, the computer, for another	
20	architecture, the television.	
21	It's not it's certainly not impossible.	
22	And I think, honestly, if there was people are	
23	already circumventing access controls on their TVs	
24	and installing new software.	
25	And I think if somebody really wanted to	

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1	use Popcorn Time to pirate movies on their Samsung	
2	televisions, they could go through the effort of	
3	creating that compilation process.	
4	I think the fact that nobody has, is maybe	
5	an indication that it is not well suited to the	
6	capabilities of a television.	
7	MS. CHARLESWORTH: Well, thank you very	
8	much.	
9	Once again, this was interesting and we	
10	really appreciate your expertise, Mr. Williamson.	
11	It sounds like you know a great deal. It was	
12	helpful to hear from you today, in particular.	
13	We are going to break for lunch now. Our	
14	next Class after lunch will be Class 23, called	
15	"Abandoned Video Games" for short.	
16	And we're scheduled to start that at 1:45.	
17	I think that still works. So we will reconvene here	
18	at 1:45 for Class 23. And enjoy your lunch.	
19	(The proceeding was concluded at	
20	12:30 p.m.)	
21		
22	MS. CHARLESWORTH: Good afternoon and	
23	welcome to the Sixth Triennial 1201 Rulemaking	
24	Proceeding.	
25	I see some people have been here and some	

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1	new people as well. We are here this afternoon to	
2	consider proposed Class 23, which is titled	
3	"Abandoned software video games requiring server	
4	communication."	
5	And as I am sure you all know, we are	
6	interested in hearing evidence and arguments both	
7	for and against the proposed exemption to allow	
8	circumvention of TPM's on video games to allow	
9	communication with well, consisting of	
10	communication with a developer operating server for	
11	the purpose of either authentication or to enable	
12	multiplayer matchmaking where developer support for	
13	those server communications have ended.	
14	And the shorthand term we are using for	
15	that is basically "abandoned video games," although	
16	I think from the paper, it's clear some people have	
17	not abandoned them.	
18	We have, I think, one presentation we will	
19	be starting with.	
20	Mr. Frankel, do you have a multimedia	
21	presentation?	
22	MR. FRANKEL: No, it's just a couple of	
23	points that I think would belong more when I give my	
24	statement. So it's just a couple of slides. It's	
25	not a demonstration.	

1	MS. CHARLESWORTH: Well, we can wait then
2	and just call you up in due course. Thank you.
3	So we are interested in hearing your
4	thoughts. As we said earlier, if you can try to
5	avoid speaking over each other, that would be
6	great. If you have something that you want to add
7	to the discussion, just tip your placard up and we
8	will try to call on you as quickly as we can.
9	The format we have been using and we
10	have a lot of people once again on this panel is
11	very briefly, if you can keep them brief opening
12	statements. We do sometimes interject questions
13	into your opening statement and then allow you to
14	continue on, but if we can kind of move through
15	quickly, and as you have done before, we are really
16	interested in the areas of contention or the areas
17	that aren't clear in the record.
18	So we have read your or written
19	comments carefully. So there is no point, really,
20	in repeating things that you have said in the
21	written comments, but we are much more interested in
22	hearing sort of your focused responses on some of
23	the issues raised by the other side.
24	So with that introduction, I guess we will
25	start with Mr. Diamante, and have you each just

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1	before we start with the opening statements, each
2	identify yourself for the record and just explain
3	who you are representing here today.
4	MR. DIAMANTE: My name is Vincent Diamante
5	and I am here on behalf of the Museum of Art and
6	Digital Entertainment in Oakland. And I am an
7	educator and a professional game developer and I am
8	in support of this proposal.
9	MS. CHARLESWORTH: Ms. Albert.
10	MS. ALBERT: I am Kendra Albert. I am a law
11	student at Harvard Law School. I co-filed the
12	proposal with the Electronic Frontier Foundation.
13	MS. CHARLESWORTH: Ms. Gellis.
14	MS. GELLIS. I am Catherine Gellis. I am
15	a solo attorney. I am here on behalf of my Digital
16	Age Defense project.
17	MR. Stoltz: Mitch Stoltz. I am an attorney
18	with the Electronic Frontier Foundation. We're a
19	co-proponent of this class.
20	MR. GHOLAMI: I am Parham Gholami. I am
21	representing Azentium. I am a game developer.
22	MR. WILLIAMS: Matt Williams, again, for
23	MPAA, ESA, RIAA.
24	MR. FRANKEL: Simon Frankel, here for the
25	Entertainment Software Association.
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1	MS. TONSAGER: Lindsey Tonsager, here for	
2	the Entertainment Software Association.	
3	MS. CHARLESWORTH: So Mr. Diamante, we are	
4	now circling back to you for a brief opening	
5	statement.	
6	MR. DIAMANTE: I will try and make this	
7	brief.	
8	I am here as an educator as well as a	
9	developer.	
10	For the last seven years, I have taught at	
11	USC's Interactive Media and Games Divisions, which	
12	is the long-time number one school for video game	
13	design in the world.	
14	And the teaching of video games is perhaps	
15	connected to computer science but not really the	
16	same. It's not just disseminating technical stuff	
17	but also building intimacy with games and game	
18	design, the poetics of video games.	
19	And in fostering students into the world	
20	of video games, we see the importance of video game	
21	history and comprehension in forming the voices that	
22	our growing population desires and needs.	
23	And in a world full of service-side	
24	controls, not just in video games but everywhere	
25	with calendars and thermostats in cars having	

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1	service-side controls, there is an ever growing fear
2	of permanently losing the things that we thought we
3	had a grasp on.
4	And the possibilities of the products that
5	these companies have very little attachment to or
6	decide that they have no attachment to any further,
7	shelving those products away from the people to whom
8	they once were had, games that do not occupy any
9	sort of inventory space, that don't require any more
10	retail infrastructure, that are easier to discard
11	than those ET cartridges lost in the desert back in
12	the 80's, games that have lost their capacity to
13	teach as a result of losing their ability to be
14	comprehended, analyzed, played by the next
15	generation of game designers.
16	But it's not just game designers that
17	learn from these games. It's all players. These
18	games actually teach much the same things that
19	novels and films and poetry do, the relationships
20	that people have with themselves, with nature and
21	with other men.
22	I am also a developer over at
23	thatgamecompany, which is a local video game
24	developer that has created some of the most
25	culturally and artistically relevant games in

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1	history as voiced by critics, museums, players and	
2	the ESA and industry members, as well.	
3	Our three most recent games were published	
4	by Sony for the PlayStation 3 console: Flow, Flower,	
5	and Journey.	
6	They have been curated in a number of	
7	collections and installations.	
8	Flow is a fixture of MoMA's applied design	
9	collection.	
10	Flower was recently selected to comprise	
11	the start of the Smithsonian permanent collection of	
12	video games, thanks in part to the support of ESA.	
13	These two games question and reveal the	
14	players' relationship with their own identity as	
15	well as with nature.	
16	Our most recent game, Journey, experienced	
17	inarguably more critical and commercial success than	
18	its predecessor, having players reconsider their	
19	relationship with not just the game characters, but	
20	the players that motivate the characters from the	
21	other side of the network connection.	
22	In light of current statutes, it is not so	
23	surprising that despite the years it has been noted	
24	for its artistic aspirations and merit, it has yet	
25	to be collected by any museum.	

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1	How difficult would it be to do so, say,	
2	mere decades in the future, where the Sony of then	
3	is far different from the Sony of now?	
4	And I say this not to put specific light	
5	on Journey or that game company but to point to the	
6	intents of games, themselves.	
7	Journey is just one of many games that	
8	uses an online server to authenticate and manage	
9	multiplayer play.	
10	If we are not able to have this exemption,	
11	I fear we may inevitably lose all online games for	
12	which the focus is exploring, revealing and	
13	redefining our relationships with other people.	
14	And I would like to leave you with a	
15	specific player-game relationship: President Obama	
16	and basketball. Many decades from now, I imagine	
17	people will deeply analyze the connection between	
18	U.S. foreign policy of the early 21st century and	
19	basketball of the late 1990's. Why? Because	
20	President Obama himself, looks at his role amongst	
21	his cabinet as well as that of the U.S. in the	
22	world as one of a wing player.	
23	To a person with knowledge of the game of	
24	basketball, not just surface understanding of rules	
25	and procedures but experience with the play of the	

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1	game, that is rich information about the President's	
2	decisions and aspirations, and in fact, the U.S.'s	
3	decisions and aspirations.	
4	For us and the generations to come,	
5	increasingly molded, if not defined, by the games we	
6	play, that tomorrow's world be able to learn as	
7	much.	
8	Thank you.	
9	MS. CHARLESWORTH: Thank you. I guess I	
10	was going to say "you're welcome," but thanks for a	
11	very moving explanation of the cultural value of	
12	video games.	
13	I had a question for you in terms of the	
14	games that have been collected. I think you said	
15	the Smithsonian and MoMA, games that you were	
16	involved in developing I mean was there	
17	cooperation between the museum and the developer in	
18	those cases to help install the game?	
19	In other words, did the museum just pluck	
20	a game from off the shelf and put it in the museum	
21	or was there some cooperation with the developer so	
22	that those games could be featured in those very	
23	prestigious institutions?	
24	MR. DIAMANTE: Yes, there was some	
25	cooperation between the in the case of the	

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1	Smithsonian, we at thatgamecompany were involved as
2	well as Sony Santa Monica. Sony is our publisher
3	and thatgamecompany is the developer of Flower, the
4	game in the Smithsonian.
5	MS. CHARLESWORTH: And on the Journey
6	game, you said it hasn't been collected yet, but do
7	you have any sense has any museum or curator
8	approached I'm sorry is that Sony? Who is
9	your company? I didn't follow all of the
10	developers, but has the developer of that game been
11	approached by any collection or museum to include it
12	in the collection?
13	MR. DIAMANTE: Yes, thatgamecompany has
14	been approached. I believe Sony has also been
15	approached directly about the inclusion of Journey
16	as a fixture in an installation or other collection.
17	To my knowledge, that has not been the case, though.
18	MS. CHARLESWORTH: I mean is it your do
19	you have any do you know whether Sony or the
20	developer has said no or it just hasn't happened
21	yet?
22	MR. DIAMANTE: So as a developer, we no
23	longer have that sort of authority over the games
24	that we have developed. That is part of the
25	relationship that we had with Sony as a publisher.

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1	So Sony it really is Sony's final say as to how	
2	the game is actually used. And when we actually	
3	entertain these E-mails or other communication, we	
4	forward them to Sony.	
5	MS. CHARLESWORTH: But you don't know one	
6	way or the other whether Sony actually objected to	
7	including the game in a museum or did they actually	
8	say no?	
9	MR. DIAMANTE: I cannot say for certain	
10	whether they have explicitly stated no to any	
11	forwarded communication.	
12	MS. CHARLESWORTH: Thank you.	
13	Ms. Albert.	
14	MS. ALBERT: Hello. Good afternoon.	
15	So I am both here with the EFF and in my	
16	personal capacity, but I also am here as a gaming	
17	enthusiast who has been playing online multiplayer	
18	games since age eleven.	
19	And I want to talk a little about the	
20	prevalence of multiplayer and authentication server	
21	shutdowns and the effects the shutdowns have on	
22	communities, specifically. I leave the preservation	
23	question to the my colleagues.	
24	So our petition I am going to just talk	
25	through a couple of examples that were in our	

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1	petition in a little more detail because I think
2	that will be helpful in illustrating the many
3	different types of issues that the exemption reaches.
4	The petition contained at least the
5	games that were deactivated in 2014, I think it's
6	over a hundred.
7	It's not that there aren't many, many more
8	games where the servers have been deactivated, but
9	rather making a comprehensive list, it was such a
10	daunting task that we weren't sure we were able
11	actual to complete it.
12	So the games that were deactivated in 2014
13	are just a small subset of many, many games that are
14	no longer playable unless communities are able to
15	resurrect the server or circumvent authentication
16	controls.
17	So in 2014, something very specific
18	happened that sort of changed the landscape in this
19	particular way, which is the shutdown of Gate Five,
20	which is a third party who ran multiplayer servers
21	for a lot of major game publishers.
22	So Gate Five controlled multiplayerservers
23	for many, many large franchises, including Borderlands.
24	For Arma 2, which has a really active
25	online community, this was really negatively affected

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1	by this and for Nintendo.	
2	So sometimes in the case of GameSpy,	
3	sometimes the developers and publishers stepped up	
4	and reactivated servers or came out with patches for	
5	other options and sometimes they didn't.	
6	And communities developed solutions that	
7	would allow them to continue to play the games that	
8	they lawfully owned. There is a long list of	
9	communities that have worked on this project, on	
10	that problem; some of them include	
11	Battlefield 1942, Ghost Recon, Star Wars	
12	Battlefront 1 and 2.	
13	MS. CHARLESWORTH: Do you know why GameSpy	
14	shut down?	
15	MS. ALBERT: So they have been sold to, I	
16	think, Glue Interactive. And I think they were no	
17	longer making money. I believe it was a financial	
18	concern, but I am not super familiar with their	
19	internal politics.	
20	So those are like some of the major	
21	projects that were launched in 2014 after the	
22	GameSpy shutdown. There are others that have been	
23	going for longer and address other particular	
24	issues.	
25	One is the Save Metal Gear Online project	

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1	which we also talk a little bit about in our
2	petition. This is a group of independent developers
3	who loved the game Metal Gear Online 1 and Metal Gear
4	Online 2, which was also shut down, so much that
5	they spent over 90 hours reverse engineering the
6	server protocol just to be able to play it online
7	again.
8	So just because they did not have server
9	traffic logs for the first Metal Gear, what they had
10	to do was literally just sit there and send
11	different values to find out what the server
12	returned until they were ultimately successful.
13	And their community they have been
14	talking really publicly about how difficult this was
15	and how important this game was to them.
16	MR. DAMLE: Is that a console game?
17	MS. ALBERT: That is a console game.
18	I think Metal Gear Online is PS2 and then Metal Gear
19	Online 3 is PS3.
20	MR. DAMLE: Did that require jailbreaking
21	consoles?
22	MS. ALBERT: Metal Gear Online 1 on the
23	PS2 did not require jailbreaking consoles in order
24	to run the file to connect to the server.
25	MR. DAMLE: And then for Metal Gear

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1	Online 2?	
2	MS. ALBERT: I think it may have required	
3	it.	
4	So then the next game I want to talk about	
5	is totally different. It's an arcade cabinet back	
6	when you actually went to places to play games	
7	rather than sitting on your couch.	
8	And there are two different games,	
9	Technika 2 and 3, both of which were deactivated by	
10	their after their publisher got bought by another	
11	company.	
12	I have actually played Technika 2 in an	
13	arcade running on a player server. It looked	
14	fundamentally like just this normal you just	
15	walked up and you put in your quarters. And for	
16	some reason, it still uses quarters, but the	
17	Technika 2 and Technika 3 are rhythms games,	
18	somewhat like R4 DS Revolution or Guitar Hero. I	
19	have never played anything else like them.	
20	And the John Doe that provided testimony	
21	about the process of creating the Technika 2 and 3	
22	server said he had no games like those on the market	
23	and so it was very important to him that he was able	
24	to continue playing them.	
25	The other thing about Technika 2 and 3 is	

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1	that these are literally little arcade cabinets.	
2	That means that if John Doe hadn't been able to	
3	provide alternate servers, arcades would literally	
4	throw them out. They would go dark, sort of like	
5	the plot of "Wreck-It Ralph." So that is another	
6	example of the many, many different types of games	
7	that are effected by this issue.	
8	I just want to say a little about the	
9	communities that grow up around these games and what	
10	they mean to people, because I think it's often sort	
11	of we hear a lot about copyright infringement or	
12	piracy or people wanting to play games online for	
13	free.	
14	And I think I can speak for the folks who	
15	I have talked to who say that they would gladly pay	
16	huge amounts of money to be able to play these games	
17	online again. Often, some of these folks have	
18	actually sought to license the games from the	
19	publisher or get a license in order to run the	
20	server and been unable to.	
21	So these are the folks that preorder the	
22	games. These are the folks that are like building	
23	up hype, the ones that line up outside the GameSpots	
24	at midnight to try to buy the game as soon as	
25	possible so they can get home and play.	

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1	And these people use game time to connect
2	socially, to explore and make the things the
3	publisher, the developer, could never imagine just
4	evolve.
5	So I think it's super important for these
6	communities, specifically, that the chilling effects
7	of DMCA 1201 and the potential of legal liability
8	not deter them from being able to run the servers.
9	MR. DAMLE: That's an interesting point.
10	Can you talk a little, to the extent you know, about
11	what happens when one of these when the communities
12	get built up around a certain game and then the
13	servers get shut down, what happens to these
14	communities? Are they able to reconnect? Do the
15	communities just sort of do they fade away?
16	I would be very curious to know whether
17	the communities are only in the game or is it do
18	they have sort of like other kinds of means of
19	connecting.
20	MS. ALBERT: So honestly, it depends. So
21	what often happens if there is an announced server
22	shutdown is that communities will start recording
23	traffic logs in order to potentially build up a
24	server. That's what happened in the case of when
25	GameSpy announced they were going to shut down the

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1	servers, folks started taking action to create	
2	alternative servers.	
3	MR. DAMLE: But absent the ability to do	
4	that.	
5	MS. ALBERT: So often, gamers will just	
6	disperse.	
7	And one sort of thing that often sometimes	
8	happens is that when companies shut down servers,	
9	they will shut down without warning like forums or	
10	other communication mechanisms that allowed people	
11	to communicate about the game.	
12	So if you just imagine that like you can	
13	never find all the people who you were playing with	
14	because the thing that connected you was the game or	
15	often it was the server, but also the forums that	
16	the game company made run.	
17	So it can be really, really difficult to	
18	sort of recreate it in any meaningful way. And the	
19	longer it takes for someone to sort of get a server	
20	set up or create an alternate site for	
21	communication, I think the more players that they	
22	lose.	
23	MS. CHARLESWORTH: Can I ask a question.	
24	Some of the comments suggested that you	
25	might buy a game and then you can play the game, but	

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1	to access the multiplayer functionality or the	
2	community functionality, that was sort of a separate	
3	charge or a separate license from the publisher or	
4	developer.	
5	I mean it sounds like you are very	
6	experienced in this world, but what is your	
7	perception of that? Is that true a lot of the time?	
8	What kinds of games fall into that category? What	
9	kind of services fall into that category? And how	
10	would you break out the market that way?	
11	MS. ALBERT: So to my knowledge, the	
12	online multiplayer sort of paying for online	
13	multiplayer is only something that is relatively	
14	recent on consoles. So I think it's the PS4 and the	
15	Xbox One require a paid subscription in order to	
16	play online multiplayer.	
17	That has not been the case before on	
18	previous consoles. That is not the case for the	
19	current Nintendo console and definitely not the case	
20	for PC.	
21	One other thing I would say about that is	
22	that there are some games where there is sort of an	
23	ongoing subscription fee, but most games with online	
24	multiplayer don't fall into that category.	
25	So I think I'm saying that sort of the	

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1	broken out pay to play online is definitely the
2	exception and not the rule.
3	MS. CHARLESWORTH: Do you have anything
4	else to add?
5	MS. ALBERT: No. Thank you.
6	MS. CHARLESWORTH: Ms. Gellis.
7	MS. GELLIS: Thank you.
8	My concern here is similar to the concern
9	I have raised in other classes, that is, the class
10	involving the computing logic necessary to make a
11	computer function.
12	This is not a proposed exemption seeking
13	literal access to a copyrighted work for the sake of
14	simply having access to a copyrighted work as a
15	piece of content. The proposed exemption would only
16	apply to lawfully acquired works.
17	This exemption is simply about making the
18	work work.
19	Furthermore, if people legitimately have a
20	copy of the software and legitimately have computing
21	devices capable of being operated by the software
22	and the only reason these devices cannot be
23	controlled by the software is because of the TPM,
24	then the people should be able to bypass the TPM's
25	in order to be able to use the computing device as

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1	they see fit.
2	MS. CHARLESWORTH: Thank you, Ms. Gellis.
3	Mr. Stoltz.
4	MR. Stoltz: With the panel's permission, I
5	will be like have Mr. Gholami go before me.
6	MS. CHARLESWORTH: Sure. Mr. Gholami, you
7	represent?
8	MR. GHOLAMI: Azentium.
9	MS. CHARLESWORTH: Azentium. Okay.
10	Are you working with Mr. Stoltz then?
11	MR. GHOLAMI: No.
12	MS. CHARLESWORTH: We're just going out of
13	order.
14	MR. GHOLAMI: That is correct.
15	MS. CHARLESWORTH: We're happy to have
16	Mr. Stoltz then go later.
17	MR. GHOLAMI: My name is Paul Gholami. I
18	am the cofounder and director of Azentium, a
19	five-person studio based in San Diego.
20	Before becoming a game developer, I was
21	film preservationist for the University of
22	California, San Diego.
23	It's an honor and privilege to be here
24	today.
25	Last year, the plug was unceremoniously

