

# Form GATT

Read these instructions before completing this form.  
Make sure all applicable spaces have been filled in before you return this form.

## BASIC INFORMATION

Form GATT is for registration of a copyright claim in a work in which U.S. copyright was restored under the 1994 Uruguay Round Agreements Act (URAA). You can use this form to register a single work.

### Restoration of Copyright

To be eligible for copyright restoration under the URAA, a work must meet all the following requirements:

- 1 The work is not in the public domain in its source country through expiration of the term of protection.
- 2 The work is in the public domain in the United States because of
  - a noncompliance with U.S. copyright formalities;
  - b lack of subject matter protection in the case of sound recordings fixed before February 15, 1972; or
  - c lack of national eligibility.
- 3 The work has at least one author (or rightholder in the case of sound recordings) who was, at the time the work was created, a national or domiciliary of an eligible country.
- 4 If published, the work was first published in an eligible country and was not published in the United States during the 30-day period following publication in the eligible country.

**Note:** Restoration of U.S. copyright for architectural works is available only to architectural works published or constructed on or after December 1, 1990.

### Definitions

**Eligible country:** A nation other than the United States that is a member of the Berne Convention, a member of the World Trade Organization, or subject to a presidential proclamation restoring the U.S. copyright to works of that nation on the basis of reciprocal treatment.

**Source country:** For an *unpublished* work, the source country is the eligible country of which the author is a national or domiciliary. If a restored work has more than one author, the source country is the country of which the majority of foreign authors are nationals or domiciliaries. If the majority of authors are not foreign, the source country is the nation other than the United States that has the most significant contacts with the work.

For a *published* work, the source country is the eligible country in which the work was first published. If the restored work was published simultaneously in two or more eligible countries, the source country is the eligible country that has the most significant contacts with the work.

**Owner of U.S. copyright:** A claim in a restored work can be registered only in the name of the owner(s) of the U.S. copyright, that is, in the name of the owner(s) of *all* U.S. rights in that work. A licensee or other owner of only certain exclusive rights in a work is not permitted to register a claim in a restored work in his or her name.

### Registration Requirements

Complete fill-in Form GATT on your personal computer, print it out, and sign and date it. Blank forms can also be printed out and completed by hand or requested by postal mail. Please use black ink and write legibly. Mail your completed application, deposit, and filing fee in one package to:

Library of Congress  
U.S. Copyright Office  
101 Independence Avenue SE  
Washington, DC 20559

### Deposit

**Unpublished sound recordings:** Send one phonorecord that best represents the copyrightable content of the work in which U.S. rights are restored and for which registration is being made.

**Published works:** Send one copy that best represents the copyrightable content of each work in which U.S. rights are restored and for which registration is being made, in the following order of preference:

- 1 The work as first published;
- 2 A reprint or rerelease of the work as first published;
- 3 A photocopy or identical reproduction of the work as first published;
- 4 A revised version containing a substantial amount of the copyrightable content of the restored work as first published, *together with* a written statement of the percentage of the restored work appearing in the revised version.

**Exceptions:** *Previously registered works:* no deposit is needed for works registered previously in the U.S. Copyright Office. *Three-dimensional works of the visual arts:* deposit one or more photographs, preferably in color. *Machine-readable works:* (a) deposit one machine-readable copy of the work plus a descriptive statement (see note below), or (b) deposit representational excerpts of the work, such as printouts, or, if the work is an audiovisual work, a videotape.

**Note:** A descriptive statement of a machine-readable work is a separate written statement specifying the title and the nature of the work (computer program, database, or videogame, for example) and including a brief description of the purpose or subject matter of the work.

### Fee

Copyright Office fees are subject to change. For current fees, check the Copyright Office website at [www.copyright.gov](http://www.copyright.gov), write the Copyright Office, or call (202) 707-3000 or 1-877-476-0778 (toll free).

Fees can be paid by check, money order, or bank draft payable to the Register of Copyrights. All non-U.S. checks, money orders, and bank drafts must be redeemable without a service or exchange fee through a U.S. institution, payable in U.S. dollars, and imprinted with American Banking Association routing numbers. Postal money orders and international money orders that are negotiable only at a post office are not acceptable.

