



Recordation Modernization

Copyright Office Modernization
Webinar Series
September 26, 2019

The webinar will begin
promptly at 12:00 pm EST

Copyright
United States Copyright Office

SUBMITTING QUESTIONS

To submit a question, use the Q&A dialog box in the lower right corner. You may submit a question during any portion of this webinar, however, questions will be answered at the end of the presentation.

Please note: we may not be able to answer all questions received in the allotted time. Thank you.

INTRODUCTION AND OVERVIEW



Denise Wofford

Director of Public Records
& Repositories



WHAT IS RECORDATION?



Zarifa Madyun

Recordation
Section Head



WHAT IS RECORDATION?

- Started in 1897
- Different from Registration
- Records transfer of copyright ownership
- Registration not required



WHAT IS RECORDATION?

GAME OF THRONES

V3581 D609



ASSIGNMENT OF RIGHTS

For good and valuable consideration, receipt of which is acknowledged, GEORGE R.R. MARTIN ("Owner") assign to Home Box Office, Inc. ("HBO"):

1. Exclusively, in perpetuity and throughout the universe the sole and exclusive feature motion picture, television (including, without limitation, serial and series rights), prequel, sequel and remake rights, certain electronic, interactive, Internet and certain other computer, cable, on-line and wireless media rights, to the extent known or hereafter devised, and all allied and subsidiary rights, including limited seven thousand five hundred (7,500)-word publication rights solely for advertising, publicizing and exploitation purposes, in and to that certain literary work written by Owner entitled A Song of Ice and Fire Series of books a/k/a A Game of Thrones and its sequel novels and all revisions, adaptations, dramatizations and translations thereof and additions thereto, and the title, theme and characters thereof;

Harry Potter

V3442 D229



V3442 D229 Page 1

**"HARRY POTTER AND THE PHILOSOPHER'S STONE"/"HARRY POTTER AND THE SORCERER'S STONE" and
"HARRY POTTER AND THE CHAMBER OF SECRETS"**

ASSIGNMENT

For valuable consideration, receipt of which is hereby acknowledged, the undersigned, J. K. Rowling, whose address is c/o Christopher Little Literary Agency, 10 Eel Brook Studios, Moore Park Road, London SW6 4PS, hereby sells, grants and assigns to WARNER BROS., a division of Time Warner Entertainment Company, L.P. ("Purchaser"), whose address is 4000 Warner Boulevard, Burbank, California 91522, in perpetuity and throughout the universe, all right, title and interest in and to among other things, the exclusive right to produce and distribute for and in all media now known or hereafter devised all types of audiovisual works of any kind and allied rights therein (including by way of illustration all soundtrack and merchandising rights) based on that certain work of authorship described as follows:

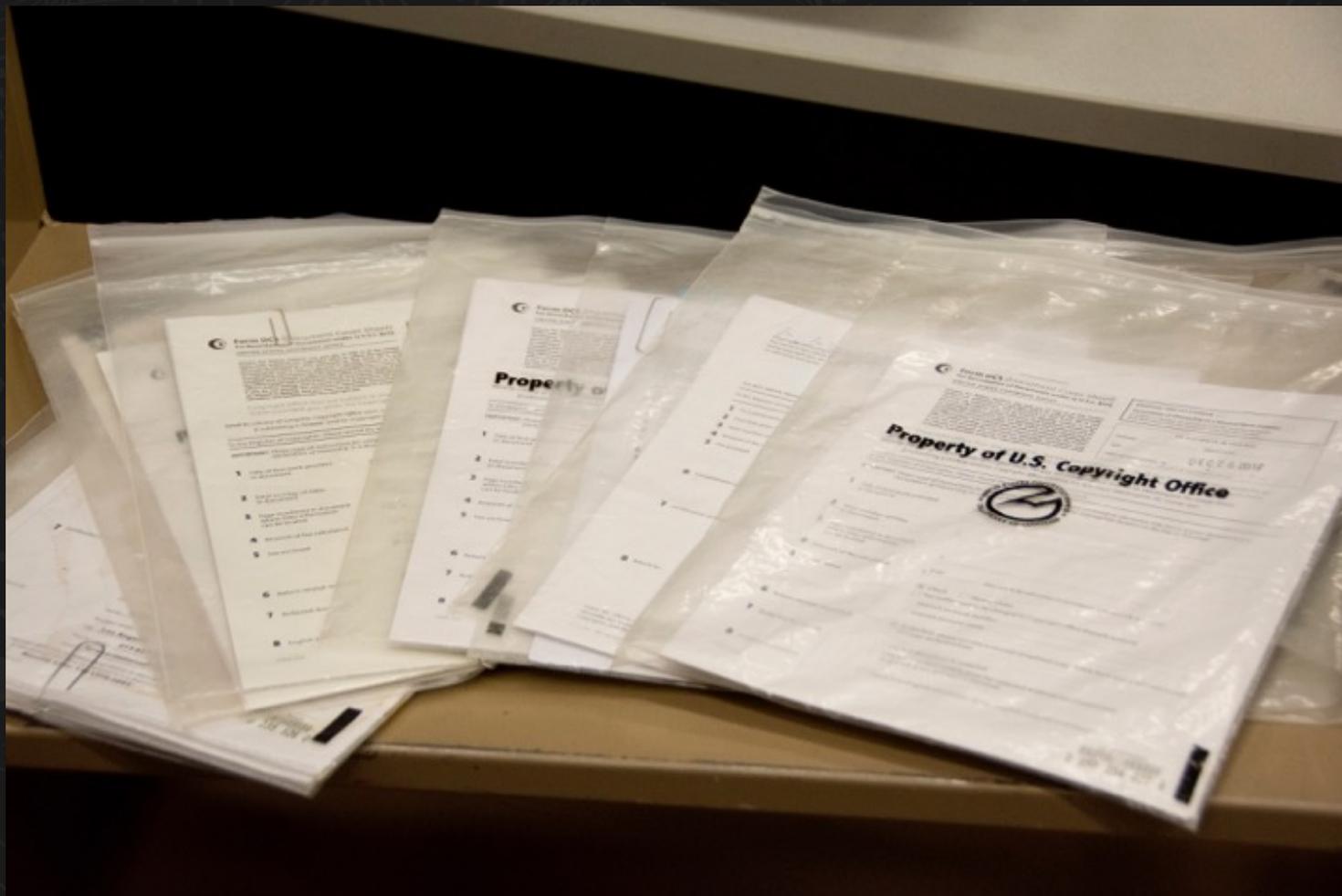
1 Title: "Harry Potter and The Philosopher's Stone"
Written By: J. K. Rowling
Publisher: Bloomsbury Publishing Plc (UK), Scholastic Inc. (US)

WHY RECORD YOUR DOCUMENT?

- **Required vs Voluntary**
- **Benefits**
 - Public record
 - Priority
 - Constructive Notice



MODERNIZING RECORDATION



WHY MODERNIZE?

Where we are today

- One of the few paper-only processes remaining
- Manually process receipt and payment
- Titles are manually ingested
- Long processing times
- Outdated IT systems and unable to keep up with customer and Office needs



KEYS TO SUCCESS

Emphasize positive user experience

Build features that reflect user needs

Modernize all aspects of Recordation

Conduct outreach with real-world users

Establish regular communication channels

Build cohesive visual experiences

Align with long-term Copyright Office Modernization strategies

Build incrementally with flexibility and scalability in mind

GOALS FOR RECORDATION MODERNIZATION

Pilot Release

- Test new ideas and concepts in a limited environment
- Preview what a modernized system could look like when there's emphasis on collaboration and user experience
- Contain a subset of services that will be available to a limited number of participants



Test



Preview



Services

GOALS FOR RECORDATION MODERNIZATION

Full Release

- Reduce the recordation processing time but still produce quality public records
- Be flexible, scalable, and adaptable to all stakeholder needs
- Provide a cohesive experiences for a full suite of recordation products and services



Reduce



Flexible



Cohesive

PILOT TIMELINE

Project
Start
FY 2017



PILOT TIMELINE

Project
Start
FY 2017

Q4 Q1 Q2 Q3 Q4 Q1 Q2 Q3 Q4 Q1 Q2 Q3 Q4 Q1

FY 2018

- Stakeholder Outreach
- Remitter Portal
- Examiner workspace
- Department of Treasury Pay.Gov

PILOT TIMELINE

Project
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FY 2017

Q4 Q1 Q2 Q3 Q4 Q1 Q2 Q3 Q4 Q1 Q2 Q3 Q4 Q1

FY 2018

- Stakeholder Outreach
- Remitter Portal
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- Department of Treasury Pay.Gov

FY 2019

- Stakeholder Outreach
- Account Management
- Deposit Accounts
- Title Upload
- Correspondence

PILOT TIMELINE

**Project
Start
FY 2017**

**Target: Pilot Launch
(Limited Users)
FY 2020**

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FY 2019

- Stakeholder Outreach
- Account Management
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- Title Upload
- Correspondence

Future Features

- Notice of Terminations
- Paper Submissions
- And more!