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1	pulled from over hundreds of titles, with entire
2	games and stories within them lost. The victories,
3	the defeats, the friendships made and destroyed, the
4	experiences gained and lessons learned, only
5	fragments of those games remain floating around
6	aimlessly on the web, barely holding on in the
7	memories of their players.
8	That some games will prove to be more
9	valuable, more significant than others, there is no
10	doubt, but it is not my place to decide, not our
11	place to decide what lives and what dies.
12	We are obligated to preserve them for the
13	future, to keep them safe for the generations to
14	come. Preservation is not about curation. We take
15	everything we can get our hands on and hold on for
16	as long as humanly possible.
17	Every game gives a peek into our world as
18	it was. They're products of their time and culture.
19	What does Animal Crossing tell us about how we
20	interact with other people online? Why did
21	thousands of people desperately hold onto Halo 2 in
22	its final hours as the servers were shut down and it
23	slowly faded into the annals of history.
24	While these questions may seem silly or
25	even absurd on the outset, these are games that have

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1	sold millions worldwide. They have resonated with
2	adults and children alike.
3	It's one thing to hear about a game or
4	read about a game. It's an other to place yourself
5	in that space and become a part of its world.
6	A game is nothing without its players and
7	in the mere act of playing, they become members of
8	that game. They author stories never before
9	conceived by any of the game's creators. And in
10	that way, they hold ownership over their copy of the
11	game. They possess the right to do with it as they
12	will.
13	As it stands today, DMCA denies us
14	ownership. It rejects the very possibility that
15	these games could personally touch and affect the
16	lives of their players. It closes the door on any
17	opportunity for us to genuinely explore and
18	understand our own creations.
19	We cannot allow for games to be marched
20	into certain historical oblivion. Treating games as
21	merely products is the act of a cynic.
22	They are not just an assortment of codes
23	and 3D models. They are works of art capable of
24	showing the best and worst in us.
25	The very nature of games beckons for the

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1	growth of global communities. We cannot allow this	
2	strength to be perverted into a weakness as a tool	
3	to silence communities or wipe entire histories.	
4	Even as developers wind their servers	
5	down, the community's passion does not simply	
6	disappear overnight. The developers can shut down	
7	their servers if need be, but give players the	
8	opportunity to keep their games alive. Give the	
9	next generation of developers like myself a chance	
10	to stand on the shoulders of giants and learn from	
11	the work of our predecessors.	
12	Sega's Chromehounds reshaped my	
13	understanding and appreciation of game design.	
14	As of January 2010, the multiplayer	
15	defining part of the game is now entirely	
16	inaccessible. I cannot revisit and reassess the	
17	game that left such a lasting impression on my	
18	approach to game design. An important personal	
19	touchstone is lost, likely never to return.	
20	Allow us the opportunity to study these	
21	works and discover ways to advance our craft.	
22	Without this exception, hundreds of	
23	thousands if not millions of community members,	
24	developers, preservationists and academics will be	
25	locked out from historic and culturally important	

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1	games from a future where they can be studied and	
2	enjoyed by anyone.	
3	It shouldn't be that way. There is room	
4	for every game. Let us just open the door.	
5	Thank you.	
6	MS. CHARLESWORTH: Thank you.	
7	I have a question. You mentioned a game	
8	that you had lost access to the multiplayer. What	
9	was the game's name again?	
10	MR. GHOLAMI: The name of the game is	
11	Chromehounds.	
12	MS. CHARLESWORTH: And were you still	
13	are you still able to access that game for your own	
14	play, not multiplayer, single-person play, as	
15	opposed to multiplayer?	
16	MR. GHOLAMI: Yes, you can access the	
17	single-player. The issue, though, is that the	
18	single-player, at least, is barely relevant to what	
19	makes the game what it is, like what makes it so	
20	unique and what makes it so interesting from the	
21	perspective of the game developer.	
22	MS. CHARLESWORTH: Can you explain a	
23	little more about that.	
24	MR. GHOLAMI: A lot of it has to do with	
25	the fact that you as the player essentially impose	

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1	different squads along with friends. And that gives
2	the chance to as a squad member to ally with one
3	of three essentially different countries or
4	factions. There is one that sort of represents the
5	U.S., another one that represents Russia and
6	another one that represents like just a nondescript
7	Middle Eastern country.
8	And so the gist of it is that you as part
9	of your squad can go fight in contested cities
10	players that are allied with another country. And
11	from there, you start to see this incredible dynamic
12	occur in terms of, well, when essentially I had this
13	squad and I was the one that was leading the squad,
14	there were interesting discussions about why are we
15	allying with one group over another, why are we
16	investing in fighting in this part of like this more
17	larger end game war than another place.
18	And you start to see these kinds of
19	discussions of like allegiance and loyalty and
20	dedication that emerge as a result of the mechanics
21	in the game. And this is specific to the
22	multiplayer in a way that just doesn't exist in the
23	single-player at all.
24	MR. DAMLE: And the single player, is it
25	the same game, different mechanics, but everything

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1	is AI or how does the single-player game work in	
2	that?	
3	MR. GHOLAMI: So the single-player, you	
4	don't have any kind of cooperation with other	
5	people. Essentially, you have this the game is	
6	essentially about you building these mechs or robots	
7	and you are piloting these robots and fighting them,	
8	but you don't have that same kind of cooperation or	
9	like broader kind of community effort that you would	
10	have in that multiplayer space.	
11	Essentially, the single player, you are in	
12	this mech, you shoot a whole bunch of robots and it	
13	becomes incredibly soulless. It loses a lot of,	
14	again, what makes the game what it is.	
15	MR. DAMLE: And it's AI controlling the	
16	other.	
17	MR. GHOLAMI: Exactly.	
18	MS. CHARLESWORTH: "AI" for the record.	
19	MR. DAMLE: Is artificial intelligence.	
20	MR. RUWE: From the role of	
21	preservationists, what is your reaction to the	
22	alternative of the LAN systems enabling that sort of	
23	multiplayer function?	
24	MR. GHOLAMI: The unfortunate thing is	
25	even in the case of a game like Chromehounds, LAN	

187 1 wasn't included as part of the game. There are a large swath of games that don't have that as the 2 part of the functionalities of the game in any 3 capacity. 5 MS. CHARLESWORTH: I have a question now. Let's say hypothetically a museum was able 6 to work with the developer to set up that game so it was -- or some preservationist -- so there was some 8 accessibility at least to people who have an historical interest to come in and maybe engage in 10 some amount of multiplayer play. 11 12 How would that impact your reaction or 13 your feeling as you sit here today about that game? Would some amount of access in a museum or 14 15 institution help address that problem or do you need 16 to have it in your living room? 17 MR. GHOLAMI: It would go part of the way 18 I think what happens is these games are 19 often then long experiences. I think back to when 20 one of my professors talked about how you would go 21 back and read -- she would go back and read a lot of 22 her old works of literature and see in the margins 23 the notes that she wrote so she can see what she was 24 thinking about this particular work 20, 30, 40 years

back and having the chance to really explore those

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1	feelings and thoughts and understand how she had	
2	evolved in relation to her understanding of that	
3	craft of that medium.	
4	And you don't have necessarily the same	
5	opportunity to do that when it's only available in a	
6	museum. You don't really have a chance to really	
7	sit down and explore.	
8	It's great for people who are introduced	
9	to it for the first time and want to get a cursory	
10	look at it, but for those who have already been	
11	impacted by those particular games, it doesn't offer	
12	the same kind of advantages as having full access to	
13	it like in the living room.	
14	MR. RUWE: Can you ever recreate the	
15	multiplayer value that you seek to preserve when it	
16	appears that that value is dependent upon the	
17	players, themselves, and we seem to have heard that	
18	the players disperse once the support ends?	
19	MR. GHOLAMI: There is absolutely the	
20	possibility that players could come back. And one	
21	really nice thing, too, is oftentimes for games that	
22	don't really have a huge community, they would go	
23	ahead and set aside times.	
24	And for the record, I am talking about	

games that still have online functionality that have

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1	really small user bases. They will organize	
2	together and say on this date and time, we are all	
3	going to come together and play.	
4	So you still have the opportunity to	
5	really replicate that experience or as close to that	
6	experience as possible.	
7	MS. CHARLESWORTH: Ms. Albert.	
8	MS. ALBERT: He actually just said exactly	
9	what I was going to say.	
10	MS. CHARLESWORTH: Mr. Stoltz.	
11	MR. Stoltz: Thank you.	
12	Video games that depend on server	
13	communication may have the shortest shelf life of	
14	any contemporary art form because when servers shut	
15	down, which can be in as little as two years after	
16	the game's debut, the games become unplayable, or as	
17	my co-panelists have described much more eloquently	
18	than I can, the game becomes a shadow of its former	
19	self.	
20	So we are asking for an extension to the	
21	ban on circumvention for two interrelated reasons.	
22	One is where a person has paid for a copy	
23	and they would like to keep that copy working in	
24	full, and the second is preservation for posterity.	
25	Video games are now recognized as	

1	important an artful and cultural artifact as film
2	and server shutdowns are to video games what the
3	decaying celluloid is to film. It's one of the
4	major obstacles to meaningful preservation.
5	So I will speak about personal use.
6	MS. CHARLESWORTH: Can I just interrupt
7	you for a minute.
8	You could preserve a lot of the game
9	without server functionality, could you not? Like
10	in other words, you could preserve a game, a
11	multiplayer game, without the multiplayer
12	functionality just to look at it as a single-player
13	game, like if you were interested in seeing just in
14	general what that game was about in a museum.
15	Is that not correct?
16	MR. Stoltz: Well, you can see generally
17	what a film is about by looking at a few still
18	images of it, but no serious film enthusiast or
19	scholar would consider that a faithful preservation
20	of it.
21	MS. CHARLESWORTH: No, but I am saying if
22	a game has single-player functionality and that's
23	all that is preserved and accessible I mean you
24	can get maybe not the complete understanding of the
25	game, but you can certainly see a fair amount of it

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1	from that vantage point, can't you?	
2	MR. Stoltz: There are hundreds of games,	
3	both the ones my co-panelists have described and	
4	many of the ones listed in the appendix to our reply	
5	comment which cover just a single platform and many	
6	others besides where multiplayer play is the essence	
7	of the game. It is the primary aspect that gets	
8	reviewed by professional critics. It's the primary	
9	reason why people buy the game and it's the primary,	
10	in a sense, cultural impact of that game.	
11	So yes, can you play such games in	
12	single-player mode, yes, but it's the difference	
13	between playing poker and playing solitaire. Even	
14	granting the rules may be similar, the experience is	
15	quite difference.	
16	MS. CHARLESWORTH: That is true, but maybe	
17	that might be a pretty good analogy. It's part of	
18	the experience but not the full experience, I think	
19	is what you are saying.	
20	In other words, if you have a	
21	single-player game, you are getting, say, a	
22	truncated or different version of the experience but	
23	you are still there is still some value, I would	
24	think, if someone really wants to see what a game	
25	was about looking at the single-prayer mode, isn't	

		192
1	there?	
2	MR. Stoltz: Well	
3	MS. CHARLESWORTH: Is this a hard point to	
4	concede? It's really not. It's like I am saying	
5	you can kind of see what the game is about but maybe	
6	not the whole thing. That's all I am saying.	
7	Don't you agree with that?	
8	MR. Stoltz: It's a substantially differen	t
9	experience.	
10	There are many games for which	
11	single-player mode doesn't include the entire game.	
12	There are many games for which the ability to	
13	connect with other players unlocks realms of game	
14	play that simply aren't available even playing	
15	against an AI. That is pretty common.	
16	And I would like to add, also, that there	
17	are significant a number of games that cannot be	
18	played even in single-player mode once servers are	
19	shut down. We have identified at least four of	
20	those that were shut down in 2014 alone and there	
21	are many from earlier eras, as well.	
22	A game called SOCOM: Confrontation, which	
23	was also known for its focus on teamwork and is	
24	unplayable after a server shutdown. Another is	
25	Metal Gear Online, the one that Ms. Albert	

193 1 described. It's fairly common. And also, in some 2 instances, even if it's not the intention of the 3 manufacturers that single-player mode be disabled, 5 once the servers are shut down, it happens anyway. This happened recently with a game called 6 NBA 2K14 where servers were shut down with the intention that single-player mode would continue to 8 work, but as an unintended side effect, all of the 9 single-player mode people's progress in the game was 10 11 wiped out. 12 So essentially, a game can be almost 13 completely disabled, even unintentionally at times. 14 A thread has run through these proceedings 15 since the beginning, since actually the very first triennial rulemaking in 2000, that if a person has a 16 17 lawful copy of a work that they ought to be able to continue using that copy and that copy should stay 18 19 functional. 2.0 In 2000, the library granted only two 21 exemptions. One of them was for precisely this, 22 abandoned software that relied on hardware dongles 23 to continue operating. 24 In that era, it was hardware dongle that 25 was the access control that rendered a piece of

1	older software inoperable. Today, it's server
2	authenticity checks that perform that same function.
3	The office has considered this question
4	in, I believe, four of the six rulemakings prior,
5	including this one, and I feel like that has been a
6	consistent theme.
7	And gamers, game enthusiasts, they expect
8	to be able to continue using a copy of a game they
9	have purchased.
10	MS. CHARLESWORTH: Although there is a lot
11	of surely there must be some understanding that a
12	lot of times, support is removed, right, because it
13	happens a lot. So when you purchase a game today,
14	isn't there some concern that or knowledge that if
15	there is a server component to it, that it might not
16	be permanent?
17	MR. Stoltz: Knowledge is one thing but the
18	consumer expectation and the idea of a bargain in a
19	commercial transaction are something else.
20	We see this and we have provided evidence
21	in that there is quite often an outcry and a sense
22	among consumers that shutdowns, especially on a
23	short time frame, are in fact denying them the
24	benefit of their bargain. And that is precisely the
25	sort of sentiment that led to preservation projects

195 1 like the projects that happened in the wake of the 2 GameSpy shutdown. There are at least ten of those that we know of and that all happened in a little 3 over a year. 5 MS. CHARLESWORTH: Is there any disclosure 6 on video games -- when a consumer buys a game today that has an online piece to, is there any disclaimer 7 on the game or in any of the literature that comes 8 with the game that says there is no promise that 9 10 game play will be supported after two years, or whatever it would say, a warning to the consumer, in 11 other words? 12 13 MR. Stoltz: There may be but I think that 14 may be a case of the large print giveth and the fine 15 print taketh away. 16 MS. CHARLESWORTH: No, I mean I want to know is there or isn't there. Have you looked at 17 18 games you bought and noticed that they do or don't 19 say anything? In other words, is the consumer given

MR. Stoltz: I am not aware of the specific disclaimers that appear on the game. What I am aware of is that when you see an ad for a game on TV, often what they're showing you is network play. And when you read a review by a professional

any notice?

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1	reviewer, often what they are discussing is network	
2	play.	
3	MS. CHARLESWORTH: I am not I am not	
4	trying to say I have a strong point of view on this	
5	one way or the other. I think this is a really	
6	interesting class and a very difficult problem, but	
7	I mean one of the issues is as a consumer, a lot of	
8	times consumers are protected, at least in theory,	
9	because they're given notice.	
10	I mean would a consumer expect to be able	
11	to play the game a hundred years from now? That	
12	would seem a little extreme. We all know technology	
13	changes.	
14	So I think it's an interesting question	
15	about how much disclosure there is on a game when	
16	you buy it. And I personally don't know the answer	
17	to that. So that's why I was asking you and	
18	maybe other people on the panel, if they have	
19	knowledge on that when we get to them can share	
20	their thoughts.	
21	MR. Stoltz: So I know that after the	
22	GameSpy shutdown, Nintendo continued to sell several	
23	games and slap labels on them saying this game will	
24	no longer work in multiplayer mode.	
25	I am not aware of warnings on games that	

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1	say we may do this in the future. I am not aware of
2	that.
3	I will speak a little about archival and
4	research use.
5	It's only in the past several years,
6	really since about 2010, that museums, archives and
7	libraries, including the Library of Congress, have
8	taken a strong interest in preserving video games as
9	an artistic medium.
10	While someone who is determined to make
11	unlawful copies of games is not going to be deterred
12	by the additional threat of anti-circumvention
13	liability on top of copyright infringement
14	liability, a professional academic, archivist or
15	librarian has to be scrupulous in their legal
16	compliance. And that makes the possibility of
17	anti-circumvention liability a serious obstacle to
18	preservation.
19	And I heard this on the record from Alex
20	Handy at the Museum of Art and Digital Entertainment
21	and several others it has also been raised by
22	David Gibson of the Library of Congress and I
23	mean we discussed this, but the goal of
24	preservation and I would direct you to Alex
25	Handy's comments on this point, but the goal of

	1
1	preservation is to preserve every aspect of the
2	original experience of playing a game, to provide
3	really the maximum amount of data and experiential
4	data for the future, whether that is a museum
5	exhibit for academics or whatever use coming down
6	the road.
7	That is why, say, a video of game play or
8	a game that works only in several modes is better
9	than nothing, but a pretty inadequate substitute.
10	MR. DAMLE: Another question about
11	preservation exemption. How broadly would what
12	sort of activities do you anticipate occurring
13	there was the example of the museum in Oakland that
14	actually allows people to go in and play the games,
15	which goes a little beyond simply preserving it but
16	actually provides sort of the public access to play
17	the games, like an arcade.
18	So if you could talk a little bit about
19	what sort of your core concerns are, whether it's
20	preservation for preservation sake or whether there
21	is an element of your proposal that would go with
22	allowing activity beyond that.
23	Does that make sense?
24	MR. Stoltz: Sure, sure.
25	The proposal is for preservation.
I	

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1	Preservation is the essential requirement for future
2	scholarship, future exhibition, future history,
3	really, of historical work.
4	MS. CHARLESWORTH: I have a question and
5	maybe this is definitely for this side of the table
6	and maybe I will direct it to Mr. Stoltz, but I see
7	other people with their cards up, but we will circle
8	around, but to me, there is a distinction sort of
9	thinking through this, server authentication versus
10	multiplayer play which involves matchmaking and
11	things like that.
12	Can you explain sort of if we were to
13	grant an exemption, how would those two different
14	things be effectuated, in other words, in the case
15	where you sort of have a missing dongle or you just
16	can't activate the game for your own play versus
17	multiplayer play? Can you talk about the
18	distinction between those and what the sort of help
19	would be.
20	MR. Stoltz: I'm going to defer to my
21	co-panelists. Are you asking what is entailed
22	MS. CHARLESWORTH: There are two kind of
23	different things, right?
24	MR. Stoltz: What does the required
25	modification entail?

1	MS. CHARLESWORTH: Yes, at a high level
2	what let's say I wanted to say a multiplayer
3	game goes offline and I have my community of people
4	and I want to continue having that experience. What
5	would need to be done and there was no
6	anti-circumvention issue, right? It was assumed
7	that the exception was granted.
8	How would you recreate that both well,
9	maybe on a technical level, do you have to build a
10	new server? How does that process?
11	MR. Stoltz: I will summarize the way we
12	gave an example of this in our comments. It was the
13	statement of John Doe, the individual who performed
14	this process for the Technika arcade game.
15	And to the limit of my technical
16	knowledge, this involves watching network packets as
17	they travel over the network, essentially testing
18	a simulated server communication with one copy of
19	the game and to see to what signals the game
20	responds to and then writing and as an original work
21	a server that can generate those communications.
22	And those communications at the simplest
23	are going to be "you are allowed to run" and at the
24	more complex level, they are "Kendra and Cathy are
25	online right now and would like to play, here are

	201
1	the messages that will initiate your playing against
2	each other."
3	MR. DAMLE: And what changes do you have
4	to make to the games, themselves, if it's a console
5	game to the console? So it's not just setting up a
6	server. It's also changing the copy of the game
7	that you have in some manner, or could be changing
8	the copy of the game you have in some manner.
9	MR. Stoltz: I am going to defer to my
10	co-panelists on that question.
11	MS. ALBERT: I can speak about this a
12	little bit. And I think one of the best examples is
13	the Metal Gear Online discussion where they talk
14	about a couple of different ways in which the
15	things that they had to do in order to get the
16	servers up and running.
17	So in the sort of easiest way, what this
18	involves is just changing the IT address that the
19	client connects to. So like this may be hard coded
20	into the game or there may be some if the game
21	allows you it may allow us to just change the IT
22	address. That is probably the easiest.
23	In some circumstances, you may have to
24	sort of return expected values from the server in
25	order to get the client to sort of connect and work.