The Copyright Office also accepts American Express, Visa, and MasterCard for filings under the URAA. Debit cards are not accepted.

To charge a filing fee on a credit card, include in a separate letter the type of card, the name of the individual or firm as it appears on the card, the account number, the expiration date, the total amount due, and the signature of the card holder authorizing the Office to charge the fee to the account. Do not give credit card information anywhere on the application form itself.

Fees can also be paid by deposit account, whereby applicants deposit money in advance for use in paying copyright fees. For details, see Circular 5, *How to Open and Maintain a Deposit Account in the Copyright Office*.

### For Further Information

Library of Congress  
U.S. Copyright Office  
Publications Section  
101 Independence Avenue, SE #6304  
Washington, DC 20559-6304  
TEL: (202) 707-3000 or 1-877-476-0778 (toll free)  
FAX: (202) 707-252-2041  
WEB: [www.copyright.gov](http://www.copyright.gov)

**PRIVACY ACT ADVISORY STATEMENT Required by the Privacy Act of 1974 (P.L. 93-579)**

The authority for requesting this information is title 17 USC, secs. 409 and 410. Furnishing the requested information is voluntary. But if the information is not furnished, it may be necessary to delay or refuse registration, and you may not be entitled to certain relief, remedies, and benefits provided in chapters 4 and 5 of title 17 USC.

The principal uses of the requested information are the establishment and maintenance of a public record and the examination of the application for compliance with the requirements of the copyright code.

Other routine uses include public inspection and copying, preparation of public indexes, preparation of public catalogs of copyright registrations, and preparation of search reports upon request.

NOTE: No other advisory statement will be given in connection with this application. Please keep this statement and refer to it if we communicate with you regarding this application.

**LINE-BY-LINE INSTRUCTIONS**

*Please type or print using black ink.*

**1 SPACE 1: Title**

a. Give the full title of this work as it appears on the copy or phonorecord, followed by an English translation if appropriate.

**Example:** Title: “La Vie.” English translation: “Life.”

b. **Description of Work:** Check the box (or boxes) that best describes this work.

**2 SPACE 2: Author(s)**

Name at least one eligible author as determined by the applicable law of the source country. To list more than two authors, use the continuation sheet Form GATT/CON.

For each author listed, give the nationality and domicile of that author at the time the work was created.

If the author is deceased, give the year of death.

**3 SPACE 3: Year and Nation of Publication**

Give the year and nation in which the work was first published.

**4 SPACE 4: Owner(s) of U.S. Copyright**

a. Give the name and address of the current owner(s).

b. **Transfer of Copyright:** If the author(s) named at space 2 is not the current owner(s) of U.S. copyright, describe how the copyright was transferred. **Examples:** “assignment of all U.S. rights,” “by contract,” or “by will.”

**5 SPACE 5: Limitation of Copyright**

*When less than entire work is subject to registration*

If the situation described in 1 or 2 below applies, complete this space giving a brief general description of what is being registered.

1 The restored U.S. copyright in part of this work is owned by another party. **Example:** If the owner named in space 4 owns the rights in the text of a book, but the illustrations are owned by someone else, state “text.”

2 The work is derived from or based on an earlier work.

**Examples:** If the work being registered is a screenplay based on a novel, state “screenplay.” If the work is a revised edition of a textbook and contains some new text, state “revisions and additional text.”

**6 SPACE 6: Registration Number and Year for Previous Registration**

If this work was previously registered with the U.S. Copyright Office, give the registration number and the year of registration, if known.

**Examples:** “Flemish Poets” Ai 345–123, 1970

“Concerto for Flute” Eu 123–456, 1963

**7 SPACE 7: Deposit Account, Correspondence**

a. **Deposit Account:** Give the name and number of an existing deposit account. Do *not* give credit card information in this space or anywhere on the application form. Give credit card information in a separate letter.

b. **Correspondence:** Give the name, postal and email addresses, and telephone and fax numbers of the person to contact.

**8 SPACE 8: Certification**

The author or owner of U.S. copyright or the authorized agent must sign in ink.

**9 SPACE 9: Mailing Address**

Give the name and address of the party to whom the certificate of registration will be mailed. Information in this box must be legible (black ink or type) to assure delivery to proper address.