BUILDING FEATURES BASED ON USER REQUEST

Copyright

Applications

Search applications by title, SR number, date... Record a document

All (18) Flagged (4) Drafts (3) Pending (1) **In Review (4)** Closed (6)

Display applications assigned to:

<input type="checkbox"/>	Type	Reference #	Document (total number)	Status	Application detail
<input type="checkbox"/>	Affidavit / Declaration / Certification	SR 39	Mindhunter; Master of None; Black Mirror; The Office; Jessica Jones (35)	In review	Submitted on: 07/08/2019
<input type="checkbox"/>	Mortgage / Security Agreement	SR 21	Small Goals, Big Successes; Pace Yourself; Power of Yoga; Patience (4)	In review	Submitted on: 06/20/2019

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Start recording documents with a single click

BUILDING FEATURES BASED ON USER REQUEST

The screenshot shows a web application interface for Copyright. On the left is a dark sidebar with navigation items: Applications, Messages, Accounts, and Notifications (with a badge of 8). The main content area is titled 'Applications' and includes a search bar, a 'Record a document' button, and a status filter bar. The filter bar has six options: All (18), Flagged (4), Drafts (3), Pending (1), In Review (4), and Closed (6). The 'In Review' option is highlighted with a red underline. Below the filter is a dropdown menu for 'Display applications assigned to:'. A table below shows application details with columns for checkboxes, Type, Reference #, Document (total number), Status, and Application detail.

<input type="checkbox"/>	Type	Reference #	Document (total number)	Status	Application detail
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Status synced with examination process

BUILDING FEATURES BASED ON USER REQUEST

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**Manage your profile, organization
or deposit accounts**

BUILDING FEATURES BASED ON USER REQUEST

Copyright

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Centralized messaging center to avoid losing track of correspondences

BUILDING FEATURES BASED ON USER REQUEST

Copyright

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Notifications to keep remitters up to date on important events

BUILDING FEATURES BASED ON USER REQUEST

Redesigning the certification and signature areas



12 Remitter Information and Certifications

(You, the individual actually submitting this form and the attached document to the Copyright Office, provide your contact information and make the required remitter certifications by signing your name. The Office may use this information to contact you about the submission and will send the certificate of recordation to the provided address if the document is successfully recorded.)

I certify under penalty of perjury under the laws of the United States of America that I have been given appropriate authority to submit this cover sheet, accompanying document, and any other enclosed materials to the U.S. Copyright Office for recordation, and all information I have submitted is true, accurate, and complete to the best of my knowledge.

I understand that any falsification or misrepresentation may subject me to civil or criminal liability. By signing my name below, I acknowledge that I have read and agree to these conditions.

Signature Date

Name

Title/Organization

Number/Street Apt/Suite

City State Zip

Phone number Fax number

Email

If you are not a party to the document, describe your relationship to the document or the original parties to the document (e.g., duly authorized agent of a party, successor-in-interest to a party, duly authorized agent of a successor-in-interest to a party).

13 Document Certifications

(These certifications can be made either by the remitter identified on the previous page or another individual.)

Original document enclosed Official certification enclosed

I certify under penalty of perjury under the laws of the United States of America that the following is true and correct: (Check the box next to each certification being made. The first is always required. The second is required if an original document or official certification is not enclosed. If a different individual is making each one, complete and attach an additional copy of this page.)

The accompanying document being submitted to the U.S. Copyright Office for recordation satisfies, to the best of my knowledge, the signature, completeness, legibility, and, if redacted, redaction requirements for recordation as specified in 37 C.F.R. § 201.4.

The accompanying document being submitted to the U.S. Copyright Office for recordation is, to the best of my knowledge, a true and correct copy of the original, signed document.

I understand that any falsification or misrepresentation may subject me to civil or criminal liability. By signing my name below, I acknowledge that I have read and agree to these conditions.

Signature Date

Name

Title/Organization

If the certifier is not a party to the document, describe the certifier's relationship to the document or the original parties to the document (e.g., duly authorized agent of a party, successor-in-interest to a party, duly authorized agent of a successor-in-interest to a party).

BUILDING FEATURES BASED ON USER REQUEST

Sample file



Warning: The following errors were found while processing the spreadsheet. No titles were added to this application.