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1	And in cases where the game is expecting	
2	like a particular certificate so like a	
3	representation from the server or some sort of more	
4	concrete security method they may have to	
5	deactivate that call and change it into a new one so	
6	that you can get it to point to your server.	
7	Does that help?	
8	MR. DAMLE: Yeah, that helps, but so those	
9	are the changes you are making to the game,	
10	yourself, the deactivation and certificate check and	
11	pointing it basically to a new server.	
12	MS. ALBERT: And the reason why that is	
13	connected to the authentication issue is that	
14	often it's not necessarily always exactly the	
15	same, but it's in cases the sort of stuff that you	
16	may have to take somewhere.	
17	MR. DAMLE: And is it your understanding	
18	that for modern console games, that one of the	
19	points that ESA makes is that they necessarily	
20	require jailbreaking the console, jailbreaking the	
21	console so that now, it's completely jailbroken and	
22	you can run pirated content on it or whatever.	
23	Is that basically your understanding, as	
24	well?	
25	MS. ALBERT: One way to do it is certainly	

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1	by jailbreaking the console.	
2	I think part of what I have learned	
3	through this process is that many different	
4	communities adopt many different strategies for this	
5	really particular thing and it really depends on how	
6	the game is coded. So I would hesitate to say	
7	strongly that it would always require that, because	
8	I honestly don't, but definitely for	
9	older-generation consoles, it does not require	
10	jailbreaking.	
11	MR. DAMLE: If hypothetically we were to	
12	say you could make changes to the game, you could	
13	set up your own server but you can't touch the	
14	console, would that basically solve your concerns?	
15	You can't jailbreak a console.	
16	MS. ALBERT: Yes, jailbreaking a console	
17	is a different case.	
18	MS. CHARLESWORTH: So just to be clear,	
19	you think there is a solution that would solve your	
20	problem that would not require us to allow	
21	jailbreaking of consoles.	
22	MS. ALBERT: Yes. So I would like yes.	
23	So I think that there are many games in	
24	which you can change the multiplayer or change the	
25	authentication without jailbreaking the console.	

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1	And we would like to make sure that is excepted from
2	DMCA 1201, that jailbreaking the console is a
3	separate 1201 issue.
4	MR. CHENEY: At what point are you
5	breaking a TPM, in other words, to do that? I am
6	not clear in this process where there is a TPM
7	breaking. Other than the console you are talking
8	about, where is the TPM that you are breaking? In
9	this scenario, you are talking about not
10	jailbreaking the console.
11	MR. Stoltz: If I could, so the
12	client-server communication protocol, to the extent
13	that involves the application of secret knowledge,
14	could be construed as a circumvention of a TPM.
15	Likewise, a modification to a game so
16	to for example, to change the address of the
17	server from which it requests authentication may
18	require the circumvention of a TPM. That is an
19	authenticity check.
20	Going back to the jailbreaking question,
21	it is probably more common on the current generation

of consoles that restoring the game to functionality will require jailbreaking of the console.

MR. DAMLE: Hold on one second.

22

23

25

MR. Stoltz: It's more likely for the

	205
1	current generation of games, but then again, those
2	servers are still running. So they're not the ones
3	most in need of preservation right now.
4	MR. CHENEY: That is interesting. Okay.
5	Thanks.
6	MR. Stoltz: There is absolutely my
7	understanding is it's much more common that it is
8	the norm with older consoles like the PlayStation 2
9	that the preservation work can be accomplished
10	without essentially removing all of the anti-piracy
11	features of the console.
12	That is not the case with older consoles,
13	certainly not the case with PC games.
14	And I want to emphasize the importance of
15	access controls in PC games, that there is a company
16	operating out of Australia called Game Ranger that
17	provides matchmaking services for I have heard
18	different figures, but between 500 and 700 PC games
19	for which the servers have been shut down.
20	MR. DAMLE: And when they do that, to what
21	extent are those licensed? Do they get licenses
22	from the developer or from the publisher to run
23	those matchmaking servers?
24	MR. Stoltz: To be honest, I don't know.
25	MR. DAMLE: That might be a question for
1	

1	Mr. Frankel.
2	Mr. Gholami, if you don't mind, the
3	opponents have been waiting patiently. So we will
4	continue on and circle back if that is all right.
5	MR. WILLIAMS: Thank you for having me
6	here again on behalf of ESA, MPAA and RIAA.
7	I am going to try to be brief because I
8	don't want to be repetitive of what Simon and
9	Lindsey are going to say on behalf of ESA directly,
10	but what I am here to emphasize is that the
11	coalition of creative industries that I represent
12	endorses ESA's position.
13	And although it sounds like from some of
14	the discussion that maybe consoles are not an issue
15	on this panel as I was afraid they were going to be,
16	I do want to highlight the threat to the integrity
17	of those consoles impacts a wide range of interests
18	beyond video game publishers.
19	In today's marketplace, companies generate
20	and distribute music and movies and video games and
21	are collaborating more than ever before to deliver
22	exciting products to consumers.
23	Recorded music is an essential component
24	of many video games, motion pictures and television
25	shows, as well as music can be enjoyed through

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1	consoles traditionally dedicated solely to gaming.
2	Games are also adapted into films and vice
3	versa.
4	So I appreciate fully the proponents of
5	the exemption here today that filed comments. They
6	love the copyrighted works that my clients are
7	putting out and that is a good thing.
8	The fact that people are demanding access
9	to professionally-produced, high-quality copyrighted
10	material more than ever before to me is evidence
11	that the Copyright Act as well as the DMCA are
12	actually working.
13	High-quality, good products are making it
14	to the marketplace and we want to keep the
15	incentives in place to keep generating that content.
16	Access controls to us are a critical
17	component of that success. They protect creators
18	and distributors of content against infringement.
19	They also enable models designed around subscription
20	access, time-limited access, multiuser access and
21	other products.
22	We fear that any exemption that did
23	undermine the integrity of consoles would disrupt
24	this ecosystem significantly.
25	When a video game publisher, for example,

1 that licenses music for use in a game is unable to 2 recoup investments because infringement occurs or 3 other free writing occurs, there are still effects The kinds of licensing fees that go to the music producers could deteriorate and lessen as the 5 6 publishers are unable to recoup their investments. Some people try to accuse us of being Chicken Little or saying this is going to doom us. 8 9 That is not what I'm trying to say, but even harm 10 that falls short of complete doom is still harm and 11 something that we are concerned about. 12 MR. DAMLE: So are those harms that are 13 traceable to piracy or are there particular harms 14 that you are concerned about that flow from the sort of use case that the proponents are basically 15 focused on? 16 17 Right. MR. WILLIAMS: So I do think --18 and this goes to one issue that I was going to get 19 to. I will raise it now. 20 I do think there are some questions as to 21 the non-infringing nature of some of the conduct 2.2 that they describe. We pointed out the recent Oracle decision from the federal circuit and that is 23 24 in our view a correct reading of some of these cases 25 about interoperability that says that your final

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1	product can't have the original code that was in the
2	original product if you are going to engage in that
3	kind of reverse engineering. The ultimate goal
4	should be a new product that doesn't replace the
5	existing product that you were reverse engineering.
6	MR. DAMLE: I think that when they are
7	reverse engineering, there are packet sniffers or
8	whatever to look at what those outputs are and then
9	writing code basically from scratch to generate the
10	outputs. That seems the classic sort of reverse
11	engineering.
12	Is your view that that is an infringing
13	activity?
14	MR. WILLIAMS: I am not sure what they're
15	describing is equivalent to what you are describing.
16	My understanding is that there needs to be
17	an adapted version of the game plus some code that
18	enables the authentication server to run. And
19	whether it's possible to do that without reproducing
20	any code, as well, is a question that I have.
21	MR. DAMLE: Not reproducing the code but
22	without copying the code, essentially a clean room
23	implementation of the code.
24	MR. WILLIAMS: Sure.
25	Whether the final product contains some of

		21
1	the original code or an adapted version is my	
2	question on that.	
3	So the primary thing I was trying to	
4	express that we are concerned about is more	
5	traditional forms of piracy that are enabled when	
6	the consoles are opened, such as the Popcorn Time I	
7	discussed on the last panel.	
8	Some people raised whether smart TV's have	
9	the capability to store the movies. I think, number	
10	one, the way I understand that that operates is	
11	similar to most streaming where it downloads long	
12	enough for you to watch the movie so you don't have	
13	to have a full connection on the smart TV. So you	
14	can use the application to view the movie and then	
15	move onto the next one.	
16	Here, I think with more significant	
17	capacity for storage, there is an even greater	
18	potential threat.	
19	And there are other applications out there	
20	separate from Popcorn Time that actually enable you	
21	to make copies of streaming video from subscription	
22	services while you are viewing it and then store it.	
23	So if you want to, you can sign up for a	
24	month, create a number of copies and then save them	
25	for later. There are applications that enable that.	

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1	So if you open up consoles, we're	
2	concerned that that type of thing could be done.	
3	MR. DAMLE: And if we were to exclude	
4	consoles, would that solve your concerns largely?	
5	MR. WILLIAMS: No, I don't think so	
6	because I would still have these concerns about	
7	whether the actual games are being infringed and	
8	adapted in an infringing manner.	
9	And I think there are other potential	
10	harms Simon and Lindsey are going to discuss, as	
11	well.	
12	MS. CHARLESWORTH: That is one thing I	
13	might be interested in hearing more about, and I	
14	don't know if it's you or Mr. Frankel or	
15	Ms. Tonsager, but sort of this issue of whether you	
16	need to create derivative works in order to enable	
17	some of the proposed uses.	
18	MR. WILLIAMS: Right.	
19	And my understanding is that the	
20	proponents have said you basically have to adapt the	
21	games in order to engage in this activity and they	
22	say that is fair use. So I think it's clear there	
23	is a derivative work being made, but as I understood	
24	the comments and questions, was that fair use or not	
25	fair use.	
1		

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1	I think I have touched on most of what I	
2	wanted to cover for today and I will defer the rest	
3	of the time to my colleagues here.	
4	Just a very final note is that we are	
5	concerned about these types of exemptions that even	
6	though in some respects the goal might be well	
7	intentioned, it can lead to not only the piracy I am	
8	describing but also the proliferation of tools out	
9	there designed to enable that conduct and that is	
10	concerning to us, as well.	
11	Thank you.	
12	MS. CHARLESWORTH: Where are you on the	
13	preservation issue? In other words, do you see that	
14	as a distinct sort of concern or interest here as	
15	opposed to just continuing the game playing?	
16	MR. WILLIAMS: It does seem to me that	
17	they're bundling a number of different objectives	
18	into one exemption and I do think it's smart to	
19	think through each of those uses separately.	
20	I am not sure that all of the types of	
21	preservation that they're discussing would	
22	ultimately be lawful, as you were discussing whether	
23	the public can come in and out of a museum and play	
24	copyrighted games. It raises issues.	
25	So I think it would have to be thought	

213 1 through very carefully and cautiously. MS. CHARLESWORTH: Mr. Frankel. 2 Thank you. I will try to 3 MR. FRANKEL: cover three -- as I was taught in law school, three points. 5 MS. CHARLESWORTH: And this is hearing 6 Exhibit 5 we are now watching on the screen. MR. FRANKEL: There isn't a lot to watch 8 but that's just an outline, three points I want to 9 cover. 10 11 I will try address many of the points that 12 have been raised already as I go. And I am sure 13 Ms. Tonsager can help me as well to try to respond 14 to many of the questions that the Office has raised, 15 but I first wanted to with respect to some of the 16 points in reply and some raised today, I wanted to 17 make three general points. The first is that when you consider what 18 19 we're discussing in light of the real world of video 20 games and access controls, the proposed class is not 21 workable and it's not justified by the proponents' 22 evidence, and it's hard to see, although we may 23 discuss it, if there are subclasses that may be 24 justified. 25 I think it's become clear that there are a

lot of complexities here in terms of what are the	
Tot of complexities here in terms of what are the	
access controls, what is the nature of the game,	
where is the content residing, what do the	
proponents want to be able to do and what does it	
require.	
And we will come back to some of that, but	
I think that the class as phrased, it turns out to	
be quite sweeping. And in the past, the Office has	
quite appropriately rejected those classes.	
The comments of the proponents here don't	
recognize a lot of differences in the types of	
access controls and the games that are at issue.	
The proposal as framed and the Office	
has articulated some ways that might be limited	
today but as framed, it would cover all gaming	
platforms, not just PC's and not just consoles, but	
smart phones and other mobile devices which we	
haven't even discussed.	
And there is no real, as I think as I	
will attempt to explain, I don't think there are	
limitations that really there is not a more	
limited class that is justified.	
A couple of examples and this comes	
back to an item that has already been mentioned a	
couple of times the EFF's reply comments that	
	access controls, what is the nature of the game, where is the content residing, what do the proponents want to be able to do and what does it require.  And we will come back to some of that, but I think that the class as phrased, it turns out to be quite sweeping. And in the past, the Office has quite appropriately rejected those classes.  The comments of the proponents here don't recognize a lot of differences in the types of access controls and the games that are at issue.  The proposal as framed and the Office has articulated some ways that might be limited today but as framed, it would cover all gaming platforms, not just PC's and not just consoles, but smart phones and other mobile devices which we haven't even discussed.  And there is no real, as I think as I will attempt to explain, I don't think there are limitations that really there is not a more limited class that is justified.  A couple of examples and this comes back to an item that has already been mentioned a

215 1 server-based controls operate separately from console-based checks, but what they cite is an 2 article discussing the Metal Gear Online game which 3 specifically says that anyone wanting to play Metal Gear Online on a PS3 needs to be running a hacked 5 console. 6 It may be that very early generation consoles did not have to be hacked for this purpose, 8 but it is the case that more recent ones have. I understand from Microsoft's 10 11 representative who is here that all but the very first Xbox would have to be hacked -- the console 12 13 would have to be hacked and it would basically be wide open to any kind of steps that the proponents 14 15 are proposing here. And I know that is true of the 16 most recent Sony consoles, as well. 17 So we have this problem, which is that at 18 least as to console games, if you are going to allow 19 any kind of circumvention of access controls for the 20 purposes that have been articulated, it would also 21 mean the console, itself, is open for all the issues 22 that we have discussed with respect to Class 19. 23 And we can talk about it later today, but 24 essentially, it would be a device for infringement. 25 And then we get to this issue of sort of

1 this spectrum of games, because it really is a 2 spectrum in terms of at the one end, you have 3 single-player games where all of the content and all of functionality resides on the device that the user is using; and the other end, you have devices where 5 all of the content and functionalities reside on 6 the server, and there isn't a clear dividing line. The EFF comments propose excluding from 8 9 the proposed class persistent worlds, which are 10 defined as games with additional content residing on 11 the game server, but the proponents' examples of 12 games that are and aren't covered by the proposed class make clear that this is not a distinction that 13 14 is workable in practice. 15 On the one hand, EFF suggests games should 16 fall within the class even though alternative ending 17 and game content are available only through game 18 On the other hand, they say that World of servers. 19 Warcraft falls outside the class, even though much 20 of the content of this game is actually stored 21 locally on the player's device. 2.2 And so you have this continuum that really 23 makes it, I think, quite challenging for the 24 Copyright Office and impossible for the public if an 25 exemption is granted to know which games will

1	qualify as something where access controls can be
2	hacked and which would not.
3	The proposed class as articulated by the
4	office uses the word "primarily," that is, the
5	exemption would not apply to video games whose
6	audiovisual content is primarily stored on the
7	developer's server, but again, this is a continuum
8	and it's going to be very difficult to draw a line
9	that would actually allow people to know what they
10	are allowed to do.
11	And it's a little more complex because
12	it's not just the audiovisual content, which are the
13	words used in the proposed class, that often resides
14	on the server.
15	And more generally, there is this
16	conflation in the reply comments as to differences
17	in the features and functionality of older and more
18	recent current video games. And I think the result
19	is that there is a suggestion that the greater
20	interactivity of the current games somehow warrants
21	circumvention of access controls used in older
22	games, that is, because there is more multiplayer
23	play now, there ought to be a circumvention of
24	access controls as to older games with different
25	features.

1	Older games have, not surprisingly,
2	limited online functionality and online map making
3	was incidental for the single player and local
4	multiplayer functionality, but due in part to
5	Section 1201 protections and advances in cloud
6	technology, there has been significant innovation of
7	the last decade in how video games and other content
8	are accessed and experienced by users.
9	So EFF acknowledges that the persistent
10	world that requires robust servers and cannot
11	generally be recreated after a shutdown without the
12	shutdown of the game's developer should be included,
13	games that reside entirely on the server and games
14	that are played through a general purpose web
15	browser.
16	And I think there is an interesting
17	interplay there between this idea that games can't
18	generally be recreated. And we heard a lot and I
19	will come back to it about the need to preserve what
20	has been done, but if what has if the game's
21	history resides on the server, it will not be
22	preserved anyway in situations that are being
23	addressed by an exemption.
24	I will come back to that.
25	There are a number of other points I want

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1	to make just about the development of innovations in
2	video games that aren't taken into account by the
3	proponents.
4	A number of video games today, including
5	Titanfall and SimCity, which are and a number of
6	other games mentioned in the proponents' comments
7	use cloud-computing technology to provide better
8	in-game performance.
9	So, for example, in TitanFall, much of the
10	AI, artificial intelligence, that determines how
11	computer-generated characters react to the gamers'
12	actions in real time is residing in the cloud.
13	And for SimCity, entire calculations would
14	have to be rewritten to make the game function
15	correctly without server access because the game is
16	routinely pinging the Electronic Arts servers for
17	critical pieces of data and relies on that
18	information getting back to move the game along.
19	So like Persistent World, these
20	cloud-based games rely on servers for functionality
21	even if the audiovisual content is not residing on
22	the server.
23	EFF suggests these game would fall within
24	the nebulous controversy of the proposed class.
25	So there is just a lot of uncertainty

	2
1	here. And I think in prior proceedings, the
2	register has rejected the sweeping classes because
3	it is so difficult to delineate and quote,
4	delineate the appropriate contours of a class in a
5	factual vacuum.
6	MS. CHARLESWORTH: I have a question as
7	long as you paused there.
8	So how do you respond to the consumer
9	issue here that was raised at the other end of the
10	table, which is you buy a game and a certain number
11	of years later, it's no longer available, we really
12	love it but we can't play it.
13	Is there what do your clients about
14	that? What is the thinking in terms of the consumer
15	expectation and the frustration when the game goes
16	away?
17	MR. FRANKEL: I will take some points out
18	of order.
19	I think there is a whole spectrum, again,
20	responsive to that. One is that these server
21	shutdowns occur when the demand when the
22	communities have dwindled, in short.
23	And I know that from the point of view of
24	the passionate game lovers, these are large numbers,
25	but in terms of the market, these are situations
i	

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1	where the number of consumers who are actively
2	engaged in server-mode play of these game has
3	dwindled to the point that it doesn't make sense for
4	the companies to do it.
5	MS. CHARLESWORTH: And they're right here.
6	MR. FRANKEL: All of them.
7	That is just a point that goes to a number
8	of issues, but it includes the question of what is
9	the substantial adverse impact on the legitimate
10	users.
11	MR. DAMLE: Dwindled down what? Can you
12	give a ballpark? Sort of to like 10,000 players,
13	that is not as cost effective?
14	MR. FRANKEL: I don't have concrete numbers.
15	We can try to get that to you.
16	MS. CHARLESWORTH: And are there
17	disclaimers that when a consumer buys a game, does
18	it say, you know, beware on the
19	MR. FRANKEL: At least sometimes, yes, in
20	some instances, yes. And I don't know what kind of
21	online disclaimers there are when you log in.
22	And I would take issue with one point that
23	was made. I don't think it's a recent very
24	recent development that the online functionality
25	comes with some kind of subscription requirement or
1	

	2.
1	payment requirement. That has been going on for
2	quite some time with the development of these
3	persistent world online games, that is, that people
4	are doing something extra to get something that is
5	separate from what they bought. And often, it is
6	actually paying more for the online function, but at
7	least some games do say do have these
8	disclosures.
9	And there is connected to Xbox 360, it
10	provides,
11	"In available games, paid subscription
12	required for online multiplayer. Some
13	features and downloads require additional
14	storage hardware and/or fees."
15	MS. CHARLESWORTH: That is sort of a
16	different issue I mean that is one issue, sort of
17	you may need to buy extras and subscribe to extras,
18	but the other issue is the games that just simply
19	require some kind of server authentication or
20	something simply to play them where you're not a
21	subscriber to anything extra. It's just the game is
22	no longer playable or playable in a way that you
23	understand it should be if there is no longer
24	support from the server.
25	So that question there is when people
1	