Copyright Office fees are subject to change. For current fees, check the Copyright Office website at [www.copyright.gov](http://www.copyright.gov), write the Copyright Office, or call (202) 707-3000 or 1-877-476-0778 (toll free).

Privacy Act Notice: Sections 408-410 of title 17 of the *United States Code* authorize the Copyright Office to collect the personally identifying information requested on this form in order to process the application for copyright registration. By providing this information you are agreeing to routine uses of the information that include publication to give legal notice of your copyright claim as required by 17 U.S.C. sec. 705. It will appear in the Office's online catalog. If you do not provide the information requested, registration may be refused or delayed, and you may not be entitled to certain relief, remedies, and benefits under the copyright law.

TX PA VA SR SRU  
EFFECTIVE DATE OF REGISTRATION

Month Day Year

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE FORM GATT/CON.

**1** a TITLE:  
English translation:

**b** DESCRIPTION OF THE WORK: *(Check one or more)*

<input type="checkbox"/> literary work	<input type="checkbox"/> pictorial, graphic, or sculptural work
<input type="checkbox"/> musical work, including any accompanying words	<input type="checkbox"/> motion picture or other audiovisual work
<input type="checkbox"/> dramatic work, including any accompanying music	<input type="checkbox"/> architectural work
<input type="checkbox"/> pantomime or choreographic work	<input type="checkbox"/> sound recording, created in _____(year)

**2** a AUTHOR(S):  
Name:  
Citizenship (when work was created):  
Domicile (when work was created):  
Date of death:

**b** Name:  
Citizenship (when work was created):  
Domicile (when work was created):  
Date of death:

**3** YEAR AND NATION OF PUBLICATION: *(If work was published, give the year and nation in which it was first published.)*  
Year:  
Nation:

**4** a OWNER(S) OF U.S. COPYRIGHT:  
Name:  
Address:

**b** Means by which copyright ownership was transferred  
(if owner is not the author):

DO NOT WRITE HERE  
OFFICE USE ONLY

APPLICATION RECEIVED \_\_\_\_\_  
DEPOSIT RECEIVED \_\_\_\_\_  
FUNDS RECEIVED \_\_\_\_\_

EXAMINED BY \_\_\_\_\_ FORM GATT

CHECKED BY \_\_\_\_\_

CORRESPONDENCE  
Yes

FOR  
COPYRIGHT  
OFFICE  
USE  
ONLY

**DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE FORM GATT/CON.**

**LIMITATION OF COPYRIGHT:** If the registration does not extend to the entire work, describe the material that is covered by the registration:  
(see instructions)

5

**PREVIOUS REGISTRATION:** If this work was ever registered in the U.S. Copyright Office, give the registration number and year if known:

6

**DEPOSIT ACCOUNT** If the registration fee is to be charged to a deposit account established in the Copyright Office, give the name and number of that account. **Do not give credit card information on this form.**  
Name ▼ Account Number ▼

7

**CORRESPONDENCE** Give the name and address to which correspondence about this application should be sent.  Same as space 4a.  
 Same as space 9.

Area or country code and telephone number ▶

Email ▶

Fax number ▶

**CERTIFICATION\*** I, the undersigned, hereby certify that I am the: (Check one )

- Author
- Owner of U.S. copyright
- Agent of \_\_\_\_\_

(Name of author or owner of U.S. copyright)

of the work identified in this application and that the statements made by me in this application are correct to the best of my knowledge.

Typed or printed name: \_\_\_\_\_

Date: \_\_\_\_\_

 Signature (X) ▼

8

**Certificate will be mailed in a window envelope to this address:**

Name ▼
Number/Street/Apt ▼
City/State/ZIP/Nation ▼

**YOU MUST:**  
• Complete all necessary spaces  
• Sign your application in space 8

**SEND ALL 3 ELEMENTS IN THE SAME PACKAGE:**  
1. Application form 2. Nonrefundable filing fee in check or money order payable to Register of Copyrights 3. Deposit

**MAIL TO:**  
Library of Congress  
U.S. Copyright Office  
101 Independence Avenue SE  
Washington, DC 20559-6000

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\*17 USC §506(e): Any person who knowingly makes a false representation of a material fact in the application for copyright registration provided for by section 409, or in any written statement filed in connection with the application, shall be fined not more than \$2,500.