Errors in the title spreadsheet

Row	Column	Column label	Error description
1	1	A1	Sample description

- Verify a completed multi-title template file as soon as it is uploaded.
- Template validator provides location and meaningful description of the error to assist with issue resolution.

BUILDING FEATURES BASED ON USER REQUEST

- Self Service features for managing your organization

Contact Organization **Delegates** Payments Statements

Account delegate

To add a delegate, please enter their email address below.

Email

[Send invitation to add delegate](#) [Cancel](#)

All (31) **Flagged (0)** Drafts (17) Pending (0) In Review (7) Closed (7)

Assign selected applications to: Display applications assigned to:

[Redacted] [All members of the organization](#) [Delete selected](#) [Hide withdrawn & rejected applications](#)

Type	Reference #	Document (total number)	Status	Application detail
<input checked="" type="checkbox"/> Assignment	SR 10	Star Wars; Indiana Jones and the Temple of Doom; O Alquimista; The Puffy Shirt; A Dance with Dragons (45)	In review	View Application
<input checked="" type="checkbox"/> Assignment	SR 18	Coisa de pele(1)	Draft	View Application
<input type="checkbox"/> Assignment	SR 7	Star Wars; Indiana Jones and the Temple of Doom; O Alquimista; The (495)	Draft	View Application

BUILDING FEATURES BASED ON USER REQUEST

Deposit accounts [Create new account](#) [Help](#)

Deposit accounts for: [REDACTED]

Account #	Name	Balance	Status	Statements	Action
114	[REDACTED]	\$0.00	Inactive	View	Manage
68	[REDACTED]	\$950.00	Active	View	Manage
107	[REDACTED]	\$1 000.00	Active	View	Manage

Pay & submit

Select a payment method

Pay.gov - credit card, debit card, or ACH

Deposit account

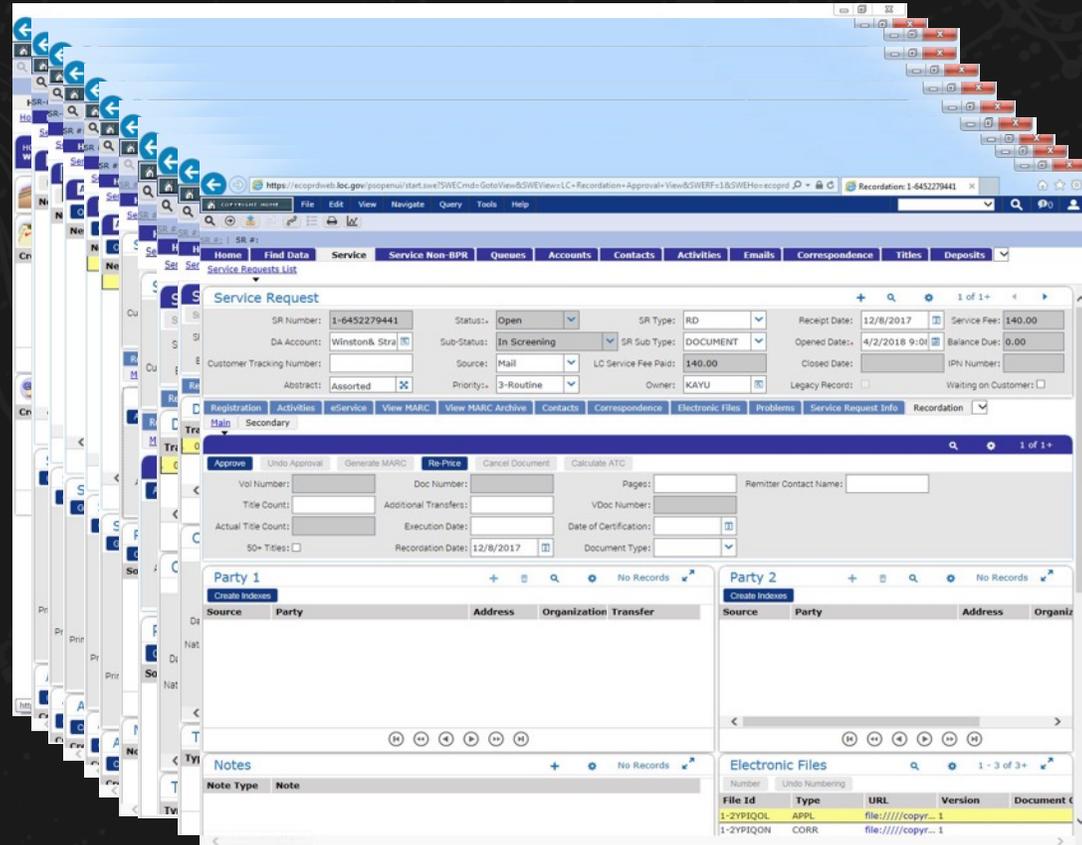
Use selected account ✓ Digital Art (#24) | Balance: \$191,850.00
Print Art (#25) | Balance: \$197,975.00