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1	purchase a game like that, do they know that it may
2	not last forever?
3	MR. FRANKEL: I just don't know, but what
4	I do know is that what I think you are describing is
5	games where you need server authentication to play
6	in single-player mode.
7	MS. CHARLESWORTH: It could be.
8	MS. TONSAGER: If I can jump in, of the
9	hundreds of games that are included in the exhibits,
10	I am not aware of any of them that require an
11	authentication check for single-player mode.
12	There has been an allusion to NBA 2K14.
13	You can play that game in single-player mode without
14	a server check.
15	MR. DAMLE: They identified four in
16	their list of a hundred, there were four they
17	identified; is that right?
18	MR. Stoltz: That is correct, four for
19	2014.
20	MS. TONSAGER: Was that SimCity and
21	Titanfall?
22	MR. Stoltz: No, those are additional.
23	MS. TONSAGER: Which one of the four?
24	MR. FRANKEL: My point is we are talking
25	about an extremely small number of games where this

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1	issue comes up at all playing in single-player mode.	
2	MS. CHARLESWORTH: What about matchmaking	
3	mode, multiplayer where you have a matchmaking	
4	server? There is different I confess I don't	
5	play a lot of video games.	
6	There is single-player mode which usually	
7	you are saying doesn't require authentication,	
8	although I think was one game identified where the	
9	server was pinging you because it was supplying some	
10	kind of information periodically to allow you to	
11	play the game?	
12	MR. Stoltz: I think that was SimCity.	
13	MS. CHARLESWORTH: Maybe it was.	
14	MR. FRANKEL: The SimCity server has not	
15	been shut down.	
16	MS. CHARLESWORTH: So I guess the question	
17	is are there what we're trying to figure out is	
18	what is really at issue here and what requires	
19	ongoing server support.	
20	And you are saying that it's a very small	
21	universe of things that people can't do with video	
22	games, you know, it's a result of the shutdown of	
23	servers. And these guys are saying it's a big, huge	
24	problem.	
25	So we have to sort out basically the	

		225
1	evidence here.	
2	MR. FRANKEL: Let me address that right	
3	now.	
4	And again, my second point which is	
5	marketplace alternatives to the activities they	
6	claim they want to engage in.	
7	As we just discussed, almost always the	
8	user can continue to play a single-player game after	
9	service support has ended.	
10	As to the others, as I think the panel	
11	alluded to, the Office, players who want to play in	
12	multiplayer mode can almost always keep doing so in	
13	multiplayer mode using multiple controls on a single	
14	console or some kind of hook-up or a LAN.	
15	There may be circumstances where that	
16	doesn't work but there are many cases that it does.	
17	And now we get to a very difficult set of	
18	issues raised by the comments here today from the	
19	proponents, which is unless they are suggesting that	
20	the gaming community not the gaming community	
21	a small number of gamers should be able to recreate	
22	content that was stored on the servers and we	
23	don't think that could be what they're suggesting	
24	because that would create infringing copies or	
25	derivative work the impact on game play is going	

226 1 to be minimal. 2 There were a lot of frankly moving comments 3 about history of games and gaming communities, but those histories and the forums they were referring to reside on the servers. And post server 5 6 shutdowns, they are not going to be recreated by any replacement third party server. They're not going to -- I don't think that they will be and I don't 8 9 think they under copyright law could be. MR. CHENEY: Are those erased when the 10 11 server is shut down? Is that content gone, in other words, when the server is shut down? 12 13 I don't know. Actually, I MR. FRANKEL: 14 don't think we know. 15 It's not on the users' computers. they're not going to have it, anyway. 16 17 MR. CHENEY: They are not going to have access to do it from theirs, but is there 18 19 preservation of that information at the server 20 level? 21 MS. ALBERT: I'm sorry. Can I just 2.2 clarify. 23 So when you were talking about like the history and the like the communities in these games, 24 25 we are talking about like literal people and game

	22	27
1	play practices. We are not talking about catalogs.	
2	Like if you think about what multiplayer	
3	play is, it's a collections of things that people do	
4	in games against each other. And that knowledge	
5	doesn't get deleted when someone takes down the	
6	official server. People still remember how to play	
7	whether the servers are operating or no.	
8	I think the content on the server and what	
9	we're actually talking about is like the content	
10	on the server is irrelevant. The history is the	
11	ability to play and understand the mechanics that	
12	make the games what they are.	
13	MR. Stoltz: And at the appropriate time, I	
14	would like to offer a clarification to our exclusion	
15	from the class massively multiplayer games and the	
16	location of stored content.	
17	MS. CHARLESWORTH: Why don't you just do	
18	that now.	
19	And then I want to hear from Mr. Gholami	
20	because it you sounds like he has something to say.	
21	MR. Stoltz: So our class as proposed	
22	excluded what are called massively multiplayer	
23	online games.	
24	And the reason for that was essentially to	
25	streamline the Office's inquiry and to create a	

		22
1	well-defined and administrable class.	
2	In the industry and among players and with	
3	the enthusiasts who wish to take advantage of this	
4	proposal, it is understood what an MMO is versus a	
5	multiplayer game. That is understood.	
6	MS. CHARLESWORTH: And you can explain it	
7	to me.	
8	MR. Stoltz: I can do better, and that is	
9	offer a realistic distinction, which is one that can	
10	be easily administered for the purposes of an	
11	exemption. And it is this and I think it	
12	addresses some of ESA's concerns.	
13	A game that would be covered by this	
14	proposed exemption is a game that can be restored	
15	using a lawfully-owned copy and analysis of server	
16	traffic but without a copy of the server software.	
17	There are some games for which that	
18	definition will not hold. They are, for the most	
19	part, what people in the industry and fans and	
20	consumers would describe as massively multiplayer	
21	games.	
22	The overlap is not perfect there, but the	
23	purpose is to define a class that can be restored to	
24	its prior condition of playability without copying	
25	code that once existed on a server.	

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1	MR. RUWE: Would the code that needs to be
2	on the replacement server be a derivative work of
3	the manufacturer's?
4	MS. CHARLESWORTH: Or a copy.
5	MR. Stoltz: Well, it's one that can be
6	made without access. And something made without
7	access is not a copy.
8	MS. CHARLESWORTH: Well, it's a copy but
9	not necessarily infringing.
10	Mr. Gholami, what are your views on all of
11	this? Can you explain the difference between
12	multiplayer and massively multiplayer?
13	MR. GHOLAMI: What I wanted to do is kind
14	of address the first part, which is the value of
15	history. It's not about the exact history of what
16	went on while people were playing the game at its
17	peak, but the kind of impact of the game mechanics
18	and the game systems that were in play. Game
19	systems is like the stuff happening in the game,
20	itself, not the game console.
21	It had an impact on the overall lineage of
22	a lot of these games and how they impacted games
23	that came after them.
24	And in terms of the forums, I am mostly
25	talking about forums that are fan made. These are

1	not forms hosted by the developer/publishers. They
2	are ones they have built on their own and now
3	they're communicating through those channels. So
4	I'm not saying that they're communicating
5	specifically through the forums that the developer
6	or publisher established.
7	And part of I also wanted to address a
8	question you had earlier about the value of
9	preserving multiplayer components of games.
10	You originally asked Mr. Stoltz about what
11	is lost as a result of essentially giving up the
12	multiplayer component. And for some games, that is
13	the entire game.
13 14	the entire game.  There is a title that is called Mystic
14	There is a title that is called Mystic
14 15	There is a title that is called Mystic  Knights. It was released in October of 2005 on the
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	2	231
1	In preservationist terms, it is a lost	
2	game and there is no way to bring that game back.	
3	And also, there was a question earlier	
4	about modifying games. At least with something like	
5	Game Ranger, it's not modifying the game, itself.	
6	A lot of times, it is essentially tricking	
7	the game into thinking it's connected to the	
8	official servers when it's going elsewhere. So it's	
9	not a modification to the core game.	
10	And this Game Ranger offers paid services	
11	for developers, but these games in particular, like	
12	the ones I mentioned, are games by to my	
13	knowledge, they are not getting any kind of money	
14	from any of the publishers that were involved in the	
15	release of these games originally.	
16	MS. CHARLESWORTH: Thank you.	
17	Ms. Albert, did you have further	
18	commentary on this general issue?	
19	MS. ALBERT: Just one quick thing about	
20	trying to distinguish between like preservation and	
21	people continuing to play. And I think this is just	
22	an important point of what the overall way that the	
23	exemption fits together, is that I think the the	
24	academics that we have spoken to, all of the folks	
25	that are in the industry and sort of work on this	

1	specific preservational issue feel very strongly
2	that preserving games means keeping them playable.
3	It doesn't mean just video. It doesn't mean like
4	keeping screen shots. In order to really understand
5	what the game meant, you need to be able to go in
6	and play it.
7	And I think TL Taylor's original testimony
8	on our exemption speaks to that specifically.
9	And I think for games that are
10	multiplayer, like my colleague has been discussing,
11	like that means playing multiplayer. And the
12	line what you find in the games community is that
13	often the community is the one that are keeping the
14	service logs and are the one on the front lines
15	preserving copies.
16	And drawing a distinction what it means to
17	preserve, what it means for preservational purposes,
18	like there are folks who play tons and tons of Sega
19	Dreamcast games. I have been racking my brain
20	trying to think of when Dreamcast was released and
21	it was a long time ago, maybe like ten or fifteen
22	years. There are newer, better consoles, but people
23	love the Sega Dreamcast so much, that they keep
24	playing. They create new games and do this.
25	And I would like to think they are

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1	preserving at the same time that they are playing	
2	multiplayer online. So I think that it may seem	
3	convenient to distinguish these two characteristics	
4	to say that there is something really different	
5	going on, but by keeping these communities alive and	
6	allowing people to play online, even at specific	
7	times, even if the community is so small that	
8	everyone gets together at 6:00 on Wednesday and	
9	plays it like they used to ten years ago, that is	
10	really preserving a form of game play and a history	
11	that is important.	
12	MS. CHARLESWORTH: Food for thought coming	
13	from this side.	
14	MR. WILLIAMS: Very briefly, just on the	
15	question of the disclosures and what is communicated	
16	to the consumer, I do as part of my practice draft	
17	website terms of service and I have done terms of	
18	service for video games. It's not any of the games	
19	that were raised in the comments, but I know that in	
20	the terms that I have drafted, there is full	
21	disclosure that online support of a website where a	
22	game might have to be discontinued, that is an	
23	expectation that is disclosed going in.	
24	So I can't speak to the specific cases	
25	here, but I would be surprised if that is not	

		2
1	included in the terms of service.	
2	MS. CHARLESWORTH: Mr. Frankel.	
3	MR. FRANKEL: I notice at least one of the	
4	games that they list in the server shutdown is the	
5	Ages of Empires online from Microsoft. That is, as	
6	I understand, a massive multiplayer game.	
7	But I think Ms. Albert's comments lead me	
8	back to where I was, which is the next point I	
9	wanted to make, which is that there is this focus on	
10	preservation and research, but as we just heard,	
11	preservation and research in this context is sort of	
12	a spectrum that extends out to being able to play	
13	the games.	
14	And I think one thing that is unclear is:	
15	Play them to what extent? Is single-player mode	
16	enough? Is local multiplayer enough or does it have	
17	to be something more?	
18	And I think that is problematic because it	
19	reflects sort of basically the commercial nature of	
20	kind of preservation that is part of what is asked	
21	for here. And that's, I think, reflected in the	
22	fact that eBay put in reply comments on their	
23	trading in the games, but that said, ESA agrees that	
24	the preservation and research, at least as we	
25	understand it, are important.	

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1	And it's why the ESA and its members have
2	made them a priority and cooperated and supported
3	research and preservation efforts and will continue
4	to do so.
5	And I won't go through all these examples,
6	but these are just some examples of relatively
7	recent activities of ESA and members to preserve and
8	provide access to older games.
9	I think Mr. Diamante's comments dovetail
10	well with this, because what he said was that these
11	games are being preserved and that Sony is doing
12	them, and as far as he was aware, Sony had never
13	declined to cooperate in terms of allowing the
14	preservation of games.
15	And I don't think we're aware of any
16	instances in which manufacturers have not wanted
17	their games to be preserved.
18	MS. SMITH: I am wondering if ESA members
19	have ever granted or denied permission to make a
20	replacement server outside of a museum or academic
21	institution.
22	MR. FRANKEL: We could try to get you the
23	specifics, but this is Mr. Cory Fox from ESA, and he
24	thinks it's been asked for and agreed to.
25	MR. DAMLE: So one of questions I asked

	23
1	this side earlier was whether there is sort of a
2	licensing environment for continued hosting of Game
3	Ranger and I think it was mentioned there are
4	companies out there that are seeking licenses to
5	allow matchmaking servers, authentication servers,
6	to continue to be up if the original publishers
7	decide they don't want to be responsible for
8	maintaining
9	MS. CHARLESWORTH: Other than the one-offs
10	we just mentioned. We are obviously aware of Game
11	Ranger. It is not licensed by companies here, but
12	as far as we're aware, no one has sought to take
13	action against them.
14	MS. TONSAGER: My understanding is that
15	GameSpy the service that was alluded to before,
16	that shut down for entirely different, I guess,
17	economic reasons because it didn't have money. I
18	don't know if it was licensed specifically, but
19	there was no industry supported it.
20	MR. FRANKEL: The summation on this is
21	simply there is not substantial adverse impact
22	shown, that there is inconvenience that some, not
23	all uses, are permitted, but that is not sufficient
24	to justify the exemption.
25	The last point I will make is simply that
I	

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1	the kind of access control circumvention that is	
2	being discussed leads to more infringing activity in	
3	the market for copyrighted works.	
4	I know the proponents say that that won't	
5	happen. In the past, the Copyright Office has	
6	rejected that reasoning because it doesn't address	
7	the real-world impact of the proposed exemption and	
8	that's what applies here.	
9	And I will just make two points on this.	
10	One is if you create these if you hack	
11	the access controls to allow these games put	
12	aside the consoles to allow these games to work	
13	with a third-party server, those third-party servers	
14	will work with any copy of the game, including an	
15	infringing copy. So you are basically creating a	
16	market for infringing copies of the game when you	
17	set up a third-party server that is premised on	
18	circumvention of the access controls that are what	
19	control the communication between the legitimate	
20	copy of the game and the servers.	
21	So that is just a real-world impact of	
22	such third-party servers as to console games.	
23	I won't go on except for the fact, as	
24	Mr. Damle pointed out, you're going to have a hacked	

console. And that is all of the issues that we have

	2
1	talked about in connection with Class 19.
2	Finally, I will just say that as
3	Ms. Charlesworth suggested at the beginning of the
4	session, that the notion advanced by ESA that these
5	games are abandoned and that, quote, "the copyright
6	holders' actual interest in continued sale of the
7	game has ended" is something that the game publishers
8	and the content-maker ESA take great issue with. It
9	is incorrect.
10	And they only further those kind of
11	claims only further the public's misunderstanding of
12	the purpose of these kinds of rulemaking efforts and
13	of any resulting exemptions.
14	And the Office may have seen this, but
15	this is one example, a recent article in the Houston
16	Press which said that the Copyright Office was
17	exploring making some video games public domain with
18	respective to this proceeding.
19	And I think if you read this article, you
20	will see exactly the kind of misunderstandings that
21	are created by even suggesting these games have been
22	abandoned.
23	And the fact is, in the real world, video
24	game publishers regularly re-purpose and
25	re-introduce their video game titles often years

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1	after they're originally released.	
2	Nintendo now sells a number of classic	
3	video game titles from the 1980's, including Super	
4	Mario Bros. II, Pacman, Donkey Kong Jr. and	
5	Excitebike, for online download through Nintendo and	
6	the Nintendo 3DS virtual consoles.	
7	And granted, those games are outside the	
8	requested exemption. They were developed before	
9	online functionality, but they are important	
10	examples of how video game publishers continue to	
11	create markets for their fully copyrighted works.	
12	And this issue is obviously of great	
13	importance to ESA and its members.	
14	Thank you for your time.	
15	And if you want to add.	
16	MS. TONSAGER: Yeah, I am just here as the	
17	game enthusiast, myself, and I am very excited to be	
18	on this panel with the gentleman who is involved	
19	with Journey because that is a really fantastic	
20	game, but I think just to put a pin on something	
21	that we're kind of dancing around here, is just how	
22	slippery some of the different games are here that	
23	we're talking about today.	
24	I think Mr. Gholami gave the example of	
25	Chromehounds, which is a game featured here. Some	

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1	interactive and there are these very robust	
2	communities but those are all very modern games.	
3	If you take a look at the hundreds of	
4	games that are listed in the proponents' comments, a	
5	number of them are very old games where we didn't	
6	have this core, online multiplayer functionality,	
7	and in fact, single-player mode was the core of game	
8	play functionality and you had local multiplayer, as	
9	well.	
10	So I think at the end of the day when you	
11	are faced with a question of what are the adverse	
12	effects and are they substantial, for the vast, vast	
13	majority of the games that have been put into	
14	evidence, you have incredibly robust alternatives in	
15	single-player and local multiplayer mode.	
16	MR. Stoltz: Could I respond?	
17	MS. CHARLESWORTH: Mr. Stoltz.	
18	MR. Stoltz: So first off, regarding I	
19	want to emphasize this synergy between volunteer	
20	efforts by passionate fans and players and	
21	professional researchers, archivists and librarians,	
22	because the very important work of preservation and	
23	archival depends in the real world and in large part	
24	on the volunteer efforts of people who know a game	
25	best and who commit many hours of unpaid labor to	

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1	restoring it and, of course, preserving the physical
2	media.
3	MS. CHARLESWORTH: Can I ask a question.
4	Who are how would you define
5	preservationists as opposed to just people who want
6	to keep on playing? In other words, we obviously
7	can talk about museums and MoMA and a bunch of
8	people playing Pong. And there was an installation
9	there the last time I was there. And I am very
10	appreciative that it was there, but that is sort
11	of obviously, we all think of museums or maybe
12	the Library of Congress, whatever, as
13	preservationists, but you seem to be suggesting a
14	broader something broader than that.
15	And I am curious to know whether there is
16	any line of demarcation between someone you call a
17	preservationist and someone who just again wants to
18	keep playing the game.
19	MR. Stoltz: Again, I don't think there is
20	a strong line of demarcation because there was a
21	synergy.
22	And I refer you to and this was a
23	company not in the context of this rulemaking. It
24	was from several years back when David Gibson at the
25	Library of Congress said,

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1	"I personally think that the modeling
2	community has done tremendous work in
3	regards to software preservation. And I
4	truly believe the public institutions will
5	only benefit from employing some of the
6	practices undertaken by the modeling and
7	emulation community as we develop
8	preservation and access strategies."
9	MS. CHARLESWORTH: But that is I mean I
10	guess like, for example, let's say we were
11	inclined to grant an exemption that really was more
12	focused on the preservation issue as opposed to
13	general continuing play.
14	How would you define is there a way
15	how would you draw that line, if you could? Do you
16	have any ideas on that? I would be interested.
17	In other words, I think a lot of people
18	even we heard ESA say they're supportive of
19	preservation efforts. There is interest in
20	preserving video games. Mr. Diamante and
21	Mr. Gholami both made very moving speeches about
22	that.
23	And setting aside this whole other range
24	of things that are being discussed here, but on the
25	preservation issue, if we were going to write an

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1	exemption on preservation, how would you define	
2	those people who would be able to take advantage of	
3	that exemption?	
4	MR. Stoltz: I would simply define it as	
5	returning a lawfully possessed copy of a game to its	
6	fully functional state.	
7	MS. CHARLESWORTH: You wouldn't tie it to	
8	the purposes of the person who is doing that or	
9	whether they were sharing it whether they were	
10	making it available to the public or putting it in a	
11	carefully archived place or Section 108 of the	
12	Copyright Act.	
13	None of those I mean usually when we	
14	think of preservation, we think of that as distinct	
15	from keeping a copy of the work. So that is I	
16	mean I am picking on you, but if other people have	
17	thoughts on that, I would be curious to know.	
18	MR. FRANKEL: I think that is an important	
19	point, that as defined by the proponents here,	
20	preservation is equivalent to being able to play by	
21	those who wish and that is not normally what we mean	
22	by preservation.	
23	Even if we speak of a piece of performance	
24	art that is preserved for the public in a museum, it	
25	is preserved in some medium and available. It may	

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1	be a performance art that involves some	
2	interactivity, but it is there for people to visit,	
3	to preserve. It's not a commodity. And that, I	
4	think, is a problem.	
5	MS. TONSAGER: And also, there seems to be	
6	a blurring between the concept of preservation and	
7	just creating a backup copy, which this office has	
8	refused to adopt an exemption for backup copies on a	
9	number of occasions.	
10	And in fact, in this case, it's not just	
11	creating a backup copy. It's going much, much	
12	further by modifying the code and setting up these	
13	additional servers so that you are creating	
14	basically a different version of the work.	
15	So I think it is really, really important	
16	that you are appropriately crafting that class	
17	because especially if it's not crafted	
18	appropriately, you end up with articles like this	
19	where people are confused about	
20	MS. CHARLESWORTH: It doesn't matter what	
21	we do, we get articles like that.	
22	MR. Stoltz: I think that is an important	
23	point. There are probably an equal number of	
24	articles that express the view that one may not	
25	transmit a description of a sporting event without	

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1	infringing copyright. That is, of course, false.	
2	I don't think it is the job of this	
3	rulemaking proceeding to educate the entire public	
4	on the finer points of copyright law, but rather	
5	simply to define workable classes, but I wanted to	
6	respond to this notion of preservation in	
7	particular.	
8	This isn't we're talking about an	
9	interactive medium, a medium that involves in most	
10	of the use cases that were discussed interaction	
11	among people. So preservation of playability is the	
12	issue.	
13	MS. CHARLESWORTH: People are playing the	
14	games at MoMA.	
15	MR. Stoltz: Yes.	
16	MS. CHARLESWORTH: I understand that Pong	
17	is not exactly what the least sophisticated, but	
18	there were other games there, as well, that were	
19	being played, but that was in a museum.	
20	MR. DAMLE: And they're playing online	
21	through the Internet Archive and they're playing at	
22	home. And these are all species of preservation of	
23	the experience of playing. And there are synergies	
24	between amateur efforts and professional efforts.	
25	They depend on one another.	

246 1 MS. CHARLESWORTH: There are, but they could be connected to some sort of institution. 2 You are sticking to your guns and saying 3 you want a really broad -- first of all, we are very 4 5 open minded and this is not suggesting necessarily 6 any particular direction we're going in, but I mean it did strike me and all of us when we read your papers that there were sort of two different things 8 going on. One was sort of almost museum-style preservation and that interest which traditionally 10 11 has been viewed as somewhat separate from the 12 ability for everyone to have access to a work in an 13 unconstrained way. That is why I am pushing on this 14 point. 15 MR. Stoltz: Sure. 16 On that point, I think it's incorrect to 17 consider the second of those two categories a more 18 personal sort of preservation as a commercial act 19 because there is no multiplication of copies. 20 is a person for whom the commercial transaction has 21 occurred. The person owns a copy of the game. I want to --22 MS. CHARLESWORTH: 23 Mr. Diamante has been extremely patient. I am sorry 24 because I have tunnel vision sometimes. So please, 25 feel free to comment.

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1	MR. DIAMANTE: Just a really brief comment
2	on preservation on what is required for
3	preservation of games.
4	Preservation of game assets is not the
5	same as preservation of the game.
6	Much like there are many ballets that are
7	actually lost because we have the scores but we have
8	no idea of the translation of the choreographies for
9	those ballets. So the dance is ultimately lost.
10	When playing video games, there are many
11	different things that are happening. And speaking
12	as a developer, often the intent that is from the
13	developer is not actually fully conceived by the
14	developer but rather found along the way.
15	And many video games actually have
16	identities that are rather outside of what the
17	developer was initially thinking on day one of the
18	production. What the game actually is, is rather
19	different from what was set out.
20	And seeing the interaction of players and
21	systems together can create totally different
22	things. It can indoctrinate people to certain
23	morals, let's say.
24	I am at thatgamecompany. We made a game
25	called Journey and the actual system that is defined

	24
1	in Journey is really quite simple. All the game
2	actually dictates is you are a human-like character
3	and there is a mountain over there and just walk to
4	it. That is it.
5	And because of that, actually many people
6	have said that this is really not much of a game, is
7	it, but the context of everything, the systems, the
8	various small, finite-state machines which exist in
9	the game interacting with each other, and
10	ultimately, interacting with two players on opposite
11	sides of a network connection, they turn the game
12	into something else.
13	And that game is what I would hope is
14	worth preserving as opposed to the bits and bytes
15	that actually comprise the game's assets.
16	The game of Journey, ultimately, and what
17	make people connect with that game is the fact that
18	people recognize other people for what they can be
19	and they recognize the potential of the human
20	connection.
21	As opposed to simply just going on a
22	journey and getting to the credit sequence at the
23	very end of the game, it teaches people to actually
24	be better than who they are. And this is not
25	dictated anywhere in the game system.

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1	MS. CHARLESWORTH: Now, earlier, very
2	early on, you mentioned that a museum was looking
3	potentially to did you say MoMA had actually
4	asked?
5	MR. DIAMANTE: There have been some
6	museums that have asked us about it. I do remember
7	some I don't remember all the ones that we didn't
8	follow up on. I remember there is a church
9	actually, there was a church in England that
10	actually successfully got some communication with
11	Sony.
12	All the communication regarding Journey
13	actually, when it comes to us as a developer,
14	because the game is owned by Sony, we forward that
15	to Sony.
16	MS. CHARLESWORTH: And I understand that
17	and I appreciate your and you had a couple of
18	other games that you said had been preserved like
19	the Smithsonian example.
20	Is that playable at the Smithsonian?
21	MR. DIAMANTE: Yes, it is playable at the
22	Smithsonian.
23	MS. CHARLESWORTH: So the point this is
24	actually what I was driving at. It sounds to me
25	like it's possible for museums to preserve games in

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1	playable states. Is that not the case?	
2	MR. DIAMANTE: Certainly with certain	
3	games. Most of the games that are preserved are	
4	older games with less sophisticated online	
5	functionality. Many modern games have much more	
6	sophisticated functionality.	
7	Journey is relatively simple in what is	
8	required in terms of matchmaking, multiplayer. It's	
9	multiplayer but it only requires two people at any	
10	given time.	
11	Because the matchmaking happens via Sony	
12	and their PlayStation network system, simply having	
13	a copy of Journey in an exhibit in a museum is not	
14	enough and neither is having two copies of that game	
15	sitting side by side in that same museum. That	
16	would not actually reflect the experience of	
17	Journey, unfortunately.	
18	MS. CHARLESWORTH: Would you need the	
19	matchmaking server? What else would you need so two	
20	players could play Journey in the museum?	
21	MS. ALBERT: I am so sorry. Can I just	
22	clarify something about Journey?	
23	When you start playing Journey, you don't	
24	know that it's a multiplayer game or you don't	
25	know that there is going to be another player you	

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1	are interacting with, that the other person is
2	human.
3	MR. DIAMANTE: Many people
4	MS. ALBERT: I just spoiled the game. I
5	am sorry.
6	If you saw there were like two boxes
7	sitting next to each other and they were both
8	playing Journey, the trick of the game is you don't
9	know that like there is another human in the game
10	playing and that would be totally ruined and that is
11	exactly why
12	MS. CHARLESWORTH: No, why couldn't you
13	have that in a museum, actually, where two people
14	walked up I am trying to I am struggling here
15	because I mean I am truly struggling to
16	understand if you can replicate this stuff outside
17	of the museum, why can't you replicate it in a
18	museum. I am not getting this.
19	MR. GHOLAMI: Part of it is the
20	accessibility of it in the sense that a game like
21	Journey is two hours long. And for us that are
22	accustomed to movies, that isn't very long, but this
23	is a multiplayer session that is essentially two
24	hours.
25	And it's hard for someone sitting in a

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1	museum to sit for two hours. And also you have	
2	difficulty in terms of being able to present it	
3	in that duration of time is incredibly difficult.	
4	MS. CHARLESWORTH: Again, I think we're	
5	I don't want to draw too much longer on this point,	
6	but I could imagine an archive where people could	
7	sign up and have a schedule and come play the game.	
8	It's an important question because as I	
9	said, preservation use is differently thought of	
10	than general, all-purpose use.	
11	Is there any response to that?	
12	MR. FRANKEL: I will say it makes me think	
13	of a museum in San Francisco where there are	
14	exhibits that you don't realize there is someone	
15	else in another part of the same place who is	
16	actually interacting you are interacting with,	
17	but I think this discussion underscores the	
18	difficulty of extending the notion of preservation	
19	to what the proponents are talking about, which	
20	really is simply use, access and distribution.	
21	MS. CHARLESWORTH: Mr. Gholami.	
22	MR. GHOLAMI: I wanted to go, I guess,	
23	more broadly back to some points made about the	
24	value of multiplayer. And this multiplayer is part	
25	of games going back to essentially when maybe	

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1	Internet access was publicly accessible for even
2	going back to the original Dune or games that are
3	from the 90's. These are games where the
4	multiplayer component was a huge defined part of it.
5	They spawned these entire communities, literally
6	conventions, that were just focused on that.
7	And so I think it's not entirely accurate
8	to say that single-player is the only important or
9	the major important part of some of these games
10	where, in fact, I think the opposite is true.
11	And in addition to that, Nintendo does
12	sell a lot of older titles. In fact, they do sell
13	Mario Bros. on the Nintendo. That is an instance of
14	a game that the online functionality is included in
15	the original DS version. It still shows a button to
16	connect online to play and you choose it and it says
17	you can't connect.
18	So they do essentially try to sell games
19	and then not have the functionality that was
20	included with the base game originally.
21	MS. CHARLESWORTH: Mr. Stoltz.
22	MR. Stoltz: So a few points, but
23	particularly about this notion of licensing and
24	about the impediments caused to museum archives and
25	educational institutions on why the ban on

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1	circumvention.	
2	I think it's important to note that a lot	
3	of the games at least some of the games we have	
4	discussed many of the games in need of	
5	preservation are essentially orphaned works.	
6	So regardless of ESA members' commendable	
7	efforts to support preservation, often those who	
8	must give permission cannot be located. And I think	
9	this is a pretty common occurrence.	
10	About the value independently of	
11	preservation of personal use both in synergy with	
12	professional preservation but also as an adverse	
13	impact on lawful use in its own right, is that when	
14	the companies stop making playback devices, there	
15	is to use an analogy here, there is nothing to	
16	stop someone from building a new 8-track player to	
17	keep enjoying their old 70's cassette.	
18	And the fact that these particular works	
19	of art require authentication or server	
20	communication shouldn't make them lose their	
21	expressive and artistic functions because the	
22	original manufacturer of 8-track players is no	
23	longer manufacturing them.	
24	And then also to go back to something that	
25	Mr. Frankel said earlier and I want to emphasize	

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1	that we are asking for an exemption that would cover	
2	the preservation of games on consoles that would	
3	that would require in some sense jailbreaking.	
4	And I want to draw an example there I	
5	want to draw an analogy there to the exemptions that	
6	have been granted for video clips.	
7	And I know we will be discussing those in	
8	DC next week, but there, as here, circumvention is a	
9	necessary step in the process of recognized lawful	
10	use. And it is also a necessary step in certain	
11	forms of infringement, although usually not the only	
12	or easiest method of infringement.	
13	MS. CHARLESWORTH: But a more relevant and	
14	closer example is last year, we denied an exemption	
15	for circumvention of consoles because of the strong	
16	showing that it's related to piracy.	
17	So I mean that is what they're talking	
18	about when they're saying they're concerned about	
19	allowing an exemption for this. They're concerned	
20	that when you circumvent a console, you can play any	
21	pirated video game.	
22	And so that is why which is an	
23	important concern, because part of this is to	
24	balance the interest in protecting creative works.	
25	MR Stoltz. Absolutely	

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1	I would submit that the opponents of this	
2	proposal have not demonstrated any causal	
3	relationship on this record.	
4	So speaking again of real-world impact, we	
5	have provided many examples of both professional and	
6	volunteer efforts to preserve the functionality of	
7	lawfully-owned copies.	
8	What ESA has put on the record is a series	
9	of screen shots taken from somewhere on the Internet	
10	where unidentified people are discussing the	
11	infringement of video games.	
12	We will submit and concede that	
13	infringement occurs, but the important point for	
14	this rulemaking is whether granting an exemption	
15	will cause a material increase in infringement. And	
16	the evidence on this record provides not a hint of	
17	that.	
18	Rather, the evidence on this record shows	
19	that the people who are engaging in this	
20	particular in the work that would be covered by	
21	this exemption are people with an incredible amount	
22	of vested interest in the thriving of a particular	
23	game, the very people who would not want to see it	
24	diminished by widespread infringement and by people	
25	with institutional constraints.	

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1 MS. CHARLESWORTH: I appreciate that. Ι think what I am struggling with here is we have 2 heard a lot of concerns, important concerns, raised 3 by the other side. And you have raised important 5 issues, as well. So we are trying to wade through this and 6 7 for purposes of this proceeding, figure out what is non-infringing use, you know, but you just keep sort 8 of saying we want the really broad, broad exemption 9 even though there are concerns about hacking 10 11 consoles. The question is what you are asking for, 12 13 can it be in any way narrowed to address some of the 14 concerns of the video game makers. And that is part 15 of this hearing, is to explore that just so we can then take that back and sort of understand what your 16 best position is. 17 18 So if what your position is you really

So if what your position is you really want the broad and you are asking to circumvent consoles and everything, we will take that back and certainly think about it carefully, but I just want to make sure that I understand that you don't see any way to narrow this in terms of people who do this or the type of circumvention or any of that other than a persistent worlds issue which you were

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1	clear about earlier.	
2	MR. Stoltz: I wouldn't say that.	
3	If the Office were to grant an exemption	
4	that is, say, limited to professionals or limited in	
5	some sense by the role of the individuals involved,	
6	that would be an improvement over the status quo.	
7	What I think the Office needs to be	
8	careful with is not to grant an exemption that is so	
9	narrow as to be useless. And that is for	
10	example, I know the individuals involved in video	
11	game preservation at the Library of Congress. My	
12	understanding is there are four of them, and each of	
13	them is only spending about a quarter of their time	
14	on it.	
15	It's at this point and on this record	
16	uncontradicted. It not sustainable in this	
17	three-year period without at least the ability of	
18	volunteers to participate at some level. That is my	
19	concern.	
20	MS. CHARLESWORTH: I thank you and I	
21	appreciate your response to my comment.	
22	Ms. Albert.	
23	MS. ALBERT: Sorry for interrupting	
24	earlier. I just feel so passionate about Journey, I	
25	had to jump in.	

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1	I do want to talk very briefly about some	
2	of the alternatives to sort of circumvention that	
3	were raised in the course of the discussion as a way	
4	of just addressing like what those look like in	
5	reality for the folks on the ground. Again, with	
6	the focus on the real world communities and for	
7	my colleague has already talked a little about	
8	licensing, but I have been talking to folks who	
9	represent video game communities that have sought	
10	licensing for servers or licensing for versions of	
11	games.	
12	Often what happens, especially if the game	
13	is older, is that sort of the original developer has	
14	been bought by someone who has not it will be	
15	impossible for them to trace the intellectual	
16	property well enough to sign it over to these like	
17	enthusiasts. They don't even feel comfortable	
18	signing over permission I don't know if this is	
19	exactly the orphaned works problem you were talking	
20	about a little bit earlier or that it will cost	
21	prohibitive amounts of money for the game	
22	communities to sort of take up the mantel if they go	
23	through the licensing route.	
24	And I am talking about communities that	
25	have already sunk like hundreds of hours and	

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thousands of dollars into these games, but when it
comes to bills of a hundred thousand dollars in
order to track down the rights and get them, that is
not sustainable for a community.
The second alternative
MS. CHARLESWORTH: Are you talking about
copyrights or circumvention rights? Because the
copyright this isn't really we can't really
solve the orphaned work problem here, unfortunately.
If I could, I would.
We're really talking about where there is
a clear, non-infringing use, whether you can
circumvent.
Sometimes you can solve that with a
license because along with the license comes the
authorization, obviously, to circumvent.
MS. ALBERT: These are folks who are
literally looking for the okay from the video game
company to run the server.
MS. CHARLESWORTH: Do you have actual
examples of that?
MS. ALBERT: I actually cannot provide you
with the example because they asked me not to say
who it was because they were concerned about the
confidentiality of the information. I'm sorry.

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1	MS. CHARLESWORTH: But just with the	
2	example you can't provide, you are saying it is	
3	someone who approached a video game company and	
4	couldn't afford a license?	
5	MS. ALBERT: Yes, that's correct.	
6	So the other two alternatives I want to	
7	talk about are re-releases which we sort of	
8	discussed in the Nintendo context. And I think that	
9	often re-releases, first of all, are not	
10	necessarily always a substitute for the original	
11	game. And I want to speak about that specifically	
12	and I will use an example from the movies.	
13	So if anyone has seen an original cut of	
14	"Stars Wars" versus an older cut of "Star Wars," we	
15	all know that Han shot first and that sometimes	
16	directors make changes to movies or video games that	
17	they feel better reflect their directorial vision	
18	after the fact.	
19	So often re-releases of a game will	
20	actually not necessarily always take in the same	
21	elements or be the same for the community that it	
22	began with. So even if the games do get	
23	re-released and that is super super rare	
24	sometimes they are not that actually effective a	
25	substitute for the original games.	

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1	The last alternative I want to talk about
2	a little bit is LAN and local play.
3	And I think part of what is really
4	important here to note is that these communities are
5	definitely smaller than the original communities
6	online. And that is why, as my colleague mentioned,
7	we have to they often have to schedule times to
8	play the games.
9	And when you only allow LAN play or local
10	play, you basically limit the population of people
11	playing these games to the folks who can get in the
12	same room together. And when your player population
13	is already much smaller than it was at launch
14	like the number of people who may live in a city and
15	want to play that game may not be enough to sustain
16	the same kind of thing that you wanted to originally
17	play.
18	So LAN functionality in most circumstances
19	is actually not really a good substitute for online
20	multiplayers just because servers and cities is
21	often what introduces strategy, what creates sort
22	of these generative game environments we have been
23	discussing.
24	MS. TONSAGER: If I could just very
25	briefly respond to that to two points.

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1	Just the idea that it has to be a good or
2	perfect substitute, that is not the standard. It's
3	whether or not if these are just incidental or
4	de minimus or inconveniences, the Copyright Office
5	made clear that that is not a reason to grant an
6	exemption.
7	And just to be crystal, crystal clear for
8	purposes of the record, the word "orphaned works"
9	has been raised a couple of times. I think it's
10	obvious, but all of the examples listed in the
11	proponents comments, they identify the publisher.
12	We're not talking about orphaned works here. These
13	are clearly games where the copyright owners are
14	readily identified and are available.
15	MS. CHARLESWORTH: Thank you.
16	Mr. Williams.
17	MR. WILLIAMS: I was going to second that
18	these works are neither abandoned nor orphaned. And
19	I don't see that as actually analagous at all to
20	creating a new 8-track player if no one can find an
21	8-track player.
22	To me, it's much more similar to if you
23	release a film in theaters in 3D and then you move
24	into the VHS market after that and no one can see
25	the 3D versions. I think that was the case on

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1	"Nightmare on Elm Street 3." It was in 3D when I
2	was a kid, and you see it in the theater and it's in
3	3D and then you have a period of time when you don't
4	see it in 3D anymore, but now I think at E Street in
5	Washington, they have a midnight showing and
6	sometimes they run the 3D version and the copyright
7	owner never disappeared. They never stopped
8	intending to market that asset. They just had to
9	find the right way to go about doing it.
10	I am sure you can get it in 3D on Blu-ray
11	now and in a variety of ways. So they are not
12	orphans. They're not abandoned. It's just the
13	copyright owners working through the right way to
14	market their work to a consumer base.
15	MS. CHARLESWORTH: We have run a little
16	over. This topic has been very interesting and
17	engaging. And there are a couple of people I was
18	going to give brief closing remarks to Mr. Frankel
19	and then Mr. Stoltz and then we will wrap up this
20	panel.
21	MR. FRANKEL: Just very quickly to build
22	on what Mr. Williams said, under Section 1201, not
23	everyone gets to do what they want to do all the
24	time and the question is are there good reasons to
25	change that.