- Self Service features for deposit accounts

OPTIMIZING BUSINESS PROCESSES

Today:

- Manually logged and sorted
- IT system requires **over 10 clicks** to start work
- Imagine this for every application received



EXAMINER WORKSPACE

The screenshot displays the Examiner Workspace interface. On the left is a dark blue sidebar with navigation links: Applications, Messages (with a '2' notification badge), Reports, Notifications, and Saved searches. The main content area has a white background. At the top right of the main area is a blue button labeled 'Get more cases'. Below this is a header bar for 'demo examiner' with a user icon. Underneath the header is a large empty rectangular box. Below that is a control bar with 'Assign selected to:' followed by a dropdown menu, a 'Reset sort order' button with a refresh icon, and a 'Display:' dropdown menu currently set to 'Assigned'. The main area contains a table with the following columns: RD type, Reference #, Doc type, Parties and Titles, and SH. The table lists two cases:

<input type="checkbox"/>	RD type	Reference #	Doc type	Parties and Titles	SH
<input type="checkbox"/>	205	SR 13	Mortgage / Sec...	Indiana Jones and the Temple of Doom; A Dance with Dragoi	
<input type="checkbox"/>	205	SR 15	Assignment	Small Goals, Big Successes; Pace Yourself; Power of Yoga; Patience (4) Submission date: (6/14/19) Submitter: (muffinman01)	

Below the table, there are three action buttons: 'Examine' (with an eye icon), 'Notes' (with a checkmark icon), and 'Messages' (with an envelope icon).

- Reduction to 1 (or fewer) clicks for an examiner to pick up submitted applications
- Remitter can see progress updates on their portal as the application moves through the examination lifecycle

WHAT'S NEXT?

Target: Pilot Launch
(Limited Users)

FY 2020

Q4 | Q1 | Q2 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 | **Q1** | Q2 | **Q3** | Q4 | Q1

FY 2020

- More Stakeholder Outreach
- Additional Pilot Development
- Pilot Preparation

Future Features

- Notice of Terminations
- Paper Submissions
- And more!

Questions?

To submit a question, use the Q&A dialog box in the lower right corner.

Please note: we may not be able to answer all questions received in the allotted time. Thank you.

TODAY'S PARTICIPANTS



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Home > Copyright Modernization > Modernization Webinar

Modernization Webinar

The Copyright Office is modernizing. To provide information about our ongoing efforts, we are hosting a bimonthly webinar series that focuses on various aspects of our modernization process and progress. The webinars also provide an opportunity to ask questions. Sign up for our [NewsNet](#) to get the latest information on the webinar series.

Join us for our next
Modernization Webinar
 on the Recordation Modernization Initiative
 Thursday, September 26 » [Registration now open](#)

Previous Webinar Materials and Slides

July 25, 2019: Answering Your Modernization Questions - PDF Slides and Recorded Webinar



Copyright Office Modernization Webinar - July 25, 2019

Answering Your Modernization Questions

Copyright Office Modernization Webinar Series
July 25, 2019

The webinar will begin promptly at 11:30 am EDT

Copyright

Video Controls

May 30, 2019: Data Management & The Strategic Plan - PDF Slides and Recorded Webinar



Copyright Office Modernization Webinar - May 30, 2019

Modernization of the U.S. Copyright Office

MAY 30, 2019

The webinar will begin promptly at 12:30 noon Eastern Time

Copyright

Video Controls

March 28, 2019: User-Centered Design Principles - PDF Slides and Recorded Webinar



Copyright Office Modernization Webinar - March 28, 2019

User Experience Design, Accessibility and Usability in Copyright Modernization

Copyright

Video Controls

January 31, 2019: Introduction to Copyright Modernization - PDF Slides and Recorded Webinar



Copyright Office Modernization Webinar - January 31, 2019

Modernization of the U.S. Copyright Office

January 31, 2019

The webinar will begin promptly at 11:30 am EDT

Copyright

Video Controls

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Learn More

For More Information:
copyright.gov/copyright-modernization

Send Questions To:
askcmo@copyright.gov