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1	And here, they're just I don't think
2	the proponents have established a substantial impact
3	on the kind of uses that the statute meant to focus
4	on.
5	We have seen that there are extensive
6	preservation activities in the traditional meaning
7	of that term and exhibition activities and research
8	activities that go on without trouble under the
9	current regime.
10	And the fact that there are other things
11	people would like to do that would be incremental,
12	you can't justify it. And I think the same goes
13	with the size of the community. Other issues that
14	may not quite be optimal to a small number of
15	people, that is not sufficient under the statute to
16	change anything.
17	MS. CHARLESWORTH: Thank you, Mr. Frankel.
18	Mr. Stoltz.
19	MR. Stoltz: The question is whether there
20	is substantial adverse impact on a non-infringing
21	use several non-infringing uses in this case.
22	And I think the actual record here demonstrates that
23	amply with the actual testimony by particular people
24	rather than conjecture.
25	So a game console that has been modified

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1	to run to preserve the functionality of a copy of a
2	game is no more a device for infringement than a DVR
3	or a desktop computer is a device for infringement.
4	It is a device with multiple uses. One of those
5	uses is covered by the exemption that we have
6	proposed. The others are not.
7	Very quickly about the notion of orphaned
8	works and I apologize if we have used a loaded
9	term or a term that raises ESA's concern. That was
10	not my intent.
11	So frankly, let's retire that term and
12	just as well use the term "abandoned." We are here
13	in every one of these panels talking about works
14	under copyright and making lawful uses of those
15	works.
16	So I hope we don't get hung up on
17	terminology, but this notion, to avoid using the
18	words of the difficulty which Mr. Diamante
19	mentioned and I believe Mr. Gholami mentioned the
20	real-world difficulty for any of these institutions
21	to secure permissions is very real and serious and
22	it is broader actually than pure copyright
23	permission because there are in many cases multiple
24	parties who may have standing to bring DMCA

anti-circumvention claims who are not the rights

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1	holders. This is case law. And those folks are not
2	copyright registrants. They're going to be even
3	harder to track down and that is part of the concern
4	for people who want who need permission to
5	circumvent.
6	And that is why permission is not an
7	alternative.
8	Thank you very much for your time.
9	MR. DAMLE: I thank you all. It was a
10	very interesting panel with a wide variety of
11	opinions and we're grateful that you are here.
12	We're going to take a break now until
13	MR. RUWE: I have a question. I do have
14	one question for ESA and this is to clarify
15	something I thought I heard you say earlier.
16	To the extent the proponent seeks to
17	redirect the game to connect to a different network
18	address, is it your position that swapping out or
19	rewriting the designation of an IP address would
20	constitute a derivative work and would that be an
21	infringing derivative work?
22	MS. TONSAGER: It's my understanding that
23	the number of games where you could actually achieve
24	what the proponents want to achieve simply by
25	switching out an IP address is an almost nul set.

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1	MS. CHARLESWORTH: But you didn't answer
2 the	question.
3	MS. TONSAGER: Fair enough.
4	MS. CHARLESWORTH: That was very lawyerly
5 of 3	you.
6	MR. FRANKEL: But it would allow for the
7 play	ying by that third party server of counterfeit
8 cop.	ies.
9	MS. CHARLESWORTH: We going to try ending
10 this	s. Sorry. That was my fault.
11	Thank you once again.
12	MR. FRANKEL: Does Mr. Ruwe want to hear
13 from	m Microsoft's lawyer on that?
14	MS. CHARLESWORTH: Okay. Can you give us
15 you:	r name.
16	AUDIENCE MEMBER: I am Nick Morgan. I am
17 an a	attorney with the Xbox group at Microsoft.
18	On the Xbox 360 and Xbox consoles, the
19 only	y way to modify the game code to point it at a
20 dif:	ferent IP address would be to decrypt the code
21 and	write a new unencrypted version. So that would
22 be a	a copy. And then modification, itself, would
23 also	o be a copy. And the problem, of course, is that
24 mod	ified code will not run on the Xbox because it's
25 been	n modified. So you would have to jailbreak

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1	those.	
2	MR. RUWE: Talking about the console and	
3	not the derivative work. Earlier, I thought you	
4	said it requires the creation of a derivative work	
5	and I just thought that is a very simple change	
6	MR. FRANKEL: Now I understand.	
7	No, what I was saying and I think	
8	Mr. Morgan just clarified it to the extent for	
9	all these games where there is some content on	
10	servers for the game content and some on the client	
11	side, to recreate that as the proponents have said	
12	would require creating a virtual work because you	
13	would essentially somehow be trying to recreate what	
14	was on the server and add it to the game. That was	
15	different from the encryption.	
16	MR. Stoltz: And again, if the restoration	
17	would require the copying of copyrightable material	
18	that was hosted on the server, we would exclude that	
19	from the class.	
20	MR. RUWE: That's what I understood to be	
21	the case.	
22	MS. CHARLESWORTH: Take three. We're	
23	really ending it now. Thank you all again.	
24	Because we ran a little over here, we're	
25	going to convene the next people at 4:15. So we	

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1	will see many of the same faces back here soon.	
2	(The proceeding was concluded at	
3	4:00 p.m.)	
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1	PROCEEDINGS	271
2	WESTWOOD, CALIFORNIA; WEDNESDAY, MAY 20, 2015	
3	4:20 P.M.	
4	MS. CHARLESWORTH: We're all here for	
5	proposed Class 19 which is more about video games.	
6	In this case, we're talking about jailbreaking.	
7	And I guess I think all of you were around	
8	before.	
9	Well, welcome, Mr. Freeman, you're new.	
10	Welcome to our proceedings here, but I think you	
11	understand sort of the general format since you have	
12	been in these proceedings before.	
13	I'm going to have you all introduce	
14	yourself again for the record and then we will	
15	proceed with the short statement, the brief opening	
16	statement which sometimes take longer because we ask	
17	questions. And then we will proceed to have a	
18	conversation about the jailbreaking issue.	
19	So, Ms. Gellis, I guess we will start with	
20	you just introducing yourself.	
21	MR. FREEMAN: Catherine Gellis. I am a	
22	solo attorney and I am here on behalf my Digital Age	
23	Defense Project.	
24	MR. WIENS: Kyle Wiens. I am representing	
25	iFixit and the Digital Right to Repair Coalition.	

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1	MR. FREEMAN: My name is Jay Freeman. I
2	own a company called SaurikIT, LLC, a developer of
3	the alternative to the app store for jailbroken
4	iPhones. And I am also a member of the group that
5	did the PlayStation 3 hack, although I was not
6	involved in that specific work.
7	MR. WILLIAMS: I am Matt Williams from the
8	MPAA, ESA and RIAA.
9	MR. FRANKEL: I'm Simon Frankel, here for
10	the Entertainment Software Association.
11	MS. TONSAGER: Lindsey Tonsager for the
12	Entertainment Software Association.
13	MS. CHARLESWORTH: We thank you.
14	And Ms. Gellis, why don't you lead us off
15	with your opening statement.
16	MS. GELLIS: My comments for this class
17	synthesize the comments I made earlier today.
18	The first point is that even though it
19	involves video games, this is not a proposed
20	exemption seeking liberal access to a copyrighted
21	work for the sake of simply having access to a
22	copyrighted work as a piece of content.
23	In fact, like with the TV and the car, the
24	copyrighted work at issue here is simply the
25	software that is operating the device, not any

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1	additional downstream media the device might also	
2	ultimately consume and which might involve some DRM.	
3	This exemption seeks only to bypass the	
4	TPM controlling the functionality of the device so	
5	that, like in the case of a TPM in the car, it can	
6	give people dominion over the computing device they	
7	own to make it operated by any computing logic they	
8	have so it can be operated by any computing logic	
9	they have lawfully acquired.	
10	MS. CHARLESWORTH: Thank you, Ms. Gellis.	
11	Mr. Wiens.	
12	MR. WIENS: I thought I would share a	
13	little bit about iFixit and what we do.	
14	I'm here representing a number of	
15	exemptions but this is the only exemption that	
16	directly impacts our business.	
17	We are in the business of extending the	
18	lifespan of products that people have. We want to	
19	make game consoles last as long as possible so	
20	people can buy lots and lots of games from my	
21	friends on the left.	
22	We have an open source repair manual we	
23	have written for the Xbox 360 and the PlayStation 3	
24	and every other game console going back all the way	
25	back to the Atari 2600.	
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1	We got a request on the website from	
2	someone the other day that said I have an Atari	
3	2600, I am trying to repair it, how do I keep it in	
4	operation. And then a community member posted a	
5	response and she was able to fix it.	
6	It's not that people are buying new games	
7	for the Atari 2600, but they're certainly buying	
8	lots of games for all the new hardware.	
9	The Xbox 360 and the PlayStation 3 have a	
10	special place in history in the game console	
11	community because of hardware defects that were	
12	introduced as a result of Microsoft and Sony racing	
13	to beat each other to the market.	
14	The Xbox flaw is called the "red ring of	
15	death." It's a rather ominous phrase and it's a	
16	the symptom is what happpens is you turn your Xbox	
17	on, you want to play, and instead of going green on	
18	the front, it goes red. Instead of the four happpy	
19	circles, you get four rings that are red and your	
20	console just does not power on.	
21	I know there are literally millions of	
22	children who have been crushed and disapppointed	
23	because their Xbox wouldn't turn on because of the	
24	red ring of death.	
2.5	You would gove that quality for Microsoft	

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1	but this same thing happpened to Sony.	
2	Sony's problem is called the "yellow light	
3	of death," and when the Sony PlayStation 3 fails, it	
4	goes yellow.	
5	This is no small issue. This impacted	
6	well, Microsoft sold something on the range of	
7	84 million according to Wikipedia, 84 million	
8	Xbox 360's. They had to extend the warranty of the	
9	Xbox 360 by a significant period of time to cover	
10	this hardware flaw.	
11	Microsoft took a billion-dollar write-down	
12	as a result of extending the warranties. They were	
13	mailing all of these Xboxes to Mexico, repairing	
14	them there, sending them back.	
15	At some point, Microsoft had to say, look,	
16	we spent a billion dollars fixing these issues. At	
17	some point, they said you have the consoles, if you	
18	want to get it fixed, we don't have any option for	
19	you and they just stopped providing repair services	
20	for the Xbox 360.	
21	Since then, we have started to help people	
22	fix them. So I would like to introduce this exhibit	
23	which is the repair manual that we wrote for the	
24	Xbox.	
25	MS. CHARLESWORTH: For the record, we're	

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1	now viewing hearing Exhibit 6.
2	MR. WIENS: So this is the process. The
3	problem that afflicted the Xbox 360 and the
4	PlayStation 3 is that the grapphics processor just
5	got too hot. It got too hot and the solder
6	connection between the chip and the board cracked
7	and failed.
8	And so there were a number of different
9	fixes. Some of them were rather comical.
10	People would say if you take your Xbox and
11	wrapp it in a towel, you fire up the hard drive halo,
12	the Xbox heats up a lot and it will melt and reflow
13	the solder. And this actually is a fix that works.
14	You wrapp your Xbox in a towel, it gets really hot,
15	you play a game and it fixes it for a couple of days
16	and then it stops working again.
17	The more substantial fix is this process
18	with this is called the X-clamp fix. And this is
19	basically opening the Xbox up and you use physical
20	means to force the grapphics processor back down on
21	the DU.
22	This seems esoteric. This particular
23	repair manual has over 50 steps it's very
24	involved to get the Xbox completely appart.
25	It's similar to do this sort of process

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1	for the PlayStation 3.	_ , ,
2	You would say this is crazy, nobody is	
3	going to do this.	
4	Our repair manual has been used over a	
5	million times. So out of those 84 million consoles,	
6	this repair manual has been used over a million	
7	times.	
8	There is a YouTube video by Eric the car	
9	guy. Frequently, I go and I learn how to fix super	
10	stuff from him. He is a very, very competent car	
11	mechanic.	
12	He posted a video on how to fix the Xbox	
13	ring of death. It has 4.9 million views.	
14	MS. CHARLESWORTH: So to be clear, that is	
15	a physical change that doesn't involve	
16	circumvention; is that correct?	
17	MR. WIENS: Correct.	
18	MS. CHARLESWORTH: And it is an effective	
19	fix; is that correct?	
20	MR. WIENS: The problem is it's effective	
21	some of the time.	
22	So generally what happpens, we find that	
23	when people do this particular repair on the Xbox,	
24	it's effective 70 to 80 percent of the time and the	
25	console works for three to six months afterwards and	

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1	then it dies.	
2	In the case of the PlayStation 3	
3	yellow-light-of-death fix, it's effective 30 to	
4	50 percent of the time. And again, it lasts for	
5	generally six months and then the board dies.	
6	MS. CHARLESWORTH: How did you acquire	
7	those statistics?	
8	MR. WIENS: This is just it's anecdotal	
9	based on the experiences we are having. We sell the	
10	kits to people and then they respond to us and tell	
11	us how long it lasts.	
12	Sometimes the fix is permanent and	
13	hallelujah, that is great.	
14	We had someone internal to our company	
15	that was repairing her Xbox. She fixed it, it	
16	worked for a few months and stopped working again.	
17	And at that point, the board is dead. So at that	
18	point, the entire Xbox is not dead, just the board.	
19	So I would like to step back from the	
20	repair for a moment and talk about the environmental	
21	impact of this.	
22	We have manufactured just looking at	
23	the Xbox 360 and the PlayStation 3, they both sold	
24	around 84 million units. So you are talking about	
25	170 million units that were sold.	

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1	They are still continuing to manufacture
2	but at a much slower rate.
3	So you have these products out there. It
4	would sure be great to maximize the amount of
5	utility you get out of these devices.
6	It takes over a thousand pounds of raw
7	material to mine from the ground to make a single
8	Xbox 360 or PlayStation 3. There is a significant
9	environmental impact to manufacturing these things.
10	Out of all of the elements on the periodic
11	table, there is about 70 of them that we use in
12	modern manufacturing. Over 50 of those are used in
13	the Xbox and the PlayStation 3. So you have 50
14	different elements.
15	And we work very closely with electronics
16	recyclers. We were able to reclaim parts from
17	Electronics Recyclers International, a recycling
18	facility in Fresno.
19	And the end-of-life processing where they
20	take an Xbox or PlayStation and they grind it up and
21	melt it down to get the raw materials back out of
22	it, they're able to recover 12 out those 50
23	elements. That's it. The other 38 elements are
24	lost in the slag they melted down, lost forever.
25	There is no way to take a truck full of

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1	old Xboxes and melt them down and make new Xboxes
2	from them.
3	You end up with the rare earth elements.
4	These are the most geopolitically sensitive
5	elements, rare earth elements. 90 plus percent of
6	the world's rare earth elements come from Asia.
7	They mostly come from China.
8	China significantly restricts exports of
9	rare earths. So we're importing rare earths in the
10	form of products. They're going to the smelter and
11	being lost. And in order to manufacture new
12	products, we have to go back to China.
13	This is an area that Congress has been
14	very concerned about. They call them "critical
15	metals," these precious metal supplies that are in
16	our products. We're reliant on China for supplies
17	of the raw materials. There is only one rare earth
18	mine in North America.
19	So the hope is that if we are going to
20	manufacture these products, we can make them last as
21	long as possible.
22	So what is the 1201 issue with repairing
23	Xboxes and PlayStations. The challenge is at this
24	time when you cannot do this fix, you cannot do the
25	red-ring-of-death, X-clamp-reflow fix, you have to

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1	replace the board.	
2	In order to replace the board, the board	
3	is linked to the optical drive.	
4	The anti-piracy technology they have built	
5	in links the software on the optical drive. The	
6	optical drive is the DVD drive or the Blu-ray drive	
7	with the main board.	
8	And so it's not like one to one. There is	
9	actually a number of different permutations of	
10	optical drives and main boards.	
11	And so when people come to us and say I	
12	want a new board to repair my Xbox, we have to say	
13	well, what optical drive do you have. And then we	
14	have to have a stock of matching opticals to match	
15	that board.	
16	And it's incredibly challenging to know to	
17	the point where there is a crowd source database on	
18	360Drives.com that has a list of over 7000	
19	permutations where people are reporting I have this	
20	Xbox drive, this is the board that works with this	
21	and vice versa.	
22	So it's not that the drive is specifically	
23	linked to one Xbox or the drive is specifically	
24	linked to one PlayStation board. It's that there	
25	are like 20 permutations of the drive and 20	

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1	permutations of the board and you have to get the
2	right one.
3	MR. DAMLE: And if you get the right one,
4	do you need to circumvent?
5	MR. WIENS: No, if you get the right one,
6	you don't, but getting the right one is incredibly
7	challenging.
8	So what we end up doing in order to effect
9	the repair is we sell the board and the drive
10	together because it's just not economically viable
11	to get them independently.
12	Repairs come down to economics. If a
13	console is worth "X," can I repair it for a fair
14	amount less than that. Otherwise, I'm just going to
15	throw it away.
16	So right now, for the PlayStation 4, we
17	sell a repair kit for it that is a replacement board
18	and a replacement optical drive for \$200. Now, that
19	is for a console that is worth \$400. So that is
20	borderline maybe not worthwhile.
21	If we could sell maybe someone has a
22	problem with their optical drive. If we could just
23	sell them the optical drive, we might be able to do
24	it for \$100, which would be very compelling.
25	So what we are seeing is people throwing

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these devices away and in grand numbers that could	
have been reused, re-purposed, purely because the	
repair has been made economically infeasible.	
We try to get these parts directly from	
the manufacturers who keep these products and	
service, but the manufacturers are not willing to	
sell us the parts. So the only way that we're able	
to get them from those electronic recyclers and	
literally rescue these devices from the maws of the	
shredder is to take them out and sell the drives and	
the board as a kit.	
MR. DAMLE: But it sounds like the impact	
is economic. It's not that you can do this without	
circumvention and the adverse effect is that it	
costs more money.	
MR. WIENS: The adverse effect is	
MR. DAMLE: It costs \$200 rather than	
\$100.	
MR. WIENS: Well, from our perspective, it	
means having to stock hundred and hundreds of	
different models of boards. So it's	
MS. CHARLESWORTH: But that is reflected	
in your price, right? In other words, your	
overhead, it would be reflected in the price that	
you pass onto the person seeking repair.	
	have been reused, re-purposed, purely because the repair has been made economically infeasible.  We try to get these parts directly from the manufacturers who keep these products and service, but the manufacturers are not willing to sell us the parts. So the only way that we're able to get them from those electronic recyclers and literally rescue these devices from the maws of the shredder is to take them out and sell the drives and the board as a kit.  MR. DAMLE: But it sounds like the impact is economic. It's not that you can do this without circumvention and the adverse effect is that it costs more money.  MR. WIENS: The adverse effect is  MR. DAMLE: It costs \$200 rather than \$100.  MR. WIENS: Well, from our perspective, it means having to stock hundred and hundreds of different models of boards. So it's  MS. CHARLESWORTH: But that is reflected in your price, right? In other words, your overhead, it would be reflected in the price that

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1	MR. WIENS: Correct. And that overhead	
2	has been so high that we just do not sell the	
3	individual components. That is why we sell them	
4	together.	
5	So yes, that cost is reflected in the \$200	
6	price.	
7	So e-waste is a major polluter, not just	
8	in the United States but around the world. I have	
9	spent time in electronic scrapp yards.	
10	Have you ever seen photos in the New York	
11	Times of the kids burning electronics? I know those	
12	kids. They're my friends. They do this work	
13	because they don't have other options. They do it	
14	because they don't know how to repair things or	
15	because there are obstacles to repair.	
16	E-waste represents only about 2 percent of	
17	the solid waste in our landfills. That is	
18	significant. It's millions of pounds of product,	
19	but it's 70 percent of the toxic waste that we have	
20	to manage and deal with in the U.S.	
21	Electronics, the Xbox the moment an	
22	Xbox is not functional, it is classified by the EPA	
23	as toxic waste that has to be handled differently	
24	than any other kind of product.	
25	So we're in the business of making things	
1		

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1	last as long as possible. We are interested in an
2	exemption that would allow us to repair these
3	products. We're not necessarily interested in an
4	exemption that would allow to install additional
5	software on these things. We just need to be able
6	to reflash the optical drives.
7	So the difference between the board on the
8	optical drive and the unit, if we could reflash
9	these optical drives, that's all we need to do so we
10	can be able to take the software from one optical
11	drive and put it on another unit.
12	MS. CHARLESWORTH: I was going to say do
13	Sony and Microsoft have repair programs that are
14	available to people who would be using your service.
15	MR. WIENS: Not for these consoles
16	anymore.
17	So they do for the PlayStation 4 and the
18	Xbox One, but they don't have repair programs in
19	operation for an Xbox 360 that is bought five years
20	ago. They tell you to buy a new one.
21	MS. CHARLESWORTH: So Xbox 360, there is
22	no authorized
23	MR. WIENS: There is still I believe
24	they are still manufacturing new Xbox 360's. So if
25	you buy a new Xbox 360 is that correct? Are the

		286
1	Xbox 360's still being sold?	
2	AUDIENCE MEMBER: I believe so.	
3	MR. WIENS: So I believe if you bought a	
4	new 360, there is a twelve-month warranty on that,	
5	but it you bought a 360 two or three years ago,	
6	there are no aftermarket repair options.	
7	MS. CHARLESWORTH: So one other thing	
8	just a little advance warning we really want to	
9	know what people can get repaired through the	
10	manufacturers and what is no longer able to be	
11	repaired through the repair programs.	
12	MR. DAMLE: Can I clarify something that	
13	you just said.	
14	So the petition which was not filed by	
15	you it was filed by someone else and your	
16	submission, as well, it mentioned repair. Your	
17	submission mentions repair but focuses on a lot of	
18	other uses, installing and one of them is	
19	re-purposing and installing different software.	
20	Are you abandoning those aspects of your	
21	request? You're not focused on those aspects of	
22	your request?	
23	MR. WIENS: Sure.	
24	I would say there is tremendous use to	
25	being able to re-purpose old electronics for new	
1		

		287
1	uses.	
2	So if you look at these 170 million Xboxes	
3	and PlayStations let's say they never played a	
4	video game ever again but you could use them as	
5	general-purpose computing, that would be a wonderful	
6	thing.	
7	Or if we could just continue to have them	
8	function and give them to kids in Kenya, give them	
9	access to the games we have been playing for the	
10	last five or ten years, that would also be a	
11	wonderful thing.	
12	So I am interested in extending the	
13	lifespan of these products.	
14	You can intuit what the logical if	
15	people can install any software they wanted on	
16	these, that would increase the scope of use of them.	
17	If they could only repair them and play	
18	encrypted video games, as long as they continue to	
19	function, there is still a utility for them, not as	
20	much utility as if they were jailbroken, but there	
21	is still utility.	
22	MS. CHARLESWORTH: I guess the question,	
23	just to ask it in different phrasing, when you	
24	started speaking, I leaned over and said are they	
25	really focused on repair.	

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1	I mean the pappers that were submitted were
2	not you may have mentioned repair in passing but
3	that was not the main focus of the proposal.
4	And it sounds like from what you just
5	said, you said you are not that interested in
6	being a moment ago, you said you are not
7	especially interested in or particularly interested
8	in being able to install new software.
9	MR. WIENS: My primary interest is in
10	repair.
11	MR. FREEMAN: That is Kyle but I have a
12	different focus.
13	MS. CHARLESWORTH: We just to make sure we
14	understood where each of you sits.
15	MR. WIENS: My business and economic
16	interest is in repair. That's it, yes.
17	Thank you very much.
18	MS. CHARLESWORTH: Mr. Freeman.
19	MR. FREEMAN: So I was one of the people
20	who was one of the primary proponents of this class
21	three years ago. I did not actually get involved in
22	it during this time around.
23	However, I have a slightly different
24	perspective than a lot of other people involved in
25	this because I work with a team of people who do

289 1 this kind of hacking. I do a lot of work on explaining exploits to people and I also do 2 transformative code modifications. So I will get 3 into a little bit of what this is as different types 4 of uses for jailbroken video game consoles. 5 So what you oftentimes hear about are 6 7 ideas of installing entirely different operating Maybe it's not even installing a game 8 systems. console. 9 Maybe it's installing Linux. You also will hear about, of course, 10 11 piracy. 12 You will hear about being able to repair 13 things, but I think you often don't end up hearing 14 about a lot of the transformative uses of the 15 software on the device. That's what my specialty is. 16 17 So I don't know how many of you played 18 games a long time ago, but back when I was a kid, 19 there was something called a "game genie." 20 genie was a cartridge you plugged into your Nintendo 21 that you then plugged another cartridge into. 22 that cartridge allowed you to modify the behavior of 23 the cartridge you own. You can't copy anything but 24 you can change the behavior of the game or make it 25 more fun for your use case.

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1	This is similar to the type of technology
2	that I provide on the iPhone. It's been the basis
3	for the exemption request for the last two cycles
4	for the iPhone that we successfully got an
5	exemption.
6	Now, I have people that I work with,
7	including some of my students at University of
8	California, Santa Barbara, who do this sort of work
9	with games. So Sasha Boliche has a game called
10	Spelunke and she has made an entire multiplayer
11	experience for this game.
12	This is normally a single-player game.
13	This is something that the original developer has
14	not worked on for a long time and is actually kind
15	of excited about the amount of people that have been
16	able to do this. He does not have any actual code
17	for it. He does not have access for people to do
18	these sorts of things to it.
19	MS. CHARLESWORTH: Did the original
20	developer authorize the creation of that derivative
21	work? Is that what you are saying?
22	MR. FREEMAN: So in this case, he is not
23	able to do make much statement about this. He has
24	made public comments that he, in fact, is excited
25	about this work. He has not been able to assign any

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1	of the copyright to it, but the thing is, this does
2	not require any copyright assignment. This is
3	something where people have to have a legal access
4	to the original work.
5	MS. CHARLESWORTH: So my question is if I
6	take an existing video game and I write new code and
7	turn that from a single-player game to a multiplayer
8	game, some might say this is a derivative work and
9	some might say that may not be a fair use.
10	MR. FREEMAN: You would not be
11	redistributing that work.
12	MS. CHARLESWORTH: I mean I don't want to
13	get into a whole legal discussion but that does not
14	matter.
15	MR. FREEMAN: I was under the impression
16	that transformative work, that was fine.
17	MS. CHARLESWORTH: It could be a fair use.
18	MR. FREEMAN: In the last few cycles, we
19	have had that argument come back from the Copyright
20	Office.
21	MS. CHARLESWORTH: I mean I not trying to
22	be overly I am just saying we heard this a
23	little bit in the last panel, but taking an existing
24	work and then creating a new work based on that work
25	may or may not be a fair use, but what you are

	2
1	talking about sounds to me like someone took a
2	computer or game and developed a whole new part to
3	it and that someone might argue credibly that that
4	would require permission from the original copyright
5	user.
6	MR. FREEMAN: I think I see where you are
7	coming from and I can actually address that point.
8	So the type of work that I do does not
9	involve constructing a new game console or a new
10	game cartridge, does not involve ever at any point
11	constructing a new copy of the game. You do not
12	actually end up with a derivative work that you
13	could isolate and say here, this is a new work.
14	Instead, what you are doing is you are
15	making modifications to the running platform as the
16	game is operating in order to provide new
17	functionality. It's more similar to if I gave you
18	an audio track of more cowbell, then you can play
19	along with a piece of music that you already own and
20	then you can only do that in the moment by very
21	carefully putting the more cowbell track and your
22	original track on some kind of synchronized tappe
23	player and playing it.
24	At no point is a new cassette tappe or CD
25	or any kind of new musical work being put together.

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1	MS. CHARLESWORTH: So you are not altering
2	the original computer code for the original game?
3	MR. FREEMAN: Correct. The original code
4	is stored on the CD of the game and not being copied
5	onto a new storage of any form.
6	This is actually very similar to the
7	comments that were made for jailbreaking the iPhone
8	with relation to only one 60,000th of anything gets
9	modified, only in memory.
10	This is very similar to the statements
11	that were made with the iPhone jailbreaking where
12	only one 60,000th of the code was modified, only
13	transiently, only in memory, never actually
14	constructing a new copy.
15	It is a de minimus modification to the
16	original memory, not even the original code.
17	And does this address your question?
18	MS. CHARLESWORTH: It was definitely
19	helpful.
20	MR. FREEMAN: Thank you so much.
21	And so in this so the things I provided
22	have allowed for people to make the games they might
23	play for fun for their use cases.
24	An example of this might be if you are
25	playing a game like Scrabble and you're playing it
1	

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1	with your friends, you might have house rules on	
2	what words are allowed to be valid and what are not.	
3	However, many in fact, essentially all	
4	versions of Scrabble you have on a computer are	
5	going to have a dictionary associated with it for	
6	checking the words. Being able to modify whether	
7	the game accepts your word or not not modifying	
8	the dictionary but modifying whether the game	
9	accepts a word or not, which is different from	
10	modifying the dictionary, is something that somebody	
11	has done with a product called "Messed Up Words," a	
12	modification for the appplication "Words With	
13	Friends."	
14	Additionally, there is a very large	
15	ecosystem of people who make modifications to the	
16	game play of a system called Minecraft, in other	
17	words, to provide for more opportunities for complex	
18	construction. People have built entire computers	
19	inside of the game by making minor modifications of	
20	the computer in the game, building a simulation of a	
21	computer out of torches and switches and buttons and	
22	trees and things inside of this game by being able	
23	to add slightly better functionality to one of the	
24	end game switches.	
25	People who do these kinds of modifications	

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1	are actually, they commercialize the	
2	modifications.	
3	So in some cases, this is something	
4	that in the world of video games, in some cases,	
5	this is something that is completely authorized by	
6	the game manufacturers.	
7	So in the case of Skyrim, there was in	
8	fact, temporarily only unfortunately, only	
9	temporarily but not due to any legal issues it	
10	was due to various market issues in their particular	
11	distribution platform a way to buy and sell on	
12	the online gaming system mods for Skyrim.	
13	However, in my system so I provide a	
14	platform for people making these modifications to	
15	appplications on the iPhone, including games on the	
16	iPhone.	
17	In the last six years, we have \$40 million	
18	of revenue through my store alone and I only	
19	provide the largest appplication/modification	
20	people actually don't go through me because they're	
21	cappable of writing their own storage system.	
22	Now, you are going to ask why is this	
23	relevant, why I am bringing up the revenue on the	
24	iPhone.	
25	So when George Hotz hacked the	

MR. FREEMAN:

They actually never -- so

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1	the first battle you always have is a jurisdictional
2	battle over whether you will so what Sony Online
3	of America said so Geohot wanted it to be
4	"Multinational Jappanese corporation sues little
5	kid," and Sony wanted it to be "Sony Online of
6	America is getting screwed by that punk kid from
7	Jersey."
8	And that jurisdictional battle actually
9	ended up getting settled out of court and the entire
10	thing actually ended up never happpening.
11	MS. CHARLESWORTH: Right. But I mean as
12	part of that settlement, my understanding and I
13	am not sure how public the settlement is but just
14	a general understanding is that he was precluded
15	from engaging in that activity.
16	Do you agree?
17	MR. FREEMAN: Correct. This is long after
18	the events which I am speaking of, before George
19	Hotz went public with that.
20	MS. CHARLESWORTH: I want to go back to
21	something you said, which is you were comparing the
22	smart phone situation to the video game situation.
23	And then with the smart phone, when you
24	jailbreak a smart phone, you then acquire
25	non-authorized apps, unauthorized apps, and put them

		298
1	on your smart phone, but some of the examples you	
2	are giving are actually related to the content, the	
3	video content that you are talking about.	
4	In other words, you are building out	
5	Minecraft or somehow so in the jailbreaking in	
6	granting an exemption for the smart phones, we made	
7	a finding that really the content that the	
8	authorized content wasn't being affected I mean	
9	that was defined by the office and that the	
10	jailbreaking really was just to enable	
11	interoperability with additional appplications, but	
12	you're telling what you are saying is something a	
13	little different, which is it would be enabling	
14	modifications of some sort to the content that	
15	people are using on the console.	
16	I want to explore that with you a little	
17	bit because that is a very that is a distinction.	
18	MR. FREEMAN: I would love to talk about	
19	that.	
20	So on the iPhone, a very simple example,	
21	on the bottom of the screen when you look at the	
22	icons, you see four icons, a four-icon dock. So I	
23	wanted an extension for something called a five-icon	
24	dock. Instead of having four, you now have five.	
25	Now, this is a very simple, almost trivial	

modification that I absolutely loved because I have this fifth thing that I always wanted to have access to.

And now this is a modification that would be very easy for appple to make. It's a modification that is nearly impossible for anyone else to make without using the tools I provide, essentially a game genie for an iPhone. This same technology can be utilized to make modifications to other parts of appple's operating system. It can be used to make modifications to third-party appplications running on the device.

Essentially, it allows you to modify any software that is operating on that, but it does it by as the appplication is loaded into the memory — in fact, after it's fully loaded, after the original pristine appplication of the developer is loaded into RAM, it then does these things called hooks, where as the original functionality is being executed from that code, you hijack the execution over to a new block of logic codes you add, and that code that you added then can provide new functionality.

So in some cases, this is adding new functionality to a game. In some cases, this is adding new functionality to an appplication.

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1	Maybe you are using the Twitter
2	appplication and you would like to be able to tie the
3	Twitter feed you are seeing with information from
4	Facebook. And so you could now add that view of
5	Facebook information to the Twitter appplication,
6	something that Twitter might not want to do,
7	Facebook might not want to do, but you are the user
8	doing it. You are not distributing a new Twitter
9	appplication and you are not distributing a new
10	Facebook appplication.
11	So we provide the user the ability to
12	treat features like apps. So instead of having an
13	alternative app store, I have a feature store.
14	Imagine if you could look forward to the
15	next five years of features that appple is going to
16	add to IOS and you could say I would like to install
17	that one and that one and I would like to get that
18	one and you could even charge for them. And this is
19	something that, again, people build game mods
20	people actually build game mods for smart phones
21	using technology I provide.
22	However, people are unable to do this on
23	video game consoles.
24	Now, you could say and I always like
25	anticipating good arguments. I don't like

		301
1	pretending there isn't a good argument.	
2	The alternative then is get a smart phone	
3	and you have an exemption for that. So why don't	
4	you get a smart phone and modify the game there?	
5	Well, the thing is we actually won't be able to do	
6	this. In order to make these modifications to a	
7	particular game, you have to have a console on which	
8	that game is operating on.	
9	So that is why we ask for these	
10	modifications. The ability to jailbreak phones and	
11	the ability to jailbreak video game consoles is	
12	because we want to be able to make these	
13	functionality changes to the software that we're	
14	using.	
15	So it's not okay when people say we need	
16	to go and get a different console or go and get an	
17	iPhone or go and get a PC because if we would like	
18	to be able to make the modifications to Skyrim, we	
19	need the original system that the Skyrim game is	
20	running on.	
21	MS. CHARLESWORTH: I think we are going to	
22	move down the table.	
23	Mr. Williams.	
24	MR. WILLIAMS: Thank you.	
25	If you don't mind, I am going to let Simon	

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1	and Lindsey go first. And then if there is anything
2	left over, I will try to wrapp up.
3	MS. CHARLESWORTH: That's fine.
4	Mr. Frankel.
5	MR. FRANKEL: Thank you and I will try to
6	be brief.
7	We are just digesting some of the new
8	information articulated today and we will have a few
9	things to say in response, but in general, we are
10	here on a proposal that is essentially one the
11	Copyright Office considered and rejected in the last
12	cycle and I don't think we have heard reasons today
13	for a different outcome.
14	It is an exemption that would effectively
15	gut video game console piracy protections to enable
16	a small sliver of uses that can already be
17	accomplished on other devices that Mr. Freeman
18	touched on.
19	There were findings by the register on
20	the recommendation last time and by the librarian
21	that copyright interests are at stake here, that
22	video game access controls provide important
23	incentives to create video games and other content
24	for consoles and that circumvention of those access
25	controls could permit interoperability of video game

	303
1	consoles regardless of the effect of diminishing the
2	value of those works.
3	I don't think any of that has changed.
4	And I will just make a couple of points to
5	provide some responses to what we have heard just
6	now.
7	First, unlike some other requests
8	involving so-called jailbreaking we have had our
9	little comments in the written submissions that you
10	have seen that jailbreaking sort of has this idea of
11	liberation, which is not apppropriate here.
12	Other requests involving hacking or
13	jailbreaking of different devices, unlike those
14	the proposed exemption here creates a real and
15	substantial threat of copyright harm in the form of
16	additional piracy and opens up video consoles to
17	pirated games. That is the very infringement that
18	the access controls are designed to correct.
19	And the record here has plenty of evidence
20	that once a console is hacked for whatever reason,
21	as we talked about a little in the last session, the
22	damage is done for copyright purposes and barriers
23	to piracy are gone.
24	On the flip side, there isn't any showing
25	of the substantial need that cries out for an

		304
1	exemption.	
2	So what are the alleged adverse impacts	
3	here. It's really an effort to accommodate a	
4	personal preference and convenience, or reasons that	
5	I am not sure fit into the framework of the statute.	
6	As far as repair, I think I understood the	
7	very specific fix that Mr. Wiens was discussing.	
8	The fact is, I think, Ms. Charlesworth, you did	
9	raise the point that console makers do provide	
10	repairs. They provide extended warranties with	
11	purchase with free repair and there is repair	
12	available for a nominal fee after that.	
13	I believe there was an extension of the	
14	as Mr. Wiens said, of the Microsoft warranty after	
15	this issue for three years.	
16	MS. CHARLESWORTH: I'm sorry. Could	
17	you extension of the warranty?	
18	MR. FRANKEL: From one to three years.	
19	MS. CHARLESWORTH: On what product?	
20	MR. FRANKEL: On the Xboxes that were	
21	suffering from the problem that Mr. Wiens mentioned,	
22	the so-called "red ring of death."	
23	MS. CHARLESWORTH: Do you have any	
24	information on the Sony issue?	
25	MR. FRANKEL: I don't except that they	

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1	also have an extended warranty, but I don't know if
2	it was extended. We can find out, but this
3	MS. CHARLESWORTH: Well, I was going to
4	say after the warranty expires, does Microsoft or
5	Sony take the game back and try to fix it?
6	MR. FRANKEL: They will for a small fee.
7	MS. CHARLESWORTH: So is there just a
8	disagreement in terms of there seems to be a
9	disagreement about that here in terms of
10	Mr. Wiens
11	MR. FRANKEL: I heard Mr. Wiens say
12	MS. CHARLESWORTH: saying they don't.
13	And that is something we may want to follow up on
14	with your clients.
15	MR. FRANKEL: But I want to focus, just
16	because I am learning it today, the specific repair
17	that Mr. Wiens mentioned because I am just not clear
18	how it appplies to this proceeding.
19	We just having hard about this today, the
20	specific issue of the code on the optical drive, it
21	is not clear that there are TPM's that have to be
22	circumvented to replace that code on the new optical
23	drive as I heard Mr. Wiens say.
24	And there is the corresponding question of
25	if you have done that, what effect does that have if

			306
	1	you actually circumvented TPM. It's not clear to	
	2	us.	
	3	MS. CHARLESWORTH: Mr. Wiens, just in	
	4	response specifically in response to that, what	
	5	is it that would require you to circumvent a TPM to	
	6	make that repair of the Xbox that you were	
	7	discussing or the Sony PS3?	
	8	MR. WIENS: So the technical details are	
	9	different between the Xbox and the PS3. You have to	
	10	synchronize the optical drive with the main board.	
	11	So you can either jailbreak the main board and make	
	12	it work with the new optical drive or you have to	
	13	bypass the TPM on the firmware on the optical drive	
	14	to reflash it.	
	15	So you're taking firmware from one optical	
	16	drive that maybe was the optical drive that came	
	17	with that Xbox, taking it and putting it on the	
	18	replacement Xbox.	
	19	MS. CHARLESWORTH: And the alternative to	
	20	that is doing the physical repair that you described	
	21	earlier; is that correct?	
	22	MR. WIENS: You do the physical repair.	
	23	And if that doesn't work or when that fails, you	
	24	need to put a new board in the Xbox.	
	25	If you are attempting to repair the	
1			

		307
1	component, if you can't repair the specific	
2	component, you have to replace the component. And	
3	you can't replace that component without	
4	synchronizing it with the existing optical drive.	
5	MR. FRANKEL: I am sure it's my lack of	
6	technical cappability, but what I am still not	
7	certain about and what I think is relevant here is	
8	did you have to circumvent TPM's on the firmware on	
9	the optical drive to replace it, because otherwise,	
10	what is the access control that needs to be	
11	circumvented to do this repair? And that is why it	
12	is relevant here.	
13	MS. CHARLESWORTH: Mr. Wiens, do you want	
14	to respond to the question directly rather than	
15	having me	
16	MR. WIENS: I can say so from our	
17	perspective, we're selling these products. We have	
18	had the technical cappability and we would love to	
19	have been providing that service of flashing these	
20	optical drives. We have not done it because of fear	
21	of 1201.	
22	MR. DAMLE: What is the TPM that is on the	
23	optical drive? I think that is the question.	
24	MR. WIENS: Do you have more specific	
25	information, Jay?	

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1	MR. FREEMAN: I have a lot of information	Ū
2	on the operation in general, but I do not know about	
3	the optical drive.	
4	MR. WIENS: So the synchronization between	
5	the optical drive and the main board is at the core	
6	of the copy protections because the idea is that	
7	this was back in the day but they didn't want you	
8	to be able to burn a replacement game and play that	
9	on the optical drive.	
10	So part of the copy protection mechanism	
11	is built into the optical drive. And they didn't	
12	want people to be able to hack the optical drive so	
13	that they could play copied games on it. So that	
14	was the intent of the copy protection that was built	
15	into both the optical drive and the main board.	
16	MS. CHARLESWORTH: You say "copy	
17	protection," but do you mean access control?	
18	MR. WIENS: It was access control in the	
19	form of copy protection on that device.	
20	So it's a synchronization. And you have	
21	the I don't know if the firmware is encrypted or	
22	not on the optical drive, but you have got the	
23	software on that that you have to I mean we went	
24	very far down this road.	
25	They're saying we wanted to be able to put	

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1	the new firmware on the drive. And the conclusion
2	at the end of it that our legal advice gave us is
3	that this is a 1201 TPM issue.
4	And I am sure that I mean this is a
5	situation where the engineers who designed the
6	system would be more cappable, but I think I would
7	refer you to the comments in your filing of the
8	Nintendo Wii engineer that talked about the
9	encryption synchronization device.
10	MR. FRANKEL: This is not a deposition
11	and I am not but I mean there is no doubt, as
12	Mr. Wiens said, there are some kind of TPM's on
13	the as he referred to, synchronization TPM's
14	here.
15	I think it still leaves open this question
16	of is the repair that iFixit wants to do, does it
17	require any circumvention. And I don't know.
18	MR. WIENS: One potential repair is you
19	jailbreak the console and then you can change the
20	setting on the console to be able to recognize the
21	new optical drive.
22	So outside of saying is there a TPM on the
23	optical drive, there is clearly a TPM on the main
24	board of the machine.
25	Let's say you need to do a repair because
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1	your drive failed and you want to buy a new optical
2	drive. The only way to do that is you have to
3	jailbreak and modify the software on the device.
4	And by the way, a repair company could
5	jailbreak the device, program it to work with the
6	new optical drive and then re-jailbreak it so that
7	someone could use it.
8	The other thing I would say is that we
9	have a lot of customers who have called various
10	video game companies saying I have the red ring of
11	death problem, please, can I repair it, and they say
12	no, just buy a new one.
13	MS. CHARLESWORTH: I think as we said, we
14	may explore this issue a little more in follow-up
15	questioning, but why don't you continue.
16	MR. FRANKEL: As the record is, it's not
17	clear to me the specific repair Mr. Wiens is talking
18	about is precluded in any way, but in any event, I
19	think more generally as to repairs, there are
20	alternatives available in the market and we are
21	happpy to provide more information on that, but there
22	is information in the record now as to the
23	availability of repairs both during the warranty
24	period and for a nominal fee afterwards.
25	There is a couple of others I think

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1	Linux and the research which are covered in our
2	pappers that we haven't heard about today.
3	And then the last, I guess, was from
4	Mr. Freeman raising the issue of essentially a
5	version of home brew games or modifications to
6	existing games.
7	At least one of the examples he gave was
8	the mods to Minecraft. It is my understanding that
9	those are allowed by the terms of the use of
10	Minecraft. And so that would not raise any issues
11	from what he has described, but more generally, just
12	the idea that you want to be able to play certain
13	games on consoles even though you could have played
14	them on other devices, I think that is the kind of
15	inconvenience that in the past, the office has found
16	is not a sufficient justification for the exemption,
17	but in addition, there are programs that all of the
18	console makers have to allow people to publish their
19	games through those consoles. And I don't think
20	there is any indication here that those have failed.
21	So for all of those reasons, I don't think
22	there is any sufficient justification for the
23	category.
24	MS. CHARLESWORTH: Just one more minor
25	clarification. My understanding is the Minecraft

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1	mods are specific to the PC version of Minecraft and
2	it's not a console issue. So I don't think it's
3	relevant to this class.
4	MR. FREEMAN: May I respond to that?
5	MS. CHARLESWORTH: Yes.
6	MR. FREEMAN: That actually is that is
7	actually why it's relevant to this class, is that
8	it's something that the Minecraft developers
9	allowed they actually would love to encourage.
10	It's something that people are doing on PC's.
11	Even the Minecraft developers can't come
12	up with a magical way for people to make mods for a
13	console version of Minecraft because the mods for a
14	console version of Minecraft require a jailbroken
15	PlayStation.
16	MS. CHARLESWORTH: But you can do the mods
17	on the PC.
18	MR. FREEMAN: For the example of
19	Minecraft, that is correct, but the thing is, there
20	are many developers of games we have seen, for
21	example, Skyrim finally succeeding in getting a
2,2	scheme ordered on PC's to be able to allow mods to
23	be distributed, but again, it's a PC issue.
24	MS. CHARLESWORTH: So basically, what you
25	are saying is sometimes you can do it on a PC but
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1	you can't do it on a console.	
2	MR. FREEMAN: That is correct.	
3	MS. CHARLESWORTH: Mr. Williams, do you	
4	have anything you wanted to add?	
5	MR. WILLIAMS: Just very briefly, I just	
6	want to reiterate that the coalition of creative	
7	industries that I represent supports the comments	
8	that ESA filed and what they said today.	
9	And our understanding coming into this was	
10	that it was about more than just repairs, I think	
11	based on the comments. And I didn't see much in	
12	those comments nothing, really, that met the	
13	standard of adverse effect or substantial adverse	
14	effect.	
15	Some of the things in there are things	
16	that have been rejected repeatedly, like breaking	
17	regional coding.	
18	One explanation of the need was that in	
19	some countries, there are high import tariffs on	
20	computers, but if you hack a console and turn it	
21	into a computer, you pay lower taxes if you import	
22	that.	
23	I don't think that is the type of thing	
24	we're here to deal with and it doesn't justify an	
25	exemption.	

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1	And then at the risk of sounding like	
2	a broken record, since I have been on more panels,	
3	this is the one that is really about hacking	
4	consoles at its base.	
5	We are concerned that piracy not only of	
6	video games but of music and movies would result	
7	from this type of hack, that once the consoles are	
8	opened, all kinds of nefarious apps can be installed	
9	that would allow pirated movies and achieve other	
10	goals like I discussed earlier today.	
11	MS. CHARLESWORTH: Well, last call.	
12	MR. CHENEY: I have a question.	
13	Could you quantify a little bit how many	
14	repairs that might be out there, because it seems we	
15	have talked about just two examples. We were	
16	focused on one.	
17	How many repairs would be needed that you	
18	would need to reflash or do something to get around	
19	a TPM of some kind? How many kind of repairs are we	
20	talking about on these consoles and then compare it	
21	to how many kinds of repairs you can do without	
22	doing that.	
23	MR. WIENS: So the initial versions of the	
24	first two revisions of both the PlayStation 3 and	
25	the Xbox 360, these were the ones that had the	

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1	problems. The newer Xboxes don't have the problem.
2	We don't have a breakdown of sales reports
3	of which editions of each of these consoles sold how
4	much, but let's say for the sake of argument that it
5	was 25 percent of the units of each of these
6	consoles that were sold during the period, that they
7	had the hardware flaw. You are talking about around
8	20 million Xbox 360's, 20 million PlayStation 3's
9	that have the flaw.
10	Anecdotally based on what we have seen in
11	the market, a hundred percent of the units that were
12	produced during that period will eventually develop
13	this flaw. So you are talking appproximately
14	40 million units are going have this flaw that are
15	going to fail at some point in their use.
16	If we were to go out into the UCLA commons
17	here and survey students and ask how many of them
18	know about the Xbox 360 red ring of death or the
19	PlayStation yellow light of death, it would verge on
20	80 or 90 percent of the students. Every single
21	person our age has dealt with this issue and has had
22	friends in tears because of this problem. It is a
23	very, very common, frequent problem.
24	And the fix that I have shown on this
25	exhibit is merely a temporary fix. It's not a

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1	permanent fix. You have to replace it with a new
2	board eventually.
3	With regard to the tariff question, you
4	misunderstood what we said slightly. We're saying
5	that it is there are different tariffs rates for
6	importing products into certain countries and it is
7	cheapper or there is less tax when you are importing
8	a game console than when you are importing a
9	computer.
10	So we have an economic incentive to get
11	functional consoles into other countries where
12	people can use them and maybe buy games for them.
13	That would be a wonderful thing.
14	MR. WILLIAMS: I think I understood that.
15	I just don't think it's part of what this proceeding
16	was designed to address.
17	MR. WIENS: The goal is to extend the
18	lifespan of the products. And the question is why
19	are game consoles different than tablets. And the
20	actual reason is it might be more affordable for
21	someone in Kenya to import a game console that they
22	could use.
23	MS. TONSAGER: I just wanted to respond to
24	the question, which it's a little bit of a perhapps

an obvious point, but to the extent that repair is a

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1	concern, there apppears to be now today evidence in
2	the record for two versions of two consoles. So
3	just we're not dealing with Xbox One or earlier
4	versions of Xbox. We're not talking about the PS4
5	or earlier versions of PlayStation. We're not
6	talking about any of the Nintendo consoles.
7	So the proposed class as drafted currently
8	is actually quite, quite broader than those two
9	specific consoles. So in any event, we of course
10	wouldn't want an exemption that would be overly
11	broad.
12	MR. CHENEY: That was sort of my point.
13	Could you respond to that, Mr. Wiens, about how
14	many it seems to be we're focused on a couple of
15	things in that very narrow subset of what is
16	possible potentially here.
17	And so if you could address that, if you
18	wouldn't mind.
19	MR. WIENS: We have repair manuals
20	actually, game consoles are unique situations.
21	There is an open source repair manual for pretty
22	much every single game console that has ever been
23	manufactured.
24	On iFixit, we have wonderful data and we
25	would be happpy to share the data on each of these

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1	consoles in terms of what is breaking and what is
2	not.
3	Normally, there is an evenly distributed
4	ratio of things breaking. If you have a Game Boy
5	you bought, it might break or the main board on the
6	Game Boy might break and they might break in
7	relatively different ratios.
8	So with something like a Game Boy, there
9	would certainly be a repair that might involve, you
10	know, bypassing a TPM if you needed to replace the
11	main board separate from the cartridge reader. So
12	you have the same sort of synchronization issue.
13	The reason I am using the Xbox 360 and the
14	PlayStation 3 as specific examples is because rather
15	than a distributed curve across all the components
16	of the device that might fail, you have this very
17	spikey curve around the component that is protected
18	by a TPM.
19	So that is why are we using this as an
20	example, but I think you can make a very strong
21	argument that these devices have failures across the
22	board.
23	Extending their lifespan is a net good for
24	society. It's a net good for people selling video

games because there is more devices out there that

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1	are functional that people can spend the money on	
2	video games rather than spending the money on	
3	hardware. Spending less money on hardware and more	
4	money on games is good for the environment.	
5	MS. CHARLESWORTH: Here is sort of a very	
6	naive question, but when you jailbreak a console to	
7	make a repair like that, does it remain jailbroken	
8	where you can play pirated content on that?	
9	MR. WIENS: It doesn't need to be.	
10	MS. CHARLESWORTH: But it could.	
11	MR. WIENS: It could. It depends on what	
12	the service that you are authorizing is.	
13	So if you said that, say, an electronics	
14	recycler or an electronics repair center would be	
15	able to do the modification and then jailbreaking it	
16	to modify it to the consumer it's frequently in	
17	this case, you want to do the synchronization. It	
18	might not involve a complete jailbreak or you might	
19	be able to temporarily bypass it to make the	
20	modification.	
21	We're really just trying to modify the	
22	information required to address the optical drive	
22	and then the derice would remain in the previous	

MS. CHARLESWORTH: How does it get back to

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state.

the previous state?

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MR. FREEMAN: So as long as you manage to get all of the cryptograpphic components to the point where they are cryptograpphically signed in the way they are supposed to be, only somebody who then is going to attack that chain is going to be able to make another modification to it.

So the way to think about this is that in order to have the system running temporarily while he is reflashing parts of it, that while he's doing that, it's no longer going to be correct. going to be the state -- in a consistent state as according to the manufacturer, but once he gets it into a consistent state with all of the original locks and controls that the original manufacturer wanted, then it will cryptograpphically verify in the same way that the original version did with all of the same locks and checks.

MR. WIENS: Another way of putting this is people are jailbreaking these consoles now. criminals that want to pirate content are jailbreaking these things. It's the people that want to provide repair legitimately and want to accomplish the repair in a legal fashion, they're the ones who are seeing a chilling effect, not the

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1	pirates.	
2	MR. FREEMAN: And pirated movies and other	
3	fixed content like that, you are not going to be	
4	using a PlayStation and playing it there, anyway.	
5	You are going to be using other things like	
6	computers and DVD players.	
7	MR. CHENEY: Can you do that in every case	
8	in every repair? In other words, you are saying	
9	re-jailbreak or reset up the encryption.	
10	Can you do that in every case that you	
11	have need for repairs?	
12	MR. FREEMAN: So the modifications that	
13	are done to the PlayStation do not involve blowing	
14	any hardware fuses. So it does not you can put	
15	it back to the same software state that it was in	
16	originally and allow it to continue.	
17	There are existing devices that in order	
18	to make modifications to them, you essentially have	
19	gone one way. You have taken a small bit in the CPU	
20	and burned it so that it now knows it's been	
21	modified but it doesn't care. And in the case of	
22	work being done to the PlayStation, you are not	
23	going to be doing anything like that.	
24	MR. WIENS: And I don't know of any of the	
25	game consoles that do that.	

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1	MR. FREEMAN: And I should also testify
2	that I don't know of any game consoles where that is
3	the case.
4	MR. RUWE: Mr. Wiens, have you ever
5	appproached the manufactures to have permission to do
6	this fix?
7	MR. WIENS: We have talked to them
8	about sharing repair information. So, for example,
9	both Microsoft and Sony developed internal repair
10	processes for doing those repairs and we have asked
11	them to share that information with us and they
12	declined.
13	MR. RUWE: Sharing information, but I am
14	talking about permission to do what you would like
15	to do if there was an exemption. They could give
16	you that permission and you wouldn't need an
17	exemption if you have asked them.
18	MR. WIENS: Fair enough.
19	We engaged with the environmental teams of
20	both of these manufacturers. I don't know that that
21	specific conversation has happpened.
22	MR. RUWE: Maybe you should.
23	MR. FRANKEL: I just want to add that it's
24	still unclear to me and maybe I will never
25	understand that the specific repairs that they

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1	are saying they want to do require a circumvention.	
2	MR. RUWE: That conversation may clarify	
3	that issue and for everyone.	
4	MR. WIENS: So one other thing that I	
5	would mention is we're talking about these problems	
6	have happpened in the past and affected millions of	
7	these consoles.	
8	We are looking at projecting out to the	
9	next three years. And we have seen some interesting	
10	hardware failures in the Xbox One and the	
11	PlayStation 4, not near to the degree that we saw	
12	them in the immediate aftermath after the release,	
13	but it's very hard to look forward and project what	
14	the repair market is going to be like three years	
15	out.	
16	MR. RUWE: I think you may have picked up	
17	that we when faced with a broad exemption	
18	request, we do look for ways to refine it and based	
19	on the evidence in the record.	
20	So it's not to say that we wouldn't be	
21	open to some sort of evidentiary record to have a	
22	forward looking exemption. We do look to refine	
23	these.	
24	And I think those conversations are	
25	worthwhile.	

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1	And I understand your request and I	
2	understand the obstacles but it seems like there may	
3	be an opportunity to the extent that manufacturers	
4	do not believe that this requires circumvention.	
5	MR. WIENS: And I would just say regarding	
6	our early conversations, that we have asked for help	
7	with parts and we have asked for help with repairs	
8	and we have asked for information on how to do	
9	repairs and we have consistently been shut down	
10	every step of the way.	
11	MS. CHARLESWORTH: Any further thoughts?	
12	All right, this will then oh, Ms. Gellis.	
13	MS. GELLIS: Thank you. Thanks for a	
14	closing word.	
15	At the risk of repeating myself, one of	
16	the things I have been emphasizing is to some	
17	degree, while I understand the impetus to have	
18	narrow exemptions, the fact that I think once a	
19	computing device, always a computing device, is an	
20	important distinction when we look at these	
21	Classes 11 through 27, but I know that you want	
22	data. So here is my example to add to the	
23	conversation.	
24	I have in my dad's attic an Atari 800 that	
25	we got in around 1981. As far as I know, that is a	

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1	perfectly functional machine that unfortunately has
2	a slight problem with the select key which can make
3	it difficult to play games, but it would be very
4	nice and perhapps Mr. Wiens can help me fix it.
5	And then this machine becomes viable now as
6	something that can play all the games that we had,
7	all the games that were independently created and
8	all the games that may yet to be created or
9	otherwise unleash the whole computing power of that
10	device.
11	I don't know if that device is hobbled by
12	sort of TPM that would restrict its ability to be
13	put to use now, but it is something with circuitry
14	and it's lasted through the ages.
15	And what we're talking about now is a
16	number of consoles that can't be locked in people's
17	attics effectively because they're essentially going
18	to die and never be fixed and never be able to play
19	games that anybody created for them because they are
20	going to be waste or decomposed or something like
21	that.
22	I offer this data point partly in terms of
23	the fact that that was my generation's game consoles
24	and now we're talking about the next generation of
25	game consoles and will they survive through the ages

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1	to be something that can be a valuable source of
2	computing power to them in the future, however they
3	want to put it.
4	And if the difference between my Atari 800
5	being useful to me today and a three-year-old
6	Nintendo not now solely because there is a TPM
7	keeping that power from keeping that device from
8	being usable in whatever way the lawful owner of
9	that device wants to use lawfully acquired
10	computational logic on that device, I think we have
11	a problem.
12	MS. CHARLESWORTH: That inspired another
13	closing comment.
14	MR. FRANKEL: Separately, just if it would
15	be helpful for the office, we would be happpy to
16	provide technical information in response to
17	Mr. Wiens's comments as to whether TPM's are
18	implicated in the optical drive firmware switching
19	he referenced.
20	MS. CHARLESWORTH: I think we may send out
21	some follow-up questions. So if we are interested
22	in that, you will surely know. We would send them
23	out to the participants and you will be aware of
24	them. So thank you.
25	Mr. Freeman. I have a lot of trouble
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- shutting these down. Actually, we're always happpy
  to hear. So you can have the last word.
- MR. FREEMAN: So this comment comes up

  occasionally and I will talk about it more tomorrow,

  but it's about alternatives such as being able to

  use a PC in order to be able to do Minecraft mods.

A PC is a very expensive device in comparison to some of the portable devices people are able to play Minecraft on and we currently can't do modifications without using of the software.

I apppreciate that the existence of an alternative is something that is supposed to be able to be used as essentially trying to shut down one of these exemptions, but if I were game mod manufacturer and I constructed an alternative -actually, let's take away game manufacturer. If I were any of the exemptions that were coming to this panel and there are 47 exemptions, I would say I have another one, this one is open, this one costs ten times as much, but now the fact that I sell it at all -- I sold three of them ever -- but the fact that I sell it means that you can't get an exemption. It's essentially a backdoor way of shutting down any ability to get any exemptions on anything.

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1	I think that it has to be a reasonable	0_0
2	alternative. And a lot of the kids who are playing	
3	these games, the people that are doing these kinds	
4	of modifications, they don't own a computer. They	
5	may be able to borrow a computer from their parents	
6	occasionally but they don't have the ability to rely	
7	on the alternative that is being brought up for this	
8	use case.	
9	And again, this is something I will speak	
10	to more tomorrow as we discuss the iPhone	
11	jailbreaking.	
12	MS. CHARLESWORTH: We look forward to that	
13	and thank you. We will see some of you tomorrow.	
14	And for those of you we will not see, we thank you	
15	for your participation.	
16	(The proceeding was concluded at	
17	5:20 p.m.)	
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1	CERTIFICATE OF NOTARY PUBLIC	
2	I, DARYL BAUCUM, a Certified Shorthand	6
3	Reporter of the State of California, do hereby	
4	certify:	
5	That the foregoing proceedings were taken	
6	before me at the time and place therein set forth;	
7	that a record of the proceedings was made by me	
8	using machine shorthand which was thereafter	
9	transcribed under my direction.	
10	I further certify that I am neither	
1.1	financially interested in the action nor a relative	
12	or employee of any attorney or any of the parties.	
13	IN WITNESS WHEREOF, I have this date	
14	subscribed my name.	
15	5	
10	Dated: 6.8.2015	
1.	7	
18	8	
1	9 Darik Dancust	
2	DARYI BAUCUM, CSR No. 10356	
